

Persistence and Simplicial Metric Thickenings

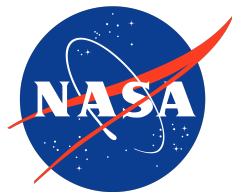
Michael Moy

February 2024

Acknowledgements



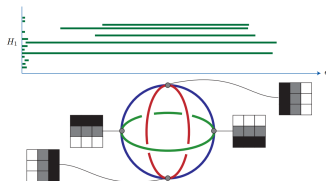
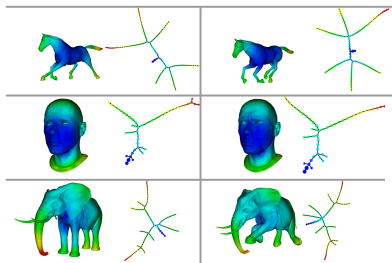
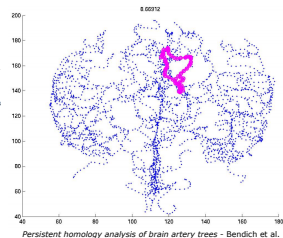
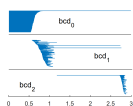
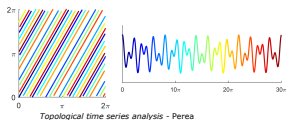
Henry Adams



Alan Hylton
Bob Kassouf-Short

Family and friends

Applied topology/Topological data analysis



Barcodes: The persistent topology of data - Ghrist
On the local behavior of spaces of natural images - Carlsson et al.

- ▶ Roughly 25 year history
- ▶ Topological techniques for applied problems
- ▶ Heavy emphasis on mathematical theory

My work...

- ▶ revolves around the theory of one-parameter persistent homology
- ▶ builds on previous research on geometric constructions used in persistent homology
- ▶ solves some preexisting problems and provides tools for future research

Simplicial complexes



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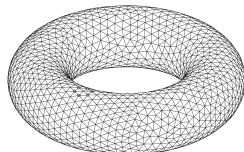
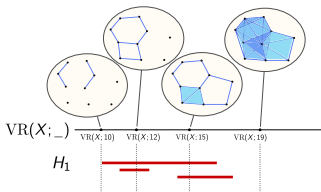
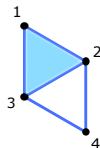
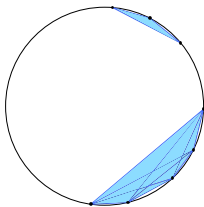
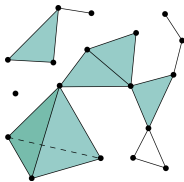
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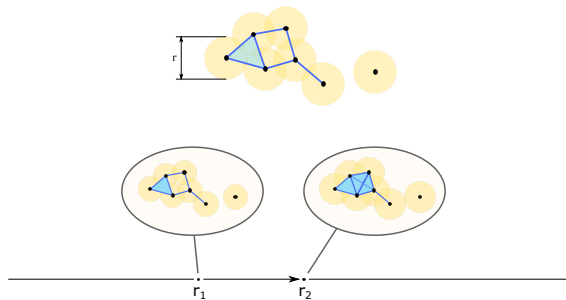


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Vietoris–Rips

“Connect points that are close”

► $\text{VR}_{\leq}(X; r) = \{\sigma \subseteq X \mid \sigma \text{ finite, } \text{diam } \sigma \leq r\}$

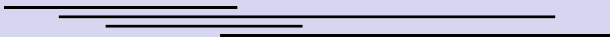


One-parameter filtration: “spaces evolving over time”



$$X_{s \leq t}: X_s \longrightarrow X_t$$

Apply H_n to get the *persistent homology module* $H_n(X)$



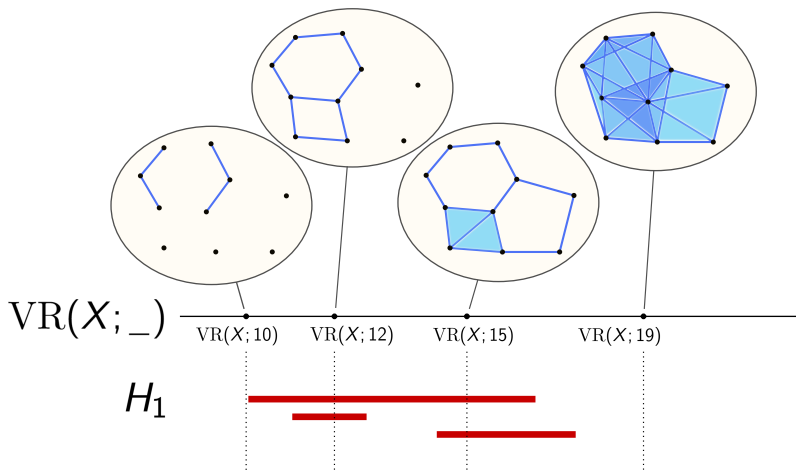
The “output” of persistent homology

The *barcode* of $H_n(X)$ records the lifetimes of homological features:



Barcodes exist under reasonable conditions and are “stable” to perturbations

Vietoris–Rips persistent homology



Topology of simplicial complexes

- ▶ Standard coherent / colimit topology
- ▶ Classical metric topology (not used here)
- ▶ Metric thickening topology

All agree for finite simplicial complexes but can differ for infinite

Simplicial metric thickenings

- ▶ *Metric reconstruction via optimal transport* Adamaszek, Adams, and Frick (2018)

If K is a simplicial complex with vertex set a metric space (X, d) ,

$$K^m = \left\{ \sum_{i=1}^n \lambda_i \delta_{x_i} \mid \lambda_i \geq 0 \text{ for all } i, \sum_{i=1}^n \lambda_i = 1, [x_1, \dots, x_n] \in K \right\},$$

equipped with the 1-Wasserstein metric

- ▶ Isometric embedding $X \hookrightarrow K^m$
- ▶ Vietoris–Rips metric thickenings: $\text{VR}^m(X; r)$

Vietoris–Rips properties

Theorem (Hausmann / Adamaszek, Adams, and Frick)

For a closed Riemannian manifold M ,

$\text{VR}(M; r) \simeq \text{VR}^m(M; r) \simeq M$ for small enough r .

Theorem (Equivalence of Vietoris–Rips persistent homology)

If X is a totally bounded metric space, then $H_n(\text{VR}(X))$ and $H_n(\text{VR}^m(X))$ have identical barcodes up to open vs. closed endpoints.

Theorem (Gillespie)

The natural map $\text{VR}_{<}(X; r) \rightarrow \text{VR}^m_{<}(X; r)$ is a weak homotopy equivalence.

Vietoris–Rips properties

“Close filtrations produce close barcodes”

Theorem (Stability of Vietoris–Rips persistent homology)

If X and Y are totally bounded metric spaces, then

$$d_B\left(\text{bar}(H_n(\text{VR}(X))), \text{bar}(H_n(\text{VR}(Y)))\right) \leq 2d_{GH}(X, Y)$$

and

$$d_B\left(\text{bar}(H_n(\text{VR}^m(X))), \text{bar}(H_n(\text{VR}^m(Y)))\right) \leq 2d_{GH}(X, Y)$$

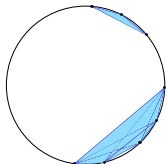
Applies even in the case of spaces with infinitely many points.



- ▶ Homotopy types of $\text{VR}(S^1; r)$: Adamaszek and Adams, 2015.
- ▶ Matching homotopy types of the metric thickenings $\text{VR}_{\leq}^m(S^1; r)$: *Vietoris-Rips Metric Thickenings of the Circle*, Journal of Applied and Computational Topology, 2023

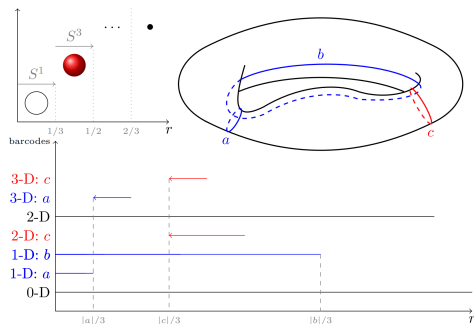
Theorem

If $r \in \left[\frac{2k\pi}{2k+1}, \frac{(2k+2)\pi}{2k+3} \right)$, then $\text{VR}_{\leq}^m(S^1; r) \simeq S^{2k+1}$.



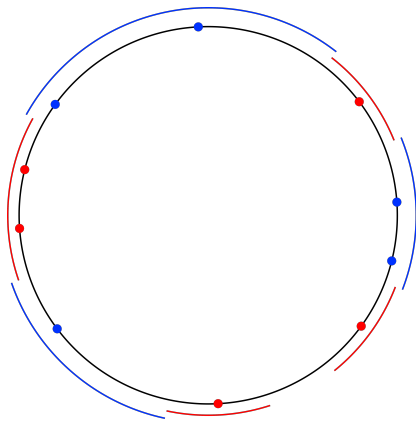
Implications for persistence

- ▶ Interpretation of persistent homology in practice
- ▶ New techniques in persistent homology



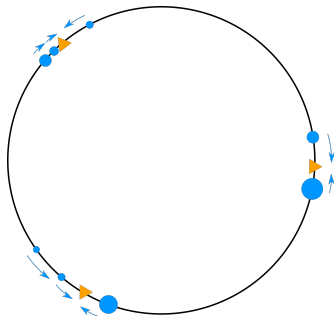
Footprints of Geodesics in Persistent Homology – Virk

What measures are possible?



Method outline

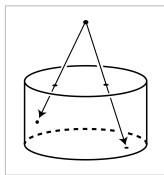
- ▶ Gather clusters
- ▶ Identify every measure with an odd polygonal measure while preserving homotopy type



Technical properties

- ▶ Need properties of homotopies of simplicial metric thickenings
- ▶ Support homotopies
- ▶ Homotopy extension property

Extend classical ideas:



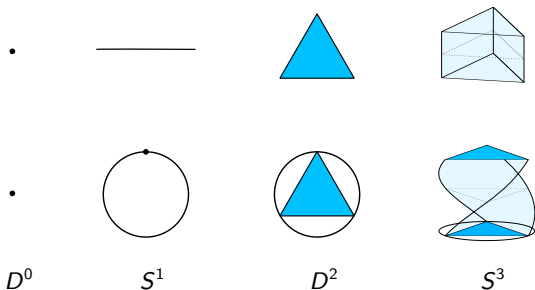
Algebraic Topology – Hatcher

$$\begin{array}{ccccc} S^{2k-1} & \xrightarrow{\Phi_{2k}|_{S^{2k-1}}} & X_{2k-1} & & \\ \downarrow 1 & \searrow & \downarrow & \searrow \varphi_{2k-1} & \\ & & S^{2k-1} & \xrightarrow{f} & S^{2k-1} \\ \downarrow & & \downarrow & & \downarrow \\ D^{2k} & \xrightarrow{\Phi_{2k}} & X_{2k} & & \\ \downarrow 1 & \searrow & \downarrow & \searrow \psi & \\ & & D^{2k} & \xrightarrow{\quad} & S^{2k-1} \sqcup_f D^{2k} \end{array}$$

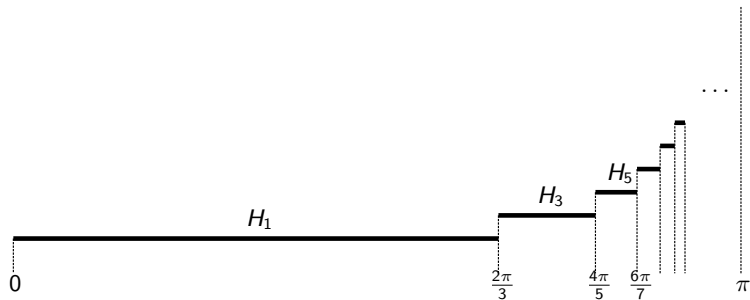
The homotopy types



- ▶ Result: a CW complex
- ▶ Induction to find homotopy types



Barcodes of $\text{VR}_{\leq}^m(S^1)$



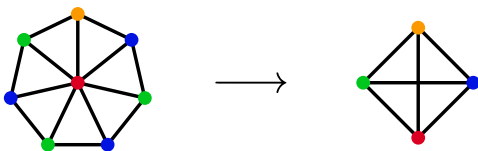
Anti-Vietoris–Rips

“Connect points that are far”

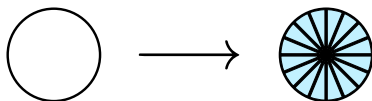
- ▶ $\text{AVR}(X; r) = \{\sigma \subseteq X \mid \sigma \text{ finite, spread } \sigma \geq r\}$
- ▶ Contravariant filtration
- ▶ $\text{AVR}^m(X; r)$: metric thickening topology
- ▶ Connections/applications to graph coloring

Graph coloring

- ▶ An n -coloring of G is the same as a homomorphism $G \rightarrow K_n$

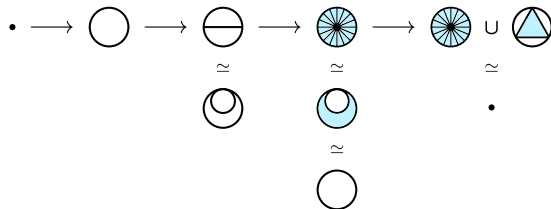


- ▶ Replace K_n with $\text{AVR}(S^1; \frac{2\pi}{n})$
- ▶ Circular chromatic number: $\lceil \chi_C \rceil = \chi$



- ▶ First step: gluing in “diameters” produces Möbius strip M
- ▶ Degree two map $S^1 \rightarrow M \simeq S^1$

Techniques for higher dimensions

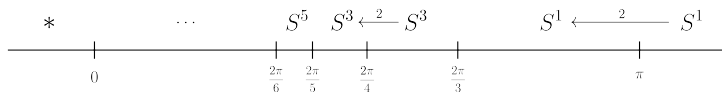


- ▶ Rely on degrees of attaching maps
- ▶ Third step uses homotopy group $\pi_n(S^n \vee S^n)$
- ▶ Fourth step introduces a manifold and applies Mayer–Vietoris

Homotopy types

Theorem

If $r \in \left(\frac{2\pi}{2k+1}, \frac{2\pi}{2k-1}\right]$, then $\text{AVR}_{\geq}^m(S^1; r) \simeq S^{2k-1}$.



Degree two maps \implies persistent homology depends on characteristic of field

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