

# Extended Graph 4-Manifolds, and Einstein Metrics

Luca F. Di Cerbo



**Geometry and Topology Seminar,  
Yau Mathematical Sciences Center, Tsinghua University,  
November 4, 2021**



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- Ideally, inspire you to find this **Stuff Interesting**;
- Finally, I want to discuss some related open problems for **Higher Graph 4-Manifolds** and possible generalizations to **Higher Dimensions**.

# Introduction: Metrics and Distance

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## Definition

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Given a smooth path in a Riemannian manifold  $(M, g)$

$$\alpha : [a, b] \rightarrow M,$$

we define its **length** by setting

$$L(\alpha) = \int_a^b g(\alpha'(t), \alpha'(t))^{1/2} dt, \quad \alpha'(t) \in T_{\alpha(t)} M.$$

We then define the **distance** between  $p_1, p_2 \in M$ , denoted by  $d(p_1, p_2)$ , to be the infimum for  $L$  over all smooth paths joining  $p_1$  and  $p_2$ .

# Volumes, Ricci Curvature, and Einstein Metrics

The **expansion** of the **Volume Element** in Geodesic Normal Coordinates and is given by:

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A Riemannian metric is said to be **Einstein** if its Ricci Tensor satisfies

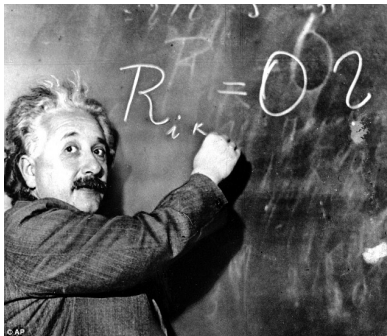
$$Ric_g = \lambda g,$$

where the constant  $\lambda \in \mathbb{R}$  is known as the *cosmological or Einstein constant*.

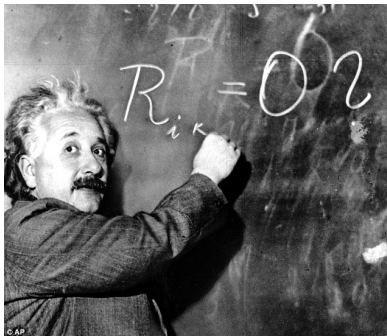


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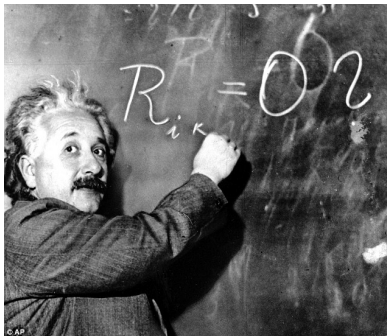


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And of course, he was exploring the **Lorentzian** case...

# Classical Examples of Einstein Metrics

**Einstein metrics** always exist in dimension  $n = 2$ . Indeed, we have

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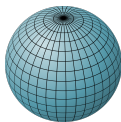
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With that said, these examples of Einstein manifolds are all locally symmetric and as such a bit boring from a local geometry point of view! Indeed, around each point they look exactly the same...

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S.-T. Yau (1949 – ), **Fields Medal** in 1982.



## Theorem (Yau)

- A compact Kähler manifold  $M$  admits a **Kähler-Einstein metric** with  $\lambda = 0$  (Ricci-flat) if and only if  $c_1(M) = 0$  ( $c_1(M) \in H_{dR}^2(M)$ );
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### Recall that

A **Kähler manifold** is an even dimensional real manifold which can be covered by **holomorphic charts**, equipped with a metric  $\omega$  which can be locally written as  $\omega = \sqrt{-1}\partial\bar{\partial}\phi$ ,  $\phi : U \rightarrow \mathbb{R}$ .

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## Examples in Dimension $n=4$

- **K3 Surfaces.** Examples of such surfaces can be concretely constructed by looking at degree four hypersurfaces in  $\mathbb{P}_{\mathbb{C}}^3$ . For example

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- **Hopefully** many more to come in my life time!

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[J. Fine](#), [B. Premoselli](#), *Examples of compact Einstein four-manifolds with negative curvature*, **J. Amer. Math. Soc.** **33** (2020), no. 4, 991-1038.



# Obstructions to the Existence of Einstein Metrics

## Theorem (Hitchin, 1974)

Let  $(M, g)$  be a closed orientable Einstein 4-manifold with signature  $\sigma$  and Euler characteristic  $\chi$ . Then

$$\chi(M) \geq \frac{3}{2} |\sigma(M)|$$

Furthermore, if equality occurs  $\pm M$  is either flat or its universal covering is a **K3** surface.

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By **Hirzebruch**  $\Rightarrow \sigma(M) = \frac{1}{12\pi^2} \int_M |W^+|^2 - |W^-|^2 d\mu_g$



# Obstructions to the Existence of Einstein Metrics

## Theorem (Hitchin, 1974)

Let  $(M, g)$  be a closed orientable Einstein 4-manifold with signature  $\sigma$  and Euler characteristic  $\chi$ . Then

$$\chi(M) \geq \frac{3}{2} |\sigma(M)|$$

Furthermore, if equality occurs  $\pm M$  is either flat or its universal covering is a **K3** surface.

## Proof.

By **Chern**  $\Rightarrow \chi(M) = \frac{1}{8\pi^2} \int_M |W^+|^2 + |W^-|^2 + \frac{s^2}{24} - \frac{|\mathring{Ric}|^2}{2} d\mu_g$

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Combining these identities we obtain the desired inequality!  $\square$

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- Many more...



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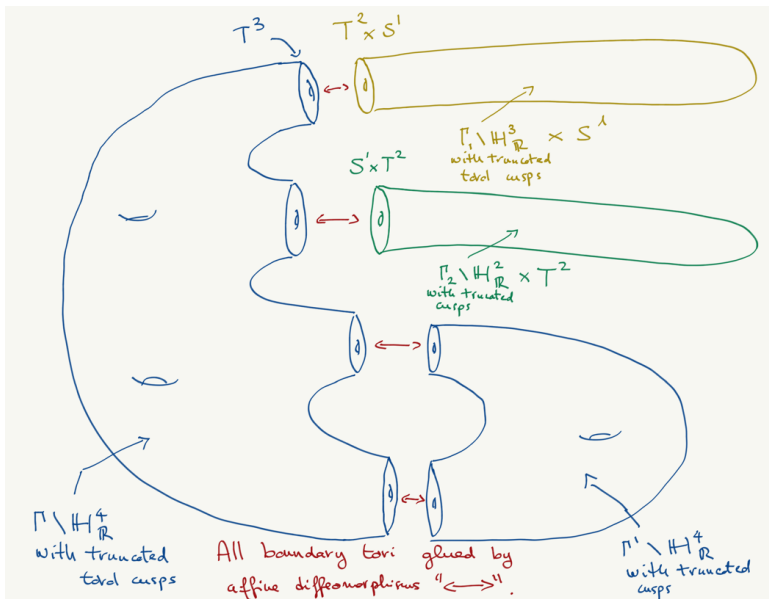
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**Extended Graph 4-Manifolds**

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Notice we always have **more than** one piece!

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## Theorem (DC, 2021)

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### Remarks and Comments

- Notice that **Closed Extended graph 3-manifolds** do **NOT** support Einstein metrics
- Indeed,  $Ric_g = \lambda g$  implies constant sectional curvature in dimension  $n = 3!$
- This theorem then shows that **graph-like manifolds** carry over their aversion to **Einstein metrics** from dimension three to four.

# Question



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- The study of *Einstein metrics* on manifolds of dimension  $n \geq 5$  remains rather obscure when compared to dimension  $n = 4$ .
- In fact, **no uniqueness or non-existence results** are currently known in higher dimensions!
- Maybe someone in the audience, *perhaps a student*, will take on the challenge!

# Sketch of the Proof

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**Lemma (Improved Hitchin-Thorpe Inequality due to LeBrun, 1999)**

Let  $(M, g)$  be a closed orientable Einstein 4-manifold with signature  $\sigma$ , Euler characteristic  $\chi$ , and  $\lambda < 0$ . Then

$$2\chi(M) - 3|\sigma(M)| \geq \frac{3}{2\pi^2} \text{Vol}_{\text{Ric}}(M)$$

where the *minimal Ricci volume*  $\text{Vol}_{\text{Ric}}(M)$  is defined as

$$\text{Vol}_{\text{Ric}}(M) := \inf_g \{ \text{Vol}_g(M) \mid \text{Ric}_g \geq -3g \}.$$

Moreover, equality occurs if and only if  $g$  is **half-conformally flat** and it realizes the *minimal Ricci volume* (up to scaling). Finally, if  $\sigma(M) = 0$  and the equality is achieved, then  $M$  is *real-hyperbolic*.





## Lemma

Let  $M$  be an extended graph 4-manifold **without** pure pieces. We have  $\chi(M) = \sigma(M) = 0$ . If  $M$  has  $k \geq 1$  pure *real-hyperbolic* pieces say  $(V_i := \Gamma_i \backslash \mathbb{H}_{\mathbb{R}}^4, g_{-1})_{i=1}^k$ , we then have

$$\chi(M) = \sum_{i=1}^k \chi(V_i) > 0, \quad \sigma(M) = 0.$$

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## Lemma (Connell-Suaréz-Serrato, 2019)

Let  $M$  be an extended graph 4-manifold **with**  $k \geq 1$  pure *real-hyperbolic* pieces say  $(V_i, g_{-1})_{i=1}^k$ , we then have

$$\text{Vol}_{\text{Ric}}(M) = \sum_{i=1}^k \text{Vol}_{g_{-1}}(V_i) = \frac{4\pi^2}{3} \sum_{i=1}^k \chi(V_i) = \frac{4\pi^2}{3} \chi(M).$$

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C. Connell, P. Suárez-Serrato, *On higher graph manifolds*, **Int. Math. Res. Not.** (2019), no. 5, 1281-1311.

G. Besson, G. Couteiro, S. Gallot, *Entropies and rigidités des espaces localement symétriques de courbure strictement négative*, **Geom. Func. Anal.** 5 (1995), 731-799.

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I hope to meet you all one day **in person!**