

## Section 3.3 Poincaré duality

We'll start with an easier version.

Formal version is Thm 3.30.

Recall a closed manifold is compact without boundary.

### Poincaré Duality (easier version)

Let  $M$  be a closed  $n$ -dimensional manifold.

Let  $M$  be differentiable (or more generally, let  $M$  have a pair of "dual cell structures".)

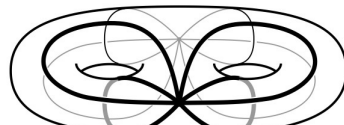
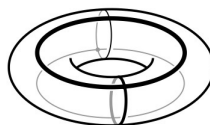
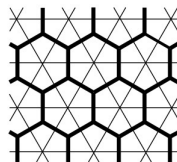
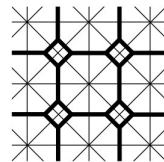
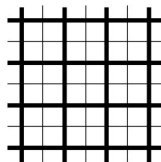
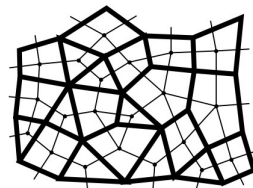
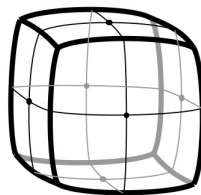
Then  $H^k(M; \mathbb{Z}_2) \cong H_{n-k}(M; \mathbb{Z}_2)$ .

Furthermore, if  $M$  is orientable,

then  $H^k(M; \mathbb{Z}) \cong H_{n-k}(M; \mathbb{Z})$ .

Rmk Thm 3.30 does not require differentiability or dual cell structures.

Rmk The isomorphism in Thm 3.30 takes cap products with the fundamental class.

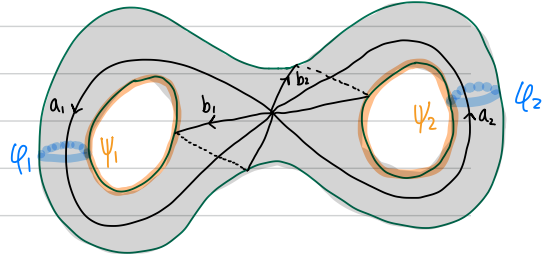
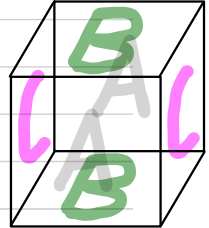


Orientable examples  $H^k(M; \mathbb{Z}) \cong H_{n-k}(M; \mathbb{Z})$

Sphere  $H^k(S^n; \mathbb{Z}) \cong \begin{cases} 0 & k=0, n \\ \mathbb{Z} & \text{o.w.} \end{cases} \cong H_{n-k}(S^n; \mathbb{Z})$

Torus (n-dimensional)  $H^k((S^1)^n; \mathbb{Z}) \cong \mathbb{Z}^{\binom{n}{k}} \cong \mathbb{Z}^{\binom{n}{n-k}} \cong H_{n-k}((S^1)^n; \mathbb{Z})$

Orientable surface of genus g  $H^k(M_g; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & k=0, 2g \\ \mathbb{Z}^{2g} & k=1 \end{cases} \cong H_{n-k}(M_g; \mathbb{Z})$



Projective space  $\mathbb{R}P^n$  is orientable for n odd.

$$H_i(\mathbb{R}P^n; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & i=0 \text{ or } i=n \text{ odd} \\ \mathbb{Z}_2 & 0 < i < n \text{ odd} \\ 0 & \text{o.w.} \end{cases}$$

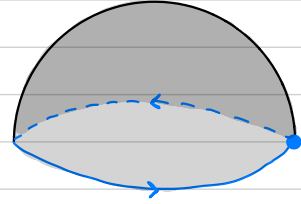
$$H^i(\mathbb{R}P^n; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & i=0 \text{ or } i=n \text{ odd} \\ \mathbb{Z}_2 & 0 < i < n \text{ even} \\ 0 & \text{o.w.} \end{cases}$$

So for n odd,  $H^k(\mathbb{R}P^n; \mathbb{Z}) \cong H_{n-k}(\mathbb{R}P^n; \mathbb{Z})$ .

Non-orientable examples  $H^k(M; \mathbb{Z}_2) \cong H_{n-k}(M; \mathbb{Z}_2)$

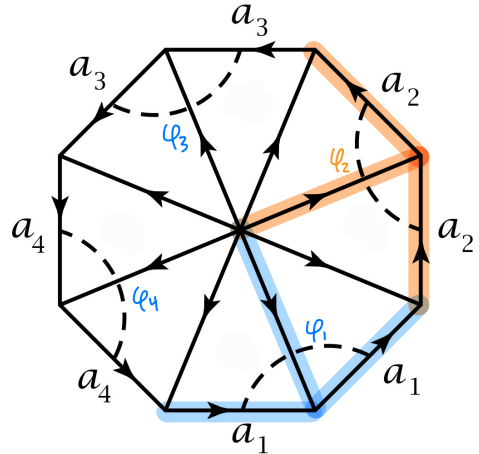
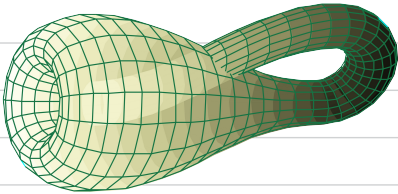
$\mathbb{R}P^n$  is not orientable for  $n$  even.

$$H^k(\mathbb{R}P^n; \mathbb{Z}_2) \cong \begin{cases} \mathbb{Z}_2 & 0 \leq k \leq n \\ 0 & \text{o.w.} \end{cases} \cong H_{n-k}(\mathbb{R}P^n; \mathbb{Z}_2)$$



Non-orientable surface  
of genus  $g$

$$H^k(N_g; \mathbb{Z}_2) \cong \begin{cases} \mathbb{Z}_2 & k=0, 2 \\ (\mathbb{Z}_2)^g & k=1 \\ 0 & \text{o.w.} \end{cases} \cong H_{n-k}(N_g; \mathbb{Z}_2)$$



Bmk The local property of closed manifolds  
(locally homeomorphic to  $\mathbb{R}^n$ ) imposes strong control  
on global properties (homology and cohomology).

# Introduction to manifolds

Def An  $n$ -manifold is a second-countable Hausdorff space locally homeomorphic to  $\mathbb{R}^n$ .

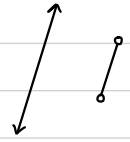


Means each  $x \in M$  has a neighborhood  $U \ni x$  homeomorphic to  $\mathbb{R}^n$ , or equivalently, " " " " " " " " some open set in  $\mathbb{R}^n$ .

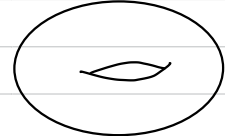
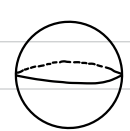
$n=0$



$n=1$



$n=2$



Dim  $n$

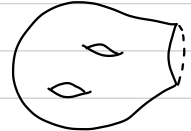
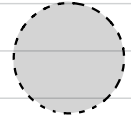
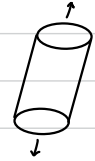
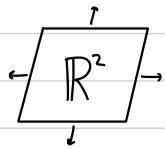
$\mathbb{R}^n$

$S^n$

$(S^1)^n$

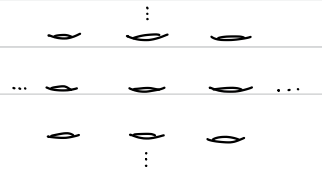
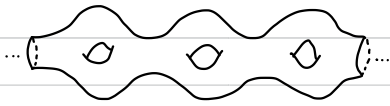
$\mathbb{R}P^n$

many others!



Dim  $2n$

$\mathbb{C}P^n$



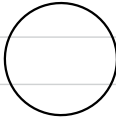


Second countable (topology has a countable basis) rules out the long line.

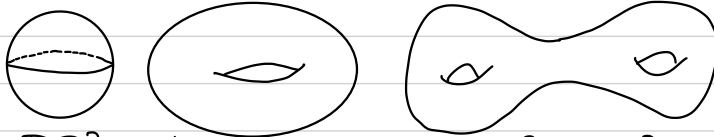
Hausdorff rules out the line with two origins  $\leftarrow \overset{\circ}{\underset{\circ}{|}} \rightarrow$

The only closed connected  $n$ -dimensional manifolds (up to homeomorphism) are

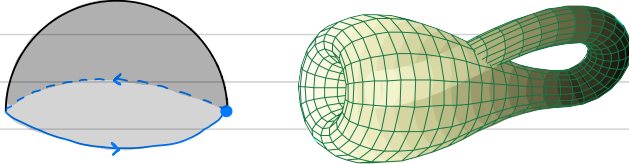
$n=0$  The point  $\bullet$

$n=1$  The circle 

$n=2$   $S^2$ , torus  $T^2 = S^1 \times S^1$ ,  $T^2 \# T^2$ , all genus  $g$  tori  $M_g := \underbrace{T^2 \# \dots \# T^2}_{g \text{ times}}$ ,



$\mathbb{RP}^2$ , Klein bottle  $\mathbb{RP}^2 \# \mathbb{RP}^2$ , all genus  $g$  nonorientable surfaces  $N_g := \underbrace{\mathbb{RP}^2 \# \dots \# \mathbb{RP}^2}_{g \text{ times}}$ .



$n=3$  Hard!

Every closed connected 2-manifold can be given a metric with constant curvature (positive, zero, or negative).

Proven by Perelman in 2006, declined Fields medal

Thurston's geometrization conjecture (now theorem) says each closed 3-manifold can be canonically decomposed into pieces with one of eight types of geometric structure. It implies the...

Poincaré conjecture (now theorem) Every closed connected 3-manifold with trivial fundamental group is homeomorphic to  $S^3$ .

$n=4$  Hard!

$n \geq 5$  Hard, but some things get easier.

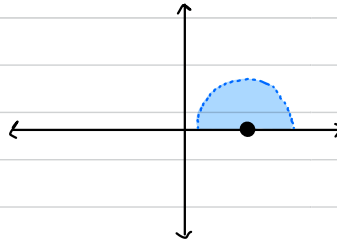
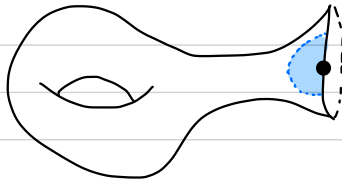
In 1961, Smale proved a generalized Poincaré conjecture (a homotopy  $n$ -sphere is homeomorphic to  $S^n$ ) for  $n \geq 5$ .

In 1982, Freedman proved it for  $n=4$ .

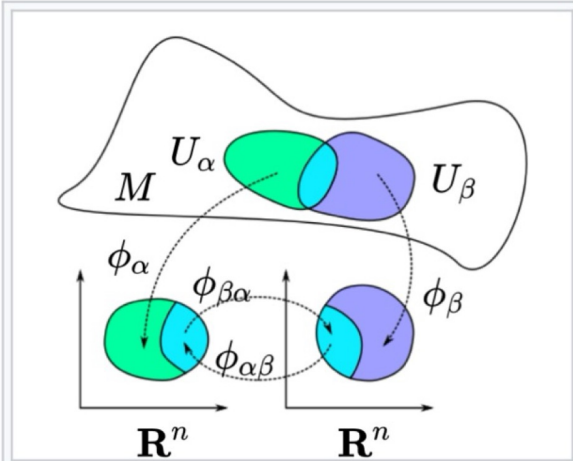
The trivial  $\pi_1$  assumption does not suffice for  $n \geq 4$ .

Invariance of dimension For  $n \neq m$ , a space cannot be both an  $n$ -manifold and an  $m$ -manifold.

Def An  $n$ -manifold with boundary (need not be a manifold!) is a second-countable Hausdorff space locally homeomorphic to  $\mathbb{R}^n$  or to  $\mathbb{R}_+^n := \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_n \geq 0\}$ .



From Wikipedia:



The transition map of two charts.  $\phi_{\alpha\beta}$  denotes  $\phi_\alpha \circ \phi_\beta^{-1}$  and  $\phi_{\beta\alpha}$  denotes  $\phi_\beta \circ \phi_\alpha^{-1}$ .  $\square$

Given a topological space  $M...$

a $C^k$ atlas	is a collection of charts	$\{\varphi_\alpha : U_\alpha \rightarrow \mathbf{R}^n\}_{\alpha \in A}$	such that $\{U_\alpha\}_{\alpha \in A}$ covers $M$ , and such that for all $\alpha$ and $\beta$ in $A$ , the transition map $\varphi_\alpha \circ \varphi_\beta^{-1}$ is	a $C^k$ map
a smooth or $C^\infty$ atlas		$\{\varphi_\alpha : U_\alpha \rightarrow \mathbf{R}^n\}_{\alpha \in A}$		a smooth map
an analytic or $C^\omega$ atlas		$\{\varphi_\alpha : U_\alpha \rightarrow \mathbf{R}^n\}_{\alpha \in A}$		a real-analytic map
a holomorphic atlas		$\{\varphi_\alpha : U_\alpha \rightarrow \mathbf{C}^n\}_{\alpha \in A}$		a holomorphic map

A differentiable ( $C^k$  or  $C^\infty$ ) manifold is a second-countable Hausdorff space  $M$  equipped with a maximal differentiable atlas.

Whitney embedding theorem A  $C^\infty$   $n$ -manifold can be smoothly embedded in  $\mathbb{R}^{2n}$ .  
(For  $n$  a power of 2,  $\mathbb{R}P^n$  cannot be embedded in  $\mathbb{R}^{2n-1}$ .)

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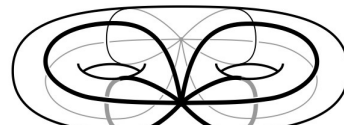
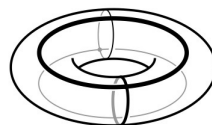
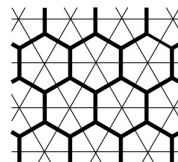
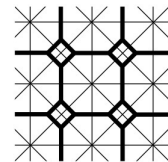
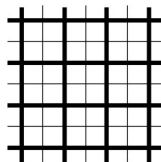
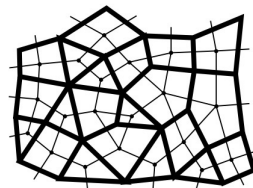
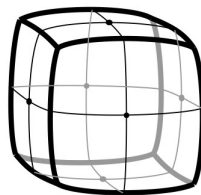
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Furthermore, if  $M$  is orientable,

then  $H^k(M; \mathbb{Z}) \cong H_{n-k}(M; \mathbb{Z})$ .

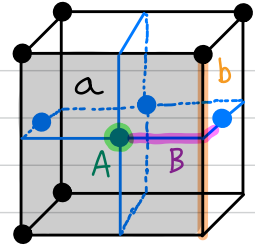
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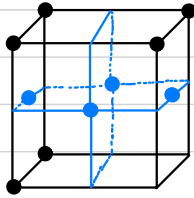
A dual cell decomposition of an  $n$ -manifold  $M$  is a pair of finite cell structures  $C, C^*$  such that

- each  $(n-k)$ -cell of  $C$  has a corresponding  $k$ -cell of  $C^*$
- the boundary of an  $(n-k)$ -cell  $\sigma$  in  $C$  contains an  $(n-k-1)$ -cell  $\Leftrightarrow$  the boundary of the dual  $(k+1)$ -cell in  $C^*$  contains the dual  $k$ -cell.



Picture  $n=2, k=0$ .

Ex  $M=S^2$

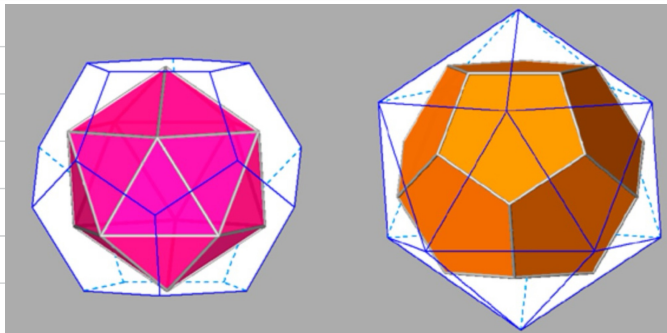


$C$ : 8 vertices  
12 edges  
6 2-cells

Cube

$C^*$ : 6 vertices  
12 edges  
8 2-cells

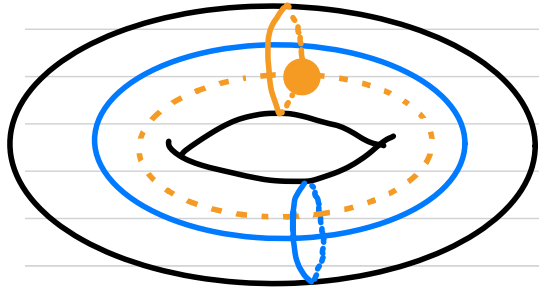
Octahedron



The icosahedron and dodecahedron are also dual.

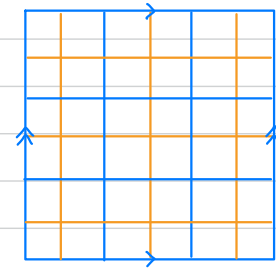
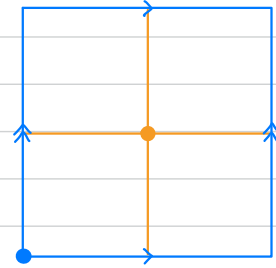
Image from [cosmic-core.org](http://cosmic-core.org).

Ex  $M = S^1 \times S^1$



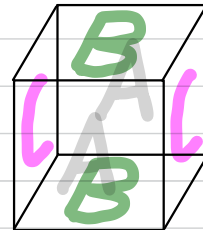
$C$ : 1 vertex  
2 edges  
1 2-cell

$C^*$ : 1 vertex  
2 edges  
1 2-cell



We can get finer dual cell decompositions via

A similar construction works for  $S^1 \times S^1 \times S^1$   
with all squares replaced by cubes.



## Proof of Poincaré Duality (easier version)

Use CW structure  $C$  to build a chain complex,  
and CW structure  $C^*$  to build a cochain complex.

$$\begin{array}{ccccccccccc} 0 & \rightarrow & C_n(M; \mathbb{Z}_2) & \xrightarrow{\partial_n} & C_{n-1}(M; \mathbb{Z}_2) & \xrightarrow{\partial_{n-1}} & \dots & \xrightarrow{\partial_2} & C_1(M; \mathbb{Z}_2) & \xrightarrow{\partial_1} & C_0(M; \mathbb{Z}_2) & \xrightarrow{\partial_0} & 0 \\ & & \downarrow & & \downarrow & & & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & C^{*0}(M; \mathbb{Z}_2) & \xrightarrow{\delta^0} & C^{*1}(M; \mathbb{Z}_2) & \xrightarrow{\delta^1} & \dots & \xrightarrow{\delta^{n-2}} & C^{*(n-1)}(M; \mathbb{Z}_2) & \xrightarrow{\delta^{n-1}} & C^{*n}(M; \mathbb{Z}_2) & \xrightarrow{\delta^n} & 0 \end{array}$$

$$\begin{aligned} \text{For all } k \text{ we have } C_{n-k}(M; \mathbb{Z}_2) &\cong \mathbb{Z}_2^{\oplus (\# \text{ (n-k)-cells in } C)} \\ &\cong \mathbb{Z}_2^{\times (\# \text{ k-cells in } C^*)} \\ &\cong C^{*k}(M; \mathbb{Z}_2) \end{aligned}$$

Furthermore, we'll see that under this correspondence,  $\partial_{n-k}$  corresponds to  $\delta^k$ .  
Hence  $H^k(M; \mathbb{Z}_2) \cong H_{n-k}(M; \mathbb{Z}_2)$ .

When  $M$  is orientable, a similar proof works with  $\mathbb{Z}$  coefficients.



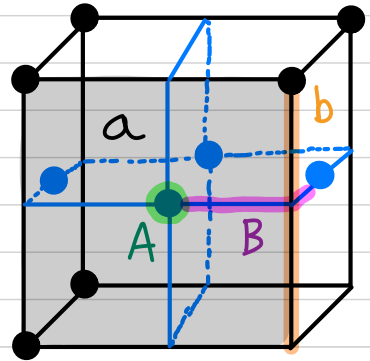
To see  $\partial_{n-k}$  corresponds to  $\delta^k$ :

Let  $a$  be an  $(n-k)$ -cell in  $C$ .

Let  $b$  be an  $(n-k-1)$ -cell in  $C$ .

Let  $A$  be the corresponding  $k$ -cell in  $C^*$ .

Let  $B$  be the corresponding  $(k+1)$ -cell in  $C^*$ .



By the second bullet defining dual cell decompositions,

$b$  has coefficient 1 in  $\partial a$

$\iff A$  has coefficient 1 in  $\partial B$

$\iff B^*$  has coefficient 1 in  $\delta A^*$  (since  $\delta A^*(B) := A^*(\partial B)$ ).

Picture  $n=2, k=0$ .

(Recall  $B^*$  is the cochain assigning 1 to the  $(k+1)$ -cell  $B$ ,  
and  $A^*$  is the cochain assigning 1 to the  $k$ -cell  $A$ .)

Since this is true for all  $a, b, A, B$ ,

$\partial_{n-k}$  corresponds to  $\delta^k$ .

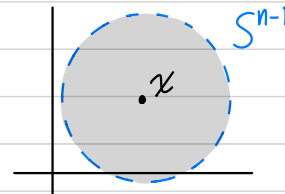
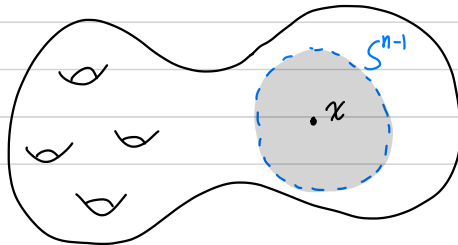
Let  $R$  be a commutative ring with identity (think  $R = \mathbb{Z}$  or  $\mathbb{Z}_2$ ).

Thm 3.30 (Poincaré Duality) If  $M$  is a closed  $R$ -orientable  $n$ -manifold with fundamental class  $[M] \in H_n(M; R)$ , then the map  $D: H^k(M; R) \rightarrow H_{n-k}(M; R)$  defined by  $D(\alpha) = [M] \cap \alpha$  is an isomorphism  $\forall k$ .

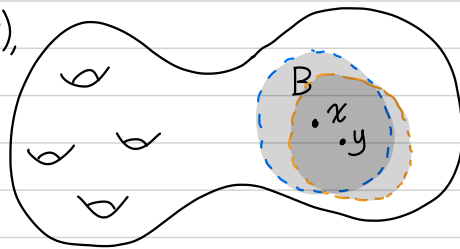
Rmk We need to define  $R$ -orientable, fundamental class, and the cap product  $\cap$ .

Def (local) An  $R$ -orientation of  $M$  at  $x \in M$  is a choice of generator/unit (element  $u \in R$  with  $Ru = R$ ) of

$$\begin{aligned} H_n(M, M - \{x\}; R) &\cong H_n(\mathbb{R}^n, \mathbb{R}^n - \{x\}; R) && \text{by excision and the def}^n \text{ of } n\text{-manifold} \\ &\cong H_{n-1}(\mathbb{R}^n - \{x\}; R) && \text{by the LES of the pair } (\mathbb{R}^n, \mathbb{R}^n - \{x\}) \text{ with } \mathbb{R}^n \simeq * \\ &\cong H_{n-1}(S^{n-1}; R) && \text{since } \mathbb{R}^n - \{x\} \simeq S^{n-1} \\ &\cong R. \end{aligned}$$



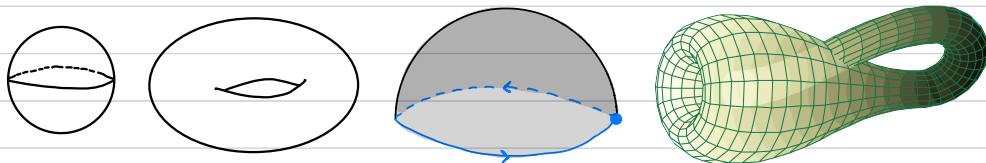
Def (global) An R-orientation of  $M$  is a function  $x \mapsto \mu_x$  assigning to each  $x \in M$  a local orientation  $\mu_x \in H_n(M, M - \{x\}; \mathbb{R})$ , satisfying the consistency criterion that each  $x \in M$  has an open neighborhood  $x \in B \subseteq M$  with  $\mu_B \in H_n(M, M - B; \mathbb{R})$ , where  $\forall y \in B$  we have  $H_n(M, M - B; \mathbb{R}) \rightarrow H_n(M, M - \{y\}; \mathbb{R})$

$$\mu_B \longmapsto \mu_y.$$


If an R-orientation exists then  $M$  is R-orientable.

Ex Any manifold is  $\mathbb{Z}_2$ -orientable as there is only one choice of generator.

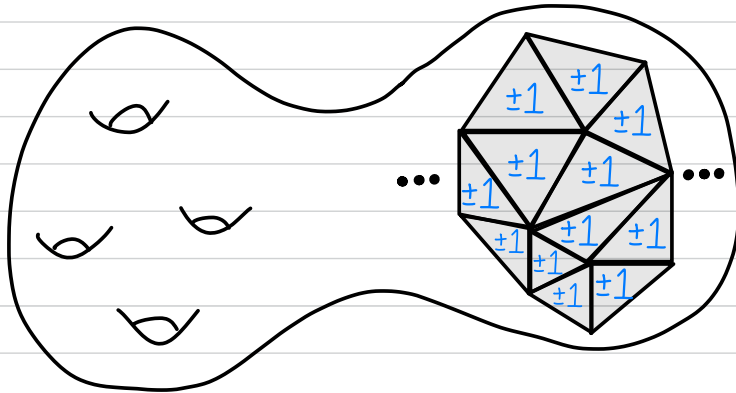
Ex Spheres and tori are  $\mathbb{Z}$ -orientable whereas the Klein bottle and  $\mathbb{R}P^2$  are not.



Def A fundamental class for  $M$  is an element  $[M] \in H_n(M; \mathbb{R})$  satisfying  $H_n(M; \mathbb{R}) \longrightarrow H_n(M, M - \{x\}; \mathbb{R})$   
 $[M] \longmapsto M_x$  (local orientation)  
 for all  $x \in M$ .

Fact  $M$  is  $\mathbb{R}$ -orientable  $\iff$  a fundamental class exists.

Ex When  $M$  is a  $\Delta$ -complex and  $\mathbb{R} = \mathbb{Z}$ ,  
 a fundamental class  $[M] \in H_n(M; \mathbb{Z})$  is an  $n$ -cycle  
 with coefficient  $\pm 1$  on each  $n$ -simplex of  $M$ .



## Cap product

For  $X$  a space, we'll have a cap product  $H_k(X; \mathbb{R}) \times H^l(X; \mathbb{R}) \xrightarrow{\cap} H_{k-l}(X; \mathbb{R})$ .

This has close connections to the cup product  $H^k(X; \mathbb{R}) \times H^l(X; \mathbb{R}) \xrightarrow{\cup} H^{k+l}(X; \mathbb{R})$ .

For  $k \geq l$ , define the  $\mathbb{R}$ -bilinear map  $C_k(X; \mathbb{R}) \times C^l(X; \mathbb{R}) \xrightarrow{\cap} C_{k-l}(X; \mathbb{R})$

by  $\sigma \cap \varphi = \varphi(\sigma|_{[v_0, \dots, v_l]}) \sigma|_{[v_l, \dots, v_k]}$  for  $\sigma: \Delta^k \rightarrow X$  a singular simplex.

$k$	$l$	Picture of $\sigma \cap \varphi$
3	1	
3	2	
4	2	

So  $\varphi$  "eats" the first  $l$  dimensions to turn a  $k$ -simplex into a  $(k-l)$ -simplex.

Note  $\partial(\sigma \cap \varphi) = (-1)^l (\partial\sigma \cap \varphi - \sigma \cap \delta\varphi)$ . The cap product of ...

- a cycle and a cocycle is a cycle  
since  $\partial(\sigma \cap \varphi) = 0$  if  $\partial\sigma = 0$  and  $\delta\varphi = 0$ .
- a cycle and a coboundary is a boundary  
since  $\sigma \cap \delta\varphi = \pm \partial(\sigma \cap \varphi)$  if  $\partial\sigma = 0$ .
- a boundary and a cocycle is a boundary  
since  $\partial\sigma \cap \varphi = \pm \partial(\sigma \cap \varphi)$  if  $\delta\varphi = 0$ .

Hence we get an induced  $R$ -bilinear cap product  $H_k(X; R) \times H^l(X; R) \xrightarrow{\cap} H_{k-l}(X; R)$ .

Verification Let  $\sigma: \Delta^k \rightarrow X$  and let  $\varphi \in C^l(X; R)$  with  $k \geq l$ .

$$\begin{aligned} \partial\sigma \cap \varphi &= \left( \sum_{i=0}^k (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_k]} \right) \cap \varphi \\ &= \sum_{i=0}^l (-1)^i \varphi(\sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_{l+1}]} \sigma|_{[v_{l+1}, \dots, v_k]}) \\ &\quad + \sum_{i=l+1}^k (-1)^i \varphi(\sigma|_{[v_0, \dots, v_l]} \sigma|_{[v_l, \dots, \hat{v}_i, \dots, v_k]}) \end{aligned}$$

$$\sigma \cap \delta\varphi = \sum_{i=0}^{l+1} (-1)^i \varphi(\sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_{l+1}]} \sigma|_{[v_{l+1}, \dots, v_k]})$$

$$\partial(\sigma \cap \varphi) = \sum_{i=l}^k (-1)^{i-l} \varphi(\sigma|_{[v_0, \dots, v_l]} \sigma|_{[v_l, \dots, \hat{v}_i, \dots, v_k]})$$

Hence we're done upon observing the  $i=l+1$  term in  $\sigma \cap \delta\varphi$  aligns with the  $i=l$  term in  $\partial(\sigma \cap \varphi)$  after incorporating all signs.

The cap product has relative forms

$$\begin{aligned} H_k(X, A; R) \times H^l(X; R) &\xrightarrow{\cap} H_{k-l}(X, A; R) \\ H_k(X, A; R) \times H^l(X, A; R) &\xrightarrow{\cap} H_{k-l}(X; R). \end{aligned}$$

For the first case, the cap product  $C_k(X; R) \times C^l(X; R) \xrightarrow{\cap} C_{k-l}(X; R)$   
restricts to  $C_k(A; R) \times C^l(X; R) \xrightarrow{\cap} C_{k-l}(A; R) \cong C_{k-l}(X; R)$

So there is an induced cap product  $C_k(X, A; R) \times C^l(X; R) \xrightarrow{\cap} C_{k-l}(X, A; R)$   
 $\text{ii}$   
 $C_k(X; R)/C_k(A; R)$

with the formula for  $\partial(\sigma \cap \psi)$  maintained, and we can pass to (co)homology.

The cap product has relative forms

$$\begin{aligned} H_k(X, A; R) \times H^l(X; R) &\xrightarrow{\cap} H_{k-l}(X, A; R) \\ H_k(X, A; R) \times H^l(X, A; R) &\xrightarrow{\cap} H_{k-l}(X; R). \end{aligned}$$

For the second case, recall  $C^l(X, A; R) := \text{Hom}(C_l(X, A), R)$  can be viewed as the functions  $C_l(X) \rightarrow R$  that vanish on singular  $l$ -simplices in  $A$ .

As such, the cap product  $C_k(X; R) \times C^l(X; R) \xrightarrow{\cap} C_{k-l}(X; R)$   
restricts to  $C_k(X; R) \times C^l(X, A; R) \xrightarrow{\cap} C_{k-l}(X; R)$   
which is zero on  $C_k(A; R) \times C^l(X, A; R)$ .

So there is an induced cap product  $C_k(X, A; R) \times C^l(X, A; R) \xrightarrow{\cap} C_{k-l}(X; R)$

with the formula for  $\partial(\sigma \cap \eta)$  maintained, and we can pass to (co)homology.

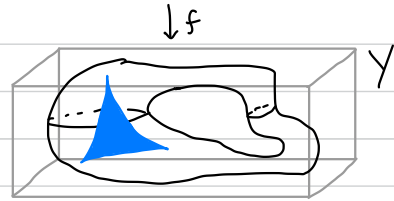
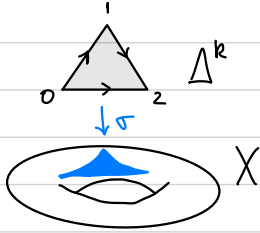


## Naturality of cap product

For  $f: X \rightarrow Y$ , the diagram

$$\begin{array}{ccc}
 H_k(X; \mathbb{R}) \times H^l(X; \mathbb{R}) & \xrightarrow{\cap} & H_{k-l}(X; \mathbb{R}) \\
 \downarrow f_* & \uparrow f^* & \downarrow f_* \\
 H_k(Y; \mathbb{R}) \times H^l(Y; \mathbb{R}) & \xrightarrow{\cap} & H_{k-l}(Y; \mathbb{R}) \\
 & \downarrow \varphi & 
 \end{array}$$

satisfies  $f_*(\alpha) \cap \varphi = f_*(\alpha \cap f^*(\varphi))$ .



$$\begin{array}{ccc}
 C_k(X) & \xrightarrow{f_\#} & C_k(Y) \\
 & \searrow & \downarrow \\
 & & \mathbb{R}
 \end{array}$$

PF For  $\sigma: \Delta^k \rightarrow X$  and  $\varphi \in C^l(Y; \mathbb{R})$ , we have

$$\begin{aligned}
 f_\#(\sigma) \cap \varphi &= f_\# \sigma \cap \varphi \\
 &= \varphi(f\sigma|_{[v_0, \dots, v_k]}) f\sigma|_{[v_0, \dots, v_k]} \\
 &= (f^*\varphi)(\sigma|_{[v_0, \dots, v_k]}) f_\#(\sigma|_{[v_0, \dots, v_k]}) \\
 &= f_\#(\sigma \cap f^*\varphi).
 \end{aligned}$$

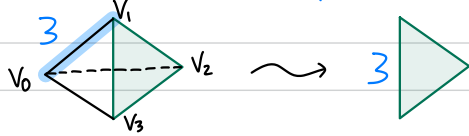
Extend linearly to  $C_k(X; \mathbb{R})$ , and then pass to (co)homology.

Recall:

Thm 3.30 (Poincaré Duality) If  $M$  is a closed  $\mathbb{R}$ -orientable  $n$ -manifold with fundamental class  $[M] \in H_n(M; \mathbb{R})$ , then the map  $D: H^k(M; \mathbb{R}) \rightarrow H_{n-k}(M; \mathbb{R})$  defined by  $D(\alpha) = [M] \cap \alpha$  is an isomorphism  $\forall k$ .

For  $k \geq l$ , define the  $\mathbb{R}$ -bilinear map  $C_k(X; \mathbb{R}) \times C^l(X; \mathbb{R}) \xrightarrow{\cap} C_{k-l}(X; \mathbb{R})$  by  $\sigma \cap \varphi = \varphi(\sigma|_{[v_0, \dots, v_l]}) \sigma|_{[v_l, \dots, v_k]}$  for  $\sigma: \Delta^k \rightarrow X$  a singular simplex.

Picture of  $\sigma \cap \varphi$



The formula  $\partial(\sigma \cap \varphi) = (-1)^l (\partial\sigma \cap \varphi - \sigma \cap \partial\varphi)$  then gives a cap product  $H^k(X; \mathbb{R}) \times H^l(X; \mathbb{R}) \xrightarrow{\cap} H_{k-l}(X; \mathbb{R})$ .

Ex 3.31 Surfaces. Let  $M = M_g$  be an orientable surface of genus  $g \geq 1$ .

$$H_1(M; \mathbb{Z}) \cong \mathbb{Z}^{2g} \text{ gen. } a_1, b_1, \dots, a_g, b_g \quad H^1(M; \mathbb{Z}) \cong \mathbb{Z}^{2g} \text{ gen. } \varphi_1, \psi_1, \dots, \varphi_g, \psi_g$$

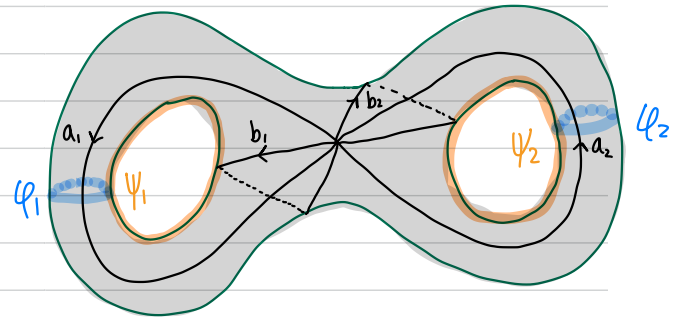
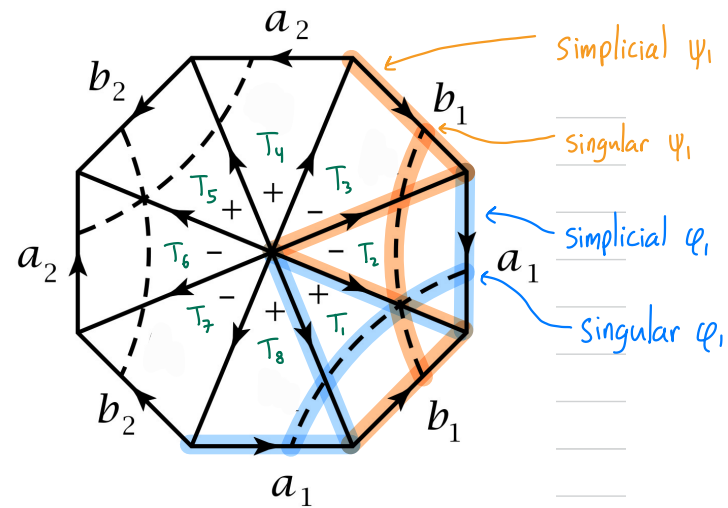
Fundamental class  $[M] \in H_2(M; \mathbb{Z})$  is generated by  $\sum_{j=1}^8 \pm T_j$  (signs shown).

$$\begin{aligned} [M] \cap \varphi_1 &= \sum_{j=1}^8 \pm (T_j \cap \varphi_1) \\ &= \sum_{j=1}^8 \pm \varphi_1(T_j|_{[v_0, v_1]}) T_j|_{[v_1, v_2]} \\ &= \varphi_1(T_1|_{[v_0, v_1]}) T_1|_{[v_1, v_2]} \quad (\text{other terms zero}) \\ &= b_1 \end{aligned}$$

So  $\varphi_1$  is Poincaré dual to  $b_1$ , and  $\varphi_i$  to  $b_i$ .

$$\begin{aligned} [M] \cap \psi_1 &= \sum_{j=1}^8 \pm (T_j \cap \psi_1) \\ &= \sum_{j=1}^8 \pm \psi_1(T_j|_{[v_0, v_1]}) T_j|_{[v_1, v_2]} \\ &= -\psi_1(T_2|_{[v_0, v_1]}) T_2|_{[v_1, v_2]} \\ &= -a_1 \end{aligned}$$

So  $\psi_1$  is Poincaré dual to  $-a_1$ , and  $\psi_i$  to  $-a_i$ .



Geometrically, note singular "loop"  $\varphi_i$  is homotopic to  $b_i$ , and  $\psi_i$  to  $-a_i$ .

$N$  nonorientable surface genus  $g$ .

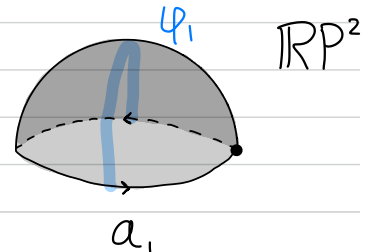
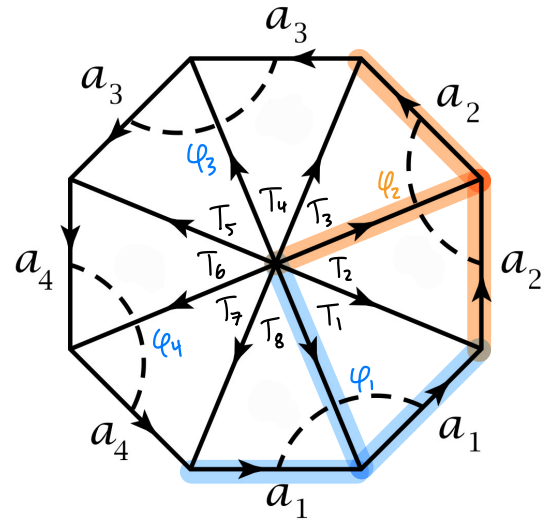
$$H_i(N; \mathbb{Z}_2) \cong \begin{cases} \mathbb{Z}_2 & i=0 \\ (\mathbb{Z}_2)^g & i=1 \text{ gen. by } a_1, \dots, a_g \\ \mathbb{Z}_2 & i=2 \text{ gen. by } [N] \end{cases}$$

$$H^i(N; \mathbb{Z}_2) \cong \begin{cases} \mathbb{Z}_2 & i=0 \\ (\mathbb{Z}_2)^g & i=1 \text{ gen. by } \varphi_1, \dots, \varphi_g \\ \mathbb{Z}_2 & i=2 \end{cases}$$

$$\begin{aligned} [N] \cap \varphi_1 &= \sum_{j=1}^8 T_j \cap \varphi_1 \\ &= \sum_{j=1}^8 \varphi_1(T_j|_{[v_0, v_1]}) T_j|_{[v_1, v_2]} \\ &= \varphi_1(T_1|_{[v_0, v_1]}) T_1|_{[v_1, v_2]} \\ &= a_1 \end{aligned}$$

So  $\varphi_1$  is Poincaré dual to  $a_1$ , and  $\varphi_i$  to  $a_i$ .

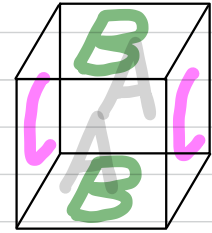
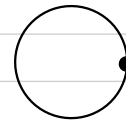
Geometrically, note singular "loop"  $\varphi_i$  is homotopic to  $a_i$ .



Thm 3.30 (Poincaré Duality) If  $M$  is a closed  $\mathbb{R}$ -orientable  $n$ -manifold with fundamental class  $[M] \in H_n(M; \mathbb{R})$ , then the map  $D: H^k(M; \mathbb{R}) \rightarrow H_{n-k}(M; \mathbb{R})$  defined by  $D(\alpha) = [M] \cap \alpha$  is an isomorphism  $\forall k$ .

Corollary 3.37 The Euler characteristic of a closed odd-dimensional manifold is  $\chi(M) = 0$ .

Ex  $\chi(S^1) = 1 - 1 = 0$        $\chi(S^3) = 1 - 1 = 0$        $\chi(S^{2k+1}) = 1 - 1 = 0$   
 $\chi((S^1)^3) = 1 - 3 + 3 - 1 = 0$        $\chi(\mathbb{R}P^3) = 1 - 1 + 1 - 1 = 0$   
 $\chi(S^1 \times N) = 0$  for  $N$  a closed 2-manifold



This is a striking result! Orientability and Euler characteristic suffice to classify closed connected 2-manifolds. Not so with 3-manifolds.

Pf sketch Let  $M$  be a closed  $n$ -manifold.  $M$  has finitely generated homology by Corollaries A.8, A.9. For  $M$  orientable,

$$H_k(M) \cong \mathbb{Z}^r \oplus \left( \bigoplus_{j=1}^{\ell} \mathbb{Z}_{m_j} \right)$$

$\text{rank } H_i(M) = \text{rank } H^{n-i}(M)$  by Poincaré duality

$= \text{rank } H_{n-i}(M)$  by Universal Coefficient Theorem, Corollary 3.3:  $H^k \cong (H_k / T_k) \oplus T_{k-1}$

So for  $n$  odd, the terms of  $\chi(M) = \sum_{i=0}^n (-1)^i \text{rank } H_i(M)$  cancel in pairs.

For  $M$  nonorientable, Poincaré duality gives

$$\begin{aligned}\dim H_i(M; \mathbb{Z}_2) &= \dim H^{n-i}(M; \mathbb{Z}_2) \\ &= \dim H_{n-i}(M; \mathbb{Z}_2)\end{aligned}$$

by the  $R$ -module UCT on page 196 of Hatcher, which for  $R = \mathbb{Z}_2$  gives

$$0 \rightarrow \text{Ext}_{\mathbb{Z}_2}(H_{i-1}(M; \mathbb{Z}_2), \mathbb{Z}_2) \rightarrow H^i(M; \mathbb{Z}_2) \xrightarrow{\cong} \text{Hom}_{\mathbb{Z}_2}(H_i(M; \mathbb{Z}_2), \mathbb{Z}_2) \rightarrow 0$$

0 since  $\mathbb{Z}_2$  is free  
over  $\mathbb{Z}_2$

hence  $H^i(M; \mathbb{Z}_2) \cong H_i(M; \mathbb{Z}_2)$

So, the terms of  $\sum_{i=0}^n (-1)^i \dim H_i(M; \mathbb{Z}_2) = 0$  cancel in pairs.

We show  $\chi(M) = \sum_{i=0}^n (-1)^i \text{rank } H_i(M) = \sum_{i=0}^n (-1)^i \dim H_i(M; \mathbb{Z}_2)$ .

Use the standard UCT in Thm 3.2:

$$0 \rightarrow \text{Ext}(H_{i-1}(M), \mathbb{Z}_2) \rightarrow H^i(M; \mathbb{Z}_2) \rightarrow \text{Hom}(H_i(M), \mathbb{Z}_2) \rightarrow 0$$

Each  $\mathbb{Z}$  summand of  $H_i(M)$  gives a  $\mathbb{Z}_2$  summand of  $H^i(M; \mathbb{Z}_2) \cong H_i(M; \mathbb{Z}_2)$

- Each  $\mathbb{Z}_m$  summand of  $H_i(M)$  with  $m$  even gives  $\mathbb{Z}_2$  summands of  $H^i(M; \mathbb{Z}_2)$  and  $H^{i+1}(M; \mathbb{Z}_2)$  (recall  $\text{Ext}(\mathbb{Z}_m, \mathbb{Z}_2) \cong \frac{\mathbb{Z}_2}{m\mathbb{Z}_2}$ )

whose contributions to  $\sum_{i=0}^n (-1)^i \dim H_i(M; \mathbb{Z}_2)$  cancel.

- Each  $\mathbb{Z}_m$  summand of  $H_i(M)$  with  $m$  odd contributes nothing to  $H^*(M; \mathbb{Z}_2)$ .  $\square$

## Connection with cup product

The cap and cup products are related:

$$(\varphi \vee \psi)(\alpha) = \psi(\alpha \frown \varphi) \quad (*)$$

for  $\alpha \in C_{k+l}(X; R)$ ,  $\varphi \in C^k(X; R)$ ,  $\psi \in C^l(X; R)$ .

Indeed, for  $\sigma: \Delta^{k+l} \rightarrow X$  we have

$$\begin{aligned} \psi(\sigma \frown \varphi) &= \psi(\varphi(\sigma|_{[v_0, \dots, v_k]}) \sigma|_{[v_k, \dots, v_{k+l}]}) \\ &= \varphi(\sigma|_{[v_0, \dots, v_k]}) \psi(\sigma|_{[v_k, \dots, v_{k+l}]}) \\ &= (\varphi \vee \psi)(\sigma). \end{aligned}$$

We have maps

$$\begin{array}{ccc} C^l(X; R) & \xrightarrow{\varphi \vee} & C^{k+l}(X; R) \\ C_l(X; R) & \xleftarrow{\frown \varphi} & C_{k+l}(X; R). \end{array}$$

Formula (\*) gives that map  $\varphi \vee$  is dual to  $\frown \varphi$ :

$$\begin{array}{ccc} \psi & \xrightarrow{\quad} & \varphi \vee \psi \\ C^l(X; R) & \xrightarrow{\varphi \vee} & C^{k+l}(X; R) \\ \parallel & & \parallel \\ \text{Hom}_R(C_l(X; R), R) & \xrightarrow{(\frown \varphi)^*} & \text{Hom}_R(C_{k+l}(X; R), R) \\ \psi & \xrightarrow{\quad} & (\alpha \mapsto \psi(\alpha \frown \varphi)) \end{array}$$

Pass to (co)homology. If the  $h$  maps are isomorphisms (say  $R$  is a field, or  $R = \mathbb{Z}$  and  $H_*(X; \mathbb{Z})$  is free  $\forall i$ ), then  $\varphi \vee$  is dual to  $\frown \varphi$ .

If furthermore (co)homology is finitely generated, so that homology and cohomology determine each other, then the cup and cap product determine each other.

$$\begin{array}{ccc} \text{Ext}_R(H_{l-1}(X; R), R) & & \text{Ext}_R(H_{k+l-1}(X; R), R) \\ \vdots \downarrow & & \vdots \downarrow \\ H^l(X; R) & \xrightarrow{\varphi \vee} & H^{k+l}(X; R) \\ \downarrow h & \sim & \downarrow h \\ \text{Hom}_R(H_l(X; R), R) & \xrightarrow{(\frown \varphi)^*} & \text{Hom}_R(H_{k+l}(X; R), R) \end{array}$$

Let  $M$  be a closed  $R$ -orientable  $n$ -manifold.

We have a cup product pairing  $H^k(M; R) \times H^{n-k}(M; R) \rightarrow R$

$$(\varphi, \psi) \longmapsto (\varphi \cup \psi)[M]$$

Such a bilinear pairing  $A \times B \xrightarrow{p} R$  is nonsingular if the associated linear maps

$$A \longrightarrow \text{Hom}_R(B, R)$$

$$B \longrightarrow \text{Hom}_R(A, R)$$

$$a \longmapsto (b \mapsto p(a, b))$$

$$b \longmapsto (a \mapsto p(a, b))$$

are both isomorphisms.

Prop 3.38 The cup product pairing is nonsingular for closed  $R$ -orientable manifolds when  $R$  is

- a field, or
- $\mathbb{Z}$  and torsion in  $H^*(M; \mathbb{Z})$  is factored out.

$$H^k(M; R) \longrightarrow \text{Hom}_R(H^{n-k}(M; R), R)$$

$$\varphi \longmapsto (\psi \mapsto (\varphi \cup \psi)[M])$$

$$H^{n-k}(M; R) \longrightarrow \text{Hom}_R(H^k(M; R), R)$$

$$\psi \xrightarrow{D^*h} (\varphi \mapsto (\varphi \cup \psi)[M])$$

Pf For map  $h$  from the UCT and  $D: H^k \rightarrow H_{n-k}$  from Poincaré duality, consider

$$H^{n-k}(M; R) \xrightarrow{h} \text{Hom}_R(H_{n-k}(M; R), R) \xrightarrow{D^*} \text{Hom}_R(H^k(M; R), R)$$

$$\psi$$

$$(\alpha \mapsto \psi(\alpha))$$

$$(\varphi \mapsto \psi([M] \cap \varphi) = (\varphi \cup \psi)[M])$$

For  $R$  as specified,  $h$  and  $D^*$  are isomorphisms, hence so is  $\psi \xrightarrow{D^*h} (\varphi \mapsto (\varphi \cup \psi)[M])$ .

The anticommutativity of the cup product  $\varphi \cup \psi = \pm \psi \cup \varphi$  (and swapping  $k$  and  $n-k$ ) gives that  $\varphi \longmapsto (\psi \mapsto (\varphi \cup \psi)[M])$  is also an isomorphism.



### Corollary 3.39

- For  $M$  a closed connected orientable  $n$ -manifold,  
 $\varphi \in H^k(M; \mathbb{Z})$  generates an infinite cyclic summand  $\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z})$  with  $\varphi \cup \psi$  generating  $H^n(M; \mathbb{Z}) \cong H_0(M; \mathbb{Z}) \cong \mathbb{Z}$ .
- For  $M$  a closed connected  $n$ -manifold,  
 $\varphi \in H^k(M; \mathbb{Z}_2)$  is nonzero  $\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z}_2)$  with  $\varphi \cup \psi$  generating  $H^n(M; \mathbb{Z}_2) \cong H_0(M; \mathbb{Z}_2) \cong \mathbb{Z}_2$ .

PF •  $\varphi$  generates a  $\mathbb{Z}$  summand of  $H^k(M; \mathbb{Z})$

$\Leftrightarrow \exists$  homomorphism  $\tau: H^k(M; \mathbb{Z}) \rightarrow \mathbb{Z}$  with  $\tau(\varphi) = \pm 1$

$\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z})$  with  $(\varphi \cup \psi)[M] = \pm 1$

Since ignoring torsion,  $H^{n-k}(M; \mathbb{Z}) \cong \text{Hom}(H^k(M; \mathbb{Z}), \mathbb{Z})$  by Prop. 3.38

$\psi \xrightarrow{\text{surj}} (\varphi \mapsto (\varphi \cup \psi)[M]) = \tau$

$\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z})$  with  $\varphi \cup \psi$  generating  $H^n(M; \mathbb{Z}) \cong H_0(M; \mathbb{Z}) \cong \mathbb{Z}$ .

$\varphi \cup \psi \mapsto [M] \cap (\varphi \cup \psi) \mapsto (\varphi \cup \psi)[M] = \pm 1$

•  $\varphi \neq 0$  in  $H^k(M; \mathbb{Z}_2)$

$\Leftrightarrow \exists$  homomorphism  $\tau: H^k(M; \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$  with  $\tau(\varphi) = \pm 1$

$\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z}_2)$  with  $(\varphi \cup \psi)[M] = 1$

Since  $H^{n-k}(M; \mathbb{Z}_2) \cong \text{Hom}(H^k(M; \mathbb{Z}_2), \mathbb{Z}_2)$

$\psi \longleftarrow (\varphi \mapsto (\varphi \cup \psi)[M]) = \tau$

$\Leftrightarrow \exists \psi \in H^{n-k}(M; \mathbb{Z}_2)$  with  $\varphi \cup \psi$  generating  $H^n(M; \mathbb{Z}_2) \cong H_0(M; \mathbb{Z}_2) \cong \mathbb{Z}_2$ .

$\varphi \cup \psi \mapsto [M] \cap (\varphi \cup \psi) \mapsto (\varphi \cup \psi)[M] = 1$

$$H^k(M; \mathbb{Z}) \cong \mathbb{Z}^r \oplus \left( \bigoplus_{j=1}^l \mathbb{Z}_{m_j} \right)$$

$$\varphi \longleftarrow (1, 0, \dots, 0)$$

$$\tau(a_1, \dots, a_{r+l}) = a_1$$

### Example 3.40 Projective spaces

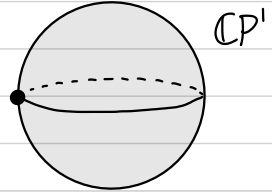
Let's deduce  $H^*(\mathbb{C}P^n; \mathbb{Z}) \cong \mathbb{Z}[\alpha]/(\alpha^{n+1})$  with  $|\alpha| = 2$ .

$\mathbb{C}P^n$  is orientable (all complex manifolds are).

Inclusion  $\mathbb{C}P^{n-1} \hookrightarrow \mathbb{C}P^n$  induces an iso on  $H^i$  for  $i \leq 2n-2$ ,  
so by induction on  $n$ ,  $H^{2i}(\mathbb{C}P^n; \mathbb{Z})$  is generated by  $\alpha^i$  for  $i < n$ .

By Corollary 3.39,  $\exists m \in \mathbb{Z}$  such that  $\alpha \cup m\alpha^{n-1} = m\alpha^n$  generates  $H^{2n}(\mathbb{C}P^n; \mathbb{Z}) \cong \mathbb{Z}$ .

This means  $m = \pm 1$  (else  $\alpha^n$  is not generated), so  $H^*(\mathbb{C}P^n; \mathbb{Z}) \cong \mathbb{Z}[\alpha]/(\alpha^{n+1})$ .



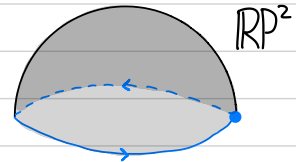
Let's deduce  $H^*(\mathbb{R}P^n; \mathbb{Z}_2) \cong \mathbb{Z}_2[\alpha]/(\alpha^{n+1})$  with  $|\alpha| = 1$ .

Inclusion  $\mathbb{R}P^{n-1} \hookrightarrow \mathbb{R}P^n$  induces an iso on  $H^i(-; \mathbb{Z}_2)$  for  $i \leq n-1$   
since the  $n$ -cell is attached wrapping around twice.

So by induction on  $n$ ,  $H^i(\mathbb{R}P^n; \mathbb{Z}_2)$  is generated by  $\alpha^i$  for  $i < n$ .

By Corollary 3.39,  $\alpha \neq 0$  implies  $\alpha \cup \alpha^{n-1} = \alpha^n$  generates  $H^n(\mathbb{R}P^n; \mathbb{Z}_2) \cong \mathbb{Z}_2$ .

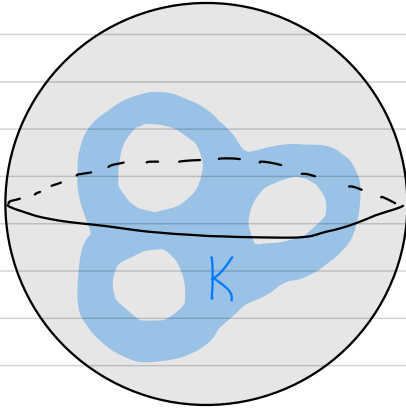
So  $H^*(\mathbb{R}P^n; \mathbb{Z}_2) \cong \mathbb{Z}_2[\alpha]/(\alpha^{n+1})$  with  $|\alpha| = 1$ .



## Alexander duality

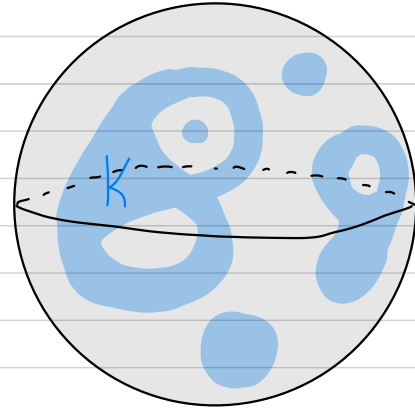
Corollary 3.45 Let  $K$  be a compact, locally contractible, nonempty, proper subset of  $S^n$ .

Then  $\tilde{H}_i(S^n - K; \mathbb{Z}) \cong \tilde{H}^{n-i-1}(K; \mathbb{Z})$ .



$$\tilde{H}_0(S^2 - K; \mathbb{Z}) \cong \mathbb{Z}^3 \cong \tilde{H}^1(K; \mathbb{Z})$$

$$\tilde{H}_1(S^2 - K; \mathbb{Z}) \cong 0 \cong \tilde{H}^0(K; \mathbb{Z})$$



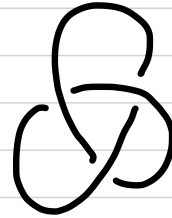
$$\tilde{H}_0(S^2 - K; \mathbb{Z}) \cong \mathbb{Z}^3 \cong \tilde{H}^1(K; \mathbb{Z})$$

$$\tilde{H}_1(S^2 - K; \mathbb{Z}) \cong \mathbb{Z}^4 \cong \tilde{H}^0(K; \mathbb{Z})$$

## Alexander duality

Corollary 3.45 Let  $K$  be a compact, locally contractible, nonempty, proper subset of  $S^n$ .

Then  $\tilde{H}_i(S^n - K; \mathbb{Z}) \cong \tilde{H}^{n-i-1}(K; \mathbb{Z})$ .



Rmk The homology of  $S^n - K$  does not depend on how  $K$  is embedded in  $S^n$  !

Interesting already for  $n=3$ ,  $K=S^1$  (knot theory).

Rmk Local contractibility is needed.  
Consider  $n=2$  and  $K$  the quasi-circle.

$$\tilde{H}_0(S^n - K; \mathbb{Z}) \cong \mathbb{Z} \neq 0 \cong \tilde{H}^1(K; \mathbb{Z}).$$

