Reverse mathematics, hypergraphs, and edge representation

Caleb J.B. Davis University of Florida Gainesville, FL

Joint work with Jeff Hirst, Jake Pardo and Tim Ransom

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University of Florida Logic Seminar Reverse mathematics uses a hierarchy of axioms of second order arithmetic to measure the strength of theorems.

The language has variables for natural numbers and sets of natural numbers.

The base system, RCA<sub>0</sub>, includes

- arithmetic axioms of  $\mathbb{N}$  (+,  $\cdot$ , work as expected)
- > an induction scheme restricted to  $\Sigma_1^0$  formulas, and
- recursive comprehension: sets with computable characteristic functions exists

# ACA<sub>0</sub>

The system  $ACA_0$  adds to  $RCA_0$  the comprehension scheme for arithmetically definable sets:

 $\exists X \forall n (n \in X \leftrightarrow \psi(n))$ 

where  $\psi(n)$  is a formula whose quantifiers are restricted to natural numbers and in which X does not occur freely.

**Theorem:** The following are provably equivalent over RCA<sub>0</sub>.

- (1) ACA<sub>0</sub>
- (2) If g is an injective mapping from  $\mathbb{N}$  to  $\mathbb{N}$ , then Range $(g) = \{n : \exists m g(m) = n\}$  exists.
- (3) Every bounded sequence of real numbers has a convergent subsequence.
- (4) Every countable commutative ring has a maximal ideal.
- (5) König's lemma: Every infinite, finitely branching tree has an infinite path.

# WKL<sub>0</sub>

The system WKL<sub>0</sub> adds Weak König's Lemma to RCA<sub>0</sub>.

Weak König's Lemma: If  $T \subseteq 2^{<\mathbb{N}}$  is an infinite tree, then T has an infinite path.

**Theorem:** The following are provably equivalent over  $RCA_0$ .

- (1) WKL<sub>0</sub>
- (2) If f and g are injective functions from  $\mathbb{N}$  into  $\mathbb{N}$  and Range $(f) \cap \operatorname{Range}(g) = \emptyset$ , then there is a set X such that Range $(f) \subset X$  and  $X \cap \operatorname{Range}(g) = \emptyset$ .
- (3) Every covering of a compact metric space by a sequence of open sets has a finite subcovering.
- (4) Every continuous real-valued function on [0, 1] is Riemann integrable.
- (5) Every countable commutative ring has a prime ideal.

# Hypergraphs

A hypergraph consists of a set of vertices  $V = \{v_i \mid i \in \mathbb{N}\}$  and a collection of edges E. Edges of a hypergraph may contain any amount of vertices, finite or infinite.

The edges may be presented in different ways, depending on the cardinality of the edges.

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The edges may be presented in different ways, depending on the cardinality of the edges.

If an edge is finite, it may be encoded by a single number. If all edges are finite, then E may be either a set or a sequence of the codes for the edges.

Finite edges and infinite edges can be represented by a sequence of characteristic functions  $\langle \chi_i \rangle_{i \in \mathbb{N}}$ , where  $\chi_i(n) = 1$  if and only if  $v_n$  is in the  $i^{\text{th}}$  edge.

# Representations of finite edges

For hypergraph with finite edges, changing the representation of the edges requires different set comprehension.



Working in  $ACA_0$  or any stronger system, we may assume the edges are presented in any manner.

In the absence of arithmetical comprehension, the presentation choice matters.

# Coloring finite edges

A vertex coloring of a hypergraph is *proper* if no edge with more than one vertex is monochromatic.

**Theorem:** (RCA<sub>0</sub>) For  $k \ge 2$ , the following are equivalent.

- (1) WKL<sub>0</sub>
- (2) Let G be a graph. If every partial graph of of G has a proper k-coloring, then G has a proper k-coloring. (Hirst [4])
- (3) Let H be a hypergraph with a sequence of finite edges. For k ≥ 2, if every finite partial hypergraph of H has a proper k-coloring, then H has a proper k-coloring.

Statement (3) generalizes statement (2) to the hypergraph setting, for hypergraphs with sequences (or sets) of finite edges.

## Coloring finite edges

A vertex coloring of a hypergraph is *strong* if the coloring is injective on each edge.

**Theorem:** (RCA<sub>0</sub>) The following are equivalent.

- (1) WKL<sub>0</sub>
- (2) Let H be a hypergraph with any edge representation. If for some k every finite partial hypergraph of H has a strong k-coloring, then H has a strong k -coloring.
- (3) Let H be a hypergraph with a set of finite sets for edges. If every finite partial hypergraph of H has a strong 3-coloring, then H has a strong k-coloring for some k.
- (4) Let H be a hypergraph with a sequence of finite sets for edges. If every finite partial hypergraph of H has a strong 2-coloring, then H has a strong k-coloring for some k.

## Coloring finite edges

Vertex colorings of hypergraphs do differ from graphs.

**Theorem:** (RCA<sub>0</sub>) For  $k \ge 2$ , the following are equivalent.

- (1) ACA<sub>0</sub>
- (2) Let H be a hypergraph with finite edges presented as a sequence of characteristic functions. If every finite partial hypergraph of H has a proper k-coloring, then H has a proper k-coloring.
- **Theorem:** (RCA<sub>0</sub>) For  $k \ge 2$ , the following are equivalent.
- (1) WKL<sub>0</sub>
- (2) Let G be a graph with finite edges presented as a sequence of characteristic functions. If every finite partial graph of G has a proper k-coloring, then H has a proper k-coloring.

Finite vertex colorings of hypergraph with infinite edges are not arithmetically definable.

Theorem: (RCA<sub>0</sub>) Fix k ≥ 2. The following are equivalent.
(1) Π<sup>1</sup><sub>1</sub>-CA<sub>0</sub>, the comprehension scheme for Π<sup>1</sup><sub>1</sub> definable sets.
(2) If ⟨H<sub>i</sub>⟩<sub>i∈ℕ</sub> is a sequence of hypergraphs, then there is a function f : ℕ → 2 such that f(i) = 1 if and only if H<sub>i</sub> has a proper k-coloring.

## Conflict-free colorings

A vertex coloring is called *conflict-free* if each edge contains a color that appears only once in that edge.

Every conflict-free coloring is proper. While hypergraphs with infinite edges may have a finite proper coloring, a finite conflict-free coloring may not exist.

The  $\mathcal{M}$ -graph (the Matroshka graph) is the hyergraph with vertex set  $\mathbb{N}$  and edges  $\{E_j : j \in \mathbb{N}\}$ , where  $E_j = \{k : j \leq k\}$ .

Every finite partial subhypergraph of the  $\operatorname{\mathcal{M}-graph}$  has a conflict-free 2-coloring.

However, RCA<sub>0</sub> can prove that no finite coloring of the  $\mathcal{M}$ -graph is conflict-free. See section 4 of [2] and section 1 of [1].

### Enumerated hypergraphs

An enumerated hypergraph is a set  $V \subseteq \mathbb{N}$  of vertices and a sequence  $\langle e_i \rangle_{i \in \mathbb{N}}$  of enumerations of edges such that  $e_i : \mathbb{N} \to V \cup \{\#\}$  for each *i*.

The vertices of the edge represented by  $e_i$  are those  $v \in V$  such that  $\exists n e_i(n) = v$ .

Edges of a hypergraph presented by a characteristic function corresponds to *computable* sets.

The edges of an e-hypergraph correspond to *computably enumerable* sets.

### Enumerated hypergraphs

Constructing a hypergraph with edges presented by characteristic function when given an e-hypergraph, or vice versa, requires different amounts of set comprehension.



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This provides a motivation to investigate colorings of e-hypergraphs and comparing to the previous results.

# Coloring e-hypergraphs

**Theorem:** (RCA<sub>0</sub>) The following are equivalent:

(1) WKL<sub>0</sub>.

- (2) Let H be an e-hypergraph. If every e-hypergraph fragment of H has a strong k-coloring, then H has a strong k-coloring.
- (3) Let H be an e-hypergraph. If every e-hypergraph fragment of H has a strong 2-coloring, then H has a strong k-coloring for some k.

**Theorem:** (RCA<sub>0</sub>) The following are equivalent.

- (1) ACA<sub>0</sub>
- (2) Let H be a e-hypergraph such that the size of each edge is bounded by some function. If for some k every fragment of H has a proper k-coloring, then H has a proper k-coloring.
- (3) Statement (2) with "proper" replaced by "conflict-free."

### At most *b* edges

A hypergraph with edges represented by a sequence of characteristic functions  $\langle \chi_i \rangle_{i \in \mathbb{N}}$  has at most *b* edges if for any b+1 functions there are indices *i* and *j* such that for all  $n \chi_i(n) = \chi_j(n)$ .

**Theorem:** (RCA<sub>0</sub>) Suppose *H* is a hypergraph with at most *b* edges. There is a finite sequence  $n_0, \ldots, n_k$  with k < b such that every edge of *H* occurs exactly once in the list  $\chi_{n_0}, \ldots, \chi_{n_k}$ .

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An e-hypergraph with a sequence of edge enumerations  $\langle e_i \rangle_{i \in \mathbb{N}}$  has at most b edges if for any collection of b + 1 enumerations there are indices i and j such that  $\forall m \exists n(e_i(m) = e_j(n) \land e_j(m) = e_i(n))$ .

**Theorem:** (RCA<sub>0</sub>) The following are equivalent.

(1)  $I\Sigma_2^0$ , the induction scheme for  $\Sigma_2^0$  formulas.

(2) Let H be an e-hypergraph with at most b disjoint edges. There is a finite sequence n<sub>0</sub>,..., n<sub>k</sub> with k < b such that every edge of H occurs exactly once in the list e<sub>n0</sub>,..., e<sub>nk</sub>.

#### At most *b* edges

**Theorem:** (RCA<sub>0</sub>) The following are equivalent.

(1)  $I\Sigma_2^0$ , the induction scheme for  $\Sigma_2^0$  formulas.

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**Question:** Does the equivalence still hold is the edges are not necessarily disjoint?

Response: If so, it is not obvious.

**Conjecture:** (RCA<sub>0</sub>) The following are equivalent. (1)  $B\Pi_2^0$ : Let  $\psi$  be a  $\Pi_2^0$  formula. For any fixed u,

 $\forall x \leqslant u \,\exists y \,\psi(x, y) \longrightarrow \exists v \,\forall x \leqslant u \,\exists y \leqslant v \,\psi(x, y)$ 

(2) Let H be an e-hypergraph with at most b edges. There is a finite sequence n<sub>0</sub>,..., n<sub>k</sub> with k < b such that every edge of H occurs exactly once in the list end model.</li>

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