

# Factoring multi-Toeplitz operators

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Hardy space  $H^2$  in the disk  $|z| < 1$ :

$$f(z) = a_0 + a_1 z + a_2 z^2 + \cdots, \quad \sum |a_n|^2 < \infty$$

$f$  has boundary values  $f(e^{i\theta})$  a.e.  $\theta$   
 $|f|^2 \in L^1(\mathbb{T})$

Theorem (Szegő 1922)

Let  $\phi \in L^1$ ,  $\phi \geq 0$ . TFAE:

- $\phi = |f|^2$  for some  $f \in H^2$
- $\int \log \phi \, dm > -\infty$

Distance formula and the “soft” Szegő condition:

Theorem (Szegő 1922)

Let  $\phi \in L^1$ ,  $\phi \geq 0$ . TFAE:

- $\int \log \phi \, dm > -\infty$
- $\inf_{q(0)=0} \int |1 - q|^2 \phi \, dm > 0$

In fact

$$\inf(\cdots) = \exp \left( \int \log \phi \, dm \right)$$

**Remark:**  $\inf(\cdots) > 0$  is equivalent to

$$p \rightarrow p(0) \text{ is bounded for the norm } \langle p, p \rangle_\phi = \int p \bar{p} \phi \, dm$$

The Toeplitz operator with symbol  $\phi \in L^\infty$ :

$$\langle T_\phi g, h \rangle := \int g \bar{h} \phi dm$$

Then  $\phi = |f|^2$  is equivalent to

$$T_\phi = M_f^* M_f \quad (\text{and } f(z) \text{ is bounded in } |z| < 1)$$

Abstract formulation (Rosenblum/Rovnyak 1971):  $S =$  multiplication by  $z$  on  $H^2$  (the **unilateral shift**)

Fact (Halmos):  $T$  is a Toeplitz operator if and only if

$$S^*TS = T \quad (\text{say } T \text{ is } \textbf{S-} \text{Toeplitz})$$

Fact: an operator  $A$  is equal to  $M_f$  if and only if

$$AS = SA \quad (\text{say } A \text{ is } \textbf{S-} \text{analytic})$$

Abstract Szegő problem: when does a bounded,  $\geq 0$  Toeplitz operator  $T$  factor as

$$T = A^*A$$

for some  $S$ -analytic  $A$ ?

Noncommutative:

We replace the shift  $S$  with isometries with orthogonal ranges:

$$L = (L_1, L_2, \dots, L_d), \quad L_i^* L_j = \delta_{ij} I$$

Construction: form a Hilbert space  $\mathbb{H}^2$  with o.n. basis indexed by all (nc) words in  $d$  letters, of all lengths  $\geq 0$ :

For example when  $d = 2$ :

$$\xi_\emptyset, \xi_1, \xi_2, \xi_{11}, \xi_{12}, \xi_{21}, \xi_{22}, \xi_{111}, \xi_{112}, \dots$$

$$L_1 \xi_w = \xi_{1w}, \quad L_2 \xi_w = \xi_{2w}$$

(or on the right:)

$$R_1 \xi_w = \xi_{w1}, \quad R_2 \xi_w = \xi_{w2}$$

Definitions (Popescu 1995+):

Say  $T$  is  $L$ -Toeplitz if

$$L_i^* T L_j = \begin{cases} T & i = j \\ 0 & i \neq j \end{cases}$$

Say  $A$  is  $L$ -analytic if

$$A L_i = L_i A, \quad i = 1, \dots, d$$

nc Szegő problem: if  $T \geq 0$ ,  $L$ -Toeplitz, when can we factor

$$T = A^* A$$

for some  $L$ -analytic  $A$ ?

Popescu 1995:  $T = A^*A$  iff (...Lowdenslager-like condition, as in Rosenblum-Rovnyak...)(...but often difficult to check...)

Popescu 2006: Let  $T \geq 0$  be  $L$ -Toeplitz. TFAE:

- (Factorable minorant)  $T \geq G^*G$  for some  $L$ -analytic  $G \neq 0$
- (soft Szegő condition)  $\inf_{q(0)=0} \langle T(1-q), (1-q) \rangle > 0$

soft Szegő is equivalent to:

$p \rightarrow p(0)$  is a bpe for the  $T$ -inner product



nc point evaluations:

$Z = (Z_1, \dots, Z_d)$  -  $n \times n$  matrices, any  $n$

$$\|Z\|^2 := \|Z_1 Z_1^* + \dots + Z_d Z_d^*\|$$

For  $f \in \mathbb{H}^2$ :

$$f = \sum c_w \xi_w, \quad \sum |c_w|^2 < \infty$$

The “point evaluations”

$$f \rightarrow f(Z) = \sum c_w Z^w \in M_{n \times n}$$

are bounded for the  $\mathbb{H}^2$  norm when  $\|Z\| < 1$  (Popescu).

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- 3) (*0 is a bpe*)  $p \rightarrow p(0)$  is bounded for the  $T$ -inner product
- 4) (*every  $\|Z\| < 1$  is a bpe*)  $p \rightarrow p(Z)$  is bounded for the  $T$ -inner product, for all  $\|Z\| < 1$

What the proof looks like when  $d = 1$ : the reproducing kernel picture

### Lemma (kernel picture)

*$T$  factors as  $F^*F$  if and only if  $\langle T\cdot, \cdot \rangle$  is a reproducing kernel Hilbert space over  $|z| < 1$ , in which case*

$$k^T(z, w) = \frac{f(z)f(w)^*}{1 - zw^*}$$

*and  $f = 1/F$ .*

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In nc setting, use [Ball-Marx-Vinnikov](#) nc reproducing kernel machinery



the key step:

- 1) perturb:  $T + \epsilon I = F_\epsilon^* F_\epsilon$
- 2) what happens when  $\epsilon \rightarrow 0^+$ ? two possibilities:
  - if  $T = F^* F$  then  $F_\epsilon(z) \rightarrow F(z)$  in  $|z| < 1$
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### Lemma (continuity lemma)

For each fixed  $|z| < 1$ :

$$\lim_{\epsilon \rightarrow 0} |F_\epsilon(z)| > 0$$

if and only if

$p \rightarrow p(z)$  is a bpe for  $\langle T \cdot, \cdot \rangle$

So:

$$\begin{aligned} 0 \text{ is a bpe} &\iff \lim |F_\epsilon(0)| > 0 \text{ (continuity lemma)} \\ &\iff \lim |F_\epsilon(z)| > 0 \text{ for all } z \text{ (Hurwitz theorem)} \\ &\iff \text{every } z \text{ is a bpe (continuity lemma again)} \end{aligned}$$