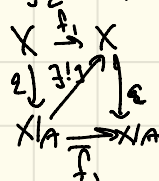


Con: If  $(X, A)$  has HEP and  $A \simeq *$ , Then  $q: X \rightarrow X/A$  is a Homotopy Equiv. 10

Pf: Let  $f_t: X \rightarrow X$  be a Homotopy extending a contraction of  $A$  with  $f_0 = \text{id}_X$  and  $f_t(A) \subset A$  for all  $t$ . The composition  $q \circ f_t: X \rightarrow X/A$  sends  $A$  to a point and so factors as a composition  $X \xrightarrow{f_t} X/A \xrightarrow{q} X/A$  (UMP of Quotients)

When  $t=1$ ,  $f_1(A)$  is a point. So  $f_1$  induces a map  $g: X/A \rightarrow X$  with  $g \circ q = f_1$  (UMP)

But then we have  $q \circ g = \bar{f}_1$ . Since  $q \circ g(x) = q \circ g(\bar{x}) = q \circ f_1(x) = \bar{f}_1(x) = \bar{f}_1(\bar{x})$



So  $g$  and  $q$  are inverse Homotopy Equivalences:

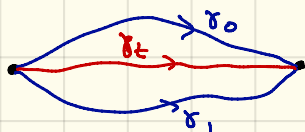
$$g \circ q = f_1 = f_0 = \text{id}_X$$

$$q \circ g = \bar{f}_1 = \bar{f}_0 = \text{id}_{X/A} \quad //$$

## THE FUNDAMENTAL GROUP

READ INTO TO CHAPTER 1 OF Hatcher For a nice motivation

Def: A Path in  $X$  is a continuous map  $\gamma: [0,1] \rightarrow X$ . Two paths  $\gamma_0, \gamma_1$  are Homotopic (rel  $\{0,1\}$ ) if (1)  $\gamma_0(0) = \gamma_1(0)$ ; (2)  $\gamma_0(1) = \gamma_1(1)$ ; and (3) there is a Homotopy  $F: I \times I \rightarrow X$  with  $F(s,0) = \gamma_0(s)$ ,  $F(s,1) = \gamma_1(s)$ ,  $F(0,t) = \gamma_0(0)$ ,  $F(1,t) = \gamma_0(1)$ . We write  $\gamma_0 \simeq \gamma_1$ , and  $\gamma_t = F(-,t)$ .



Prop: Homotopy rel  $\{0,1\}$  is an equivalence relation on the set of paths  $\{\gamma: I \rightarrow X\}$ .

Pf: 1.  $\gamma_0 \simeq \gamma_0$  is clear.

2. If  $\gamma_0 \simeq \gamma_1$ , via  $F: I \times I \rightarrow X$ , then  $\gamma_1 \simeq \gamma_0$  via  $G: I \times I \rightarrow X$  defined by  $G(s,t) = F(s,1-t)$ .

3. If  $\gamma_0 \simeq \gamma_1$ , and  $\gamma_1 \simeq \gamma_2$ . Define  $H: I \times I \rightarrow X$  by  $H(s,t) = \begin{cases} F(s,2t), & 0 \leq t \leq \frac{1}{2} \\ G(s,2t-1), & \frac{1}{2} \leq t \leq 1 \end{cases}$ . Then  $\gamma_0 \simeq \gamma_2$ .

## COMPOSITION OF PATHS

Two paths  $\alpha$  and  $\beta$  are composable if  $\alpha(1) = \beta(0)$ . Define the Product Path  $\alpha \cdot \beta$  by

$$\alpha \cdot \beta(s) = \begin{cases} \alpha(2s) & 0 \leq s \leq \frac{1}{2} \\ \beta(2s-1) & \frac{1}{2} \leq s \leq 1 \end{cases} \quad \text{Note: If } \alpha_0 \simeq \alpha, \text{ and } \beta_0 \simeq \beta, \text{ then } \alpha \cdot \beta_0 \simeq \alpha \cdot \beta. \text{ Indeed,}$$

if  $\alpha_t$  and  $\beta_t$  are Homotopies then  $\alpha_t \cdot \beta_t$  is a Homotopy from  $\alpha \cdot \beta_0$  to  $\alpha_1 \cdot \beta_1$ .

Now, consider a point  $x_0 \in X$  and the set of Loops based at  $x_0$ :  $\gamma: I \rightarrow X$ ,  $\gamma(0) = \gamma(1) = x_0$ .

All loops at  $x_0$  are composable.

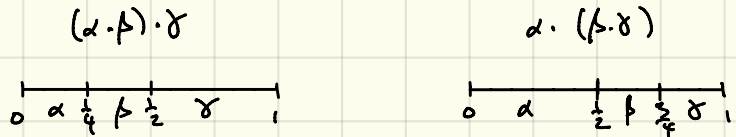
DEF:  $\pi_1(X, x_0)$  IS THE SET OF HOMOTOPY CLASSES  $rel \{0, 1\}$  OF LOOPS BASED AT  $x_0$ .

PROP:  $\pi_1(X, x_0)$  IS A GROUP UNDER PATH COMPOSITION.

PF: DENOTE THE HOMOTOPY CLASS OF  $\alpha$  BY  $[\alpha]$ . SINCE COMPOSITION RESPECTS HOMOTOPY, THE OPERATION  $[\alpha] \cdot [\beta] = [\alpha \cdot \beta]$  IS WELL-DEFINED. NEED TO CHECK THE GROUP AXIOMS.

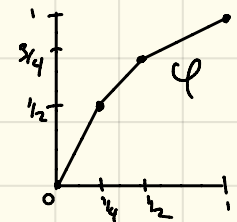
FIRST: A **REPARAMETRIZATION** OF  $\gamma$  IS A COMPOSITION  $\gamma \circ \varphi$ , WHERE  $\varphi: I \rightarrow I$  IS A MAP WITH  $\varphi(0) = 0$  AND  $\varphi(1) = 1$ . ANY SUCH  $\varphi$  IS HOMOTOPIC TO  $id_I$  VIA  $\Phi(s, t) = (1-t)\varphi(s) + ts$ . SO  $\gamma \circ \varphi \approx \gamma$  FOR ANY  $\varphi$ .

NOTE THAT PATH COMPOSITION IS NOT ASSOCIATIVE IN GENERAL:



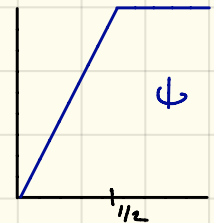
BUT:  $(\alpha \cdot \beta) \cdot \gamma$  &  $\alpha \cdot (\beta \cdot \gamma)$  DIFFER BY A REPARAMETRIZATION

THUS,  $[\alpha] \cdot ([\beta] \cdot [\gamma]) = ([\alpha \cdot \beta]) \cdot [\gamma]$ .



IDENTITY: DEFINE  $\eta_{x_0}: I \rightarrow X$  BY  $\eta_{x_0}(s) = x_0$  FOR ALL  $s \in I$ . THEN

$x_0 \cdot \gamma \approx \gamma \cdot \eta_{x_0} = \gamma$ :



SO  $[\eta_{x_0}]$  IS A 2-SIDE IDENTITY.

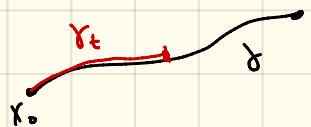
INVERSE: IF  $\gamma: I \rightarrow X$  IS A PATH FROM  $x_0$  TO  $x_1$ , THEN  $\gamma^{-1}: I \rightarrow X$  DEFINED BY  $\gamma^{-1}(s) = \gamma(1-s)$  IS A PATH FROM  $x_1$  TO  $x_0$ . NOTE THAT  $\gamma \cdot \gamma^{-1} \approx \eta_{x_0}$  AND  $\gamma^{-1} \cdot \gamma \approx \eta_{x_1}$

TO SEE THIS, LET  $\gamma_t = \gamma$  ON  $[0, 1-t]$ , STATIONARY AT  $\gamma(1-t)$  ON  $[1-t, 1]$

LET  $h_t = \gamma_t \cdot \gamma_t^{-1}$ . THEN THIS IS A HOMOTOPY FROM  $\gamma \cdot \gamma^{-1}$  TO  $\eta_{x_0}$

SO IF ONE HAS A LOOP  $\alpha$  AT  $x_0$ , THEN  $\alpha \cdot \alpha^{-1} \approx \eta_{x_0}$  AND SO

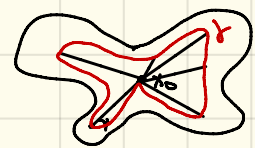
$[\alpha] \cdot [\alpha^{-1}] = [\eta_{x_0}]$  AND  $[\alpha^{-1}] \cdot [\alpha] = [\eta_{x_0}]$ . //



DEF: A SPACE  $X$  IS **SIMPLY CONNECTED** IF  $\pi_1(X, x_0) = \{[\eta_{x_0}]\}$ .

eg: SUPPOSE  $X$  IS A STAR-SHAPED SUBSET OF  $\mathbb{R}^n$ ; i.e. THERE IS  $x_0 \in X$  SUCH THAT THE LINE SEGMENTS JOINING  $x_0$  TO  $y$  LIES IN  $X$  FOR EVERY  $y \in X$ .

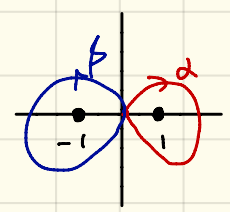
CLAIM:  $\pi_1(X, x_0) = \{[\eta_{x_0}]\}$ .



PF: IF  $y \in X$ , LET  $l_y$  BE THE LINE SEGMENT FROM  $x_0$  TO  $y$ , PARAMETRIZED AS  $l_y(t) = (1-t)x_0 + ty$ . IF  $\gamma$  IS A LOOP AT  $x_0$ , DEFINE  $f: I \times I \rightarrow X$  BY  $f(s, t) = l_{\gamma(s)}(t)$ . THEN  $f$  IS CONTINUOUS AND  $f(s, 0) = l_{\gamma(s)}(0) = x_0$ ,  $f(s, 1) = l_{\gamma(s)}(1) = \gamma(s)$

$f(0, t) = l_{\gamma(0)}(t) = l_{x_0}(t) = x_0 \Rightarrow f: \gamma \approx \eta_{x_0}$ .

eg:  $X = \mathbb{C} - \{\pm i\}$



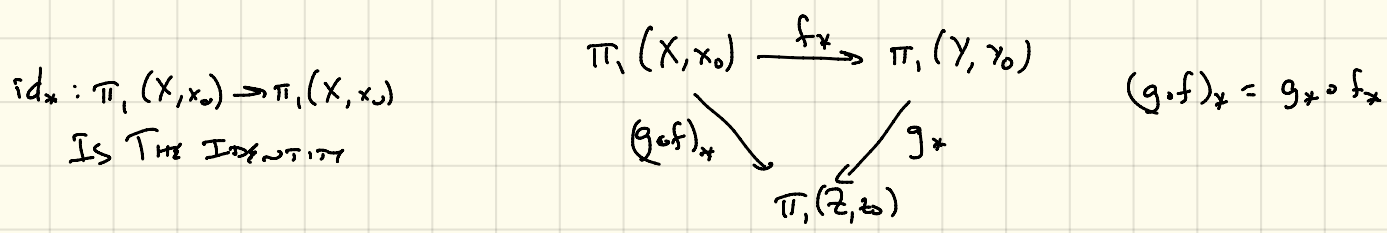
$\mathcal{O}: \pi_1(X, 0) \rightarrow F_2 = \text{Free Group on } \{a, b\}$   
 $\alpha \mapsto a$   
 $\beta \mapsto b$   
 IS SURJECTIVE (DO FACT AN ISOMORPHISM)

THE INDUCED HOMOMORPHISM

Suppose  $f: (X, x_0) \rightarrow (Y, y_0)$  IS CONTINUOUS. DEFINE  $f_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  BY  $f_*([\alpha]) = [f \circ \alpha]$ . THIS IS WELL-DEFINED: IF  $d_0 \simeq d_1$  REL  $\{0, 1\}$ , THEN  $f \circ d_0 \simeq f \circ d_1$  REL  $\{0, 1\}$  (PF: IF  $F: I \times I \rightarrow X$  IS A HOMOTOPY REL  $\{0, 1\}$   $d_0 \simeq d_1$ , THEN  $f \circ F: I \times I \rightarrow Y$  IS A HOMOTOPY REL  $\{0, 1\}$  FOR  $f \circ d_0 \simeq f \circ d_1$ .)

$f_*$  IS A HOMOMORPHISM: IF  $d, \beta$  COMPOSABLE IN  $X$ , THEN  $f \circ d$  AND  $f \circ \beta$  ARE COMPOSABLE IN  $Y$  AND  $f \circ (d \cdot \beta) = (f \circ d) \cdot (f \circ \beta)$  (WRITE DOWN THE DEFINING FORMULAS TO CHECK).

THIS PLAYS NICELY WITH RESPECT TO COMPOSITION:



IN CATEGORY LANGUAGE:  $\pi_1$  IS A FUNCTION FROM  $\{\text{POINTED SPACES}\} \rightarrow \{\text{GROUPS}\}$

THM:  $\pi_1(S^1, 1) \cong \mathbb{Z}$

PROOF: VIEW  $S^1 \subset \mathbb{C}$  AS  $\{z \mid |z|=1\}$ . I CLAIM THAT EVERY CONTINUOUS  $\gamma: ([0, 1], \{0, 1\}) \rightarrow (S^1, 1)$  LIFTS TO A CONTINUOUS  $\tilde{\gamma}: ([0, 1], 0) \rightarrow (\mathbb{R}, 0)$ .

INDEED, WE CAN WRITE  $\gamma(t) = \exp(2\pi i \tilde{\gamma}(t))$

WHERE  $\tilde{\gamma}: [0, 1] \rightarrow \mathbb{R}$ . NOTE THAT  $\tilde{\gamma}(1) \in \mathbb{Z}$

SINCE  $\gamma(1) = 1$ . WE WILL SHOW THAT THE "WINDING NUMBER MAP"

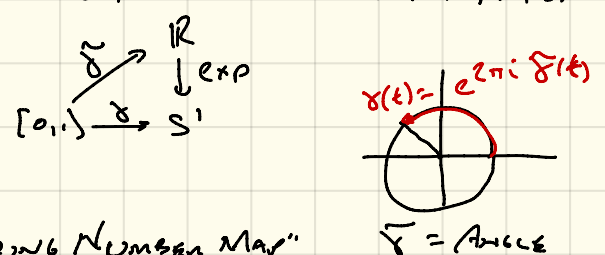
$\pi_1(S^1, 1) \rightarrow \mathbb{Z} \quad [\gamma] \mapsto \tilde{\gamma}(1)$  IS (a) WELL-DEFINED, (b) A HOMOMORPHISM, (c) BIJECTIVE.

NOW, SUPPOSE  $\gamma, \mu: ([0, 1], \{0, 1\}) \rightarrow (S^1, 1)$ . THEN  $\tilde{\gamma}(1) = \tilde{\mu}(1) \Leftrightarrow \gamma \simeq \mu$  REL  $\{0, 1\}$

PF: ( $\Rightarrow$ ) SUPPOSE  $\tilde{\gamma}(1) = \tilde{\mu}(1) = n$ . DEFINE  $\Phi(s, t) = s\tilde{\gamma}(t) + (1-s)\tilde{\mu}(t)$ . THEN  $\Phi(s, 0) = 0$  FOR ALL  $s$ , +  $\Phi(s, 1) = n$  FOR ALL  $s$  + SO  $\Phi: \tilde{\gamma} \simeq \tilde{\mu}$ . DEFINE  $F(s, t) = e^{2\pi i \Phi(s, t)}$

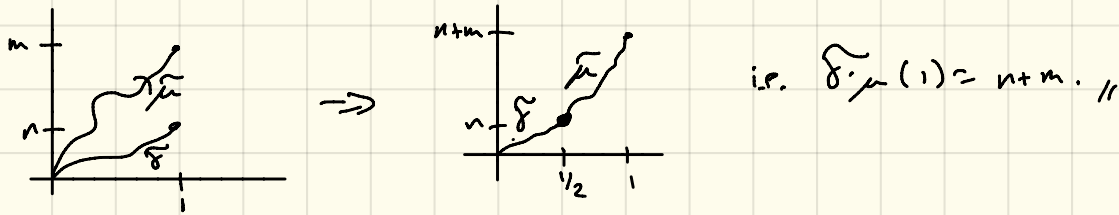
THEN  $F: \gamma \simeq \mu$  REL  $\{0, 1\}$ .

( $\Leftarrow$ ) IF  $F: \gamma \simeq \mu$  REL  $\{0, 1\}$ , THEN WE CAN LIFT THE HOMOTOPY:  $\tilde{F}: I \times I \rightarrow \mathbb{R}$ ,  $\tilde{F}(s, t) = \tilde{\gamma}_t(s)$



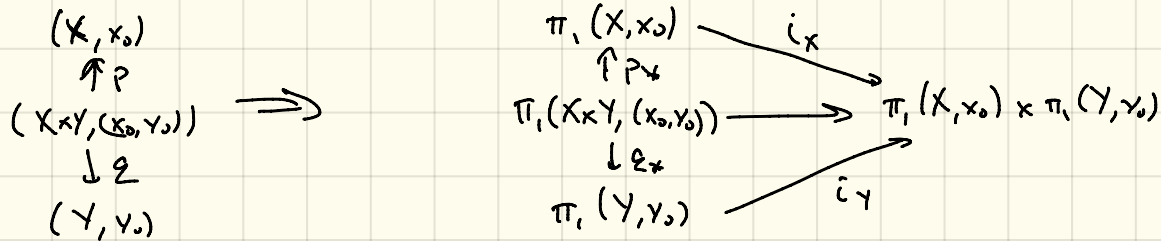
Then  $\tilde{F}(s,0) = \tilde{\gamma}_0(s)$ ,  $\tilde{F}(s,1) = \tilde{\mu}(s)$ ,  $\tilde{F}(0,t) = \tilde{\gamma}_t(0) = 0$ ,  $\tilde{F}(1,t) = \tilde{\gamma}_t(1) = \tilde{\gamma}(1) + t = \tilde{\mu}(1)$  since  $\tilde{\gamma}_1 = \tilde{\mu}$  13

This proves that the map is well-defined and injective. For surjectivity, note that if we set  $w_n(t) = e^{2\pi i n t}$ , then  $\tilde{w}_n(t) = nt$  and  $\tilde{w}_n(1) = n$ . This maps to  $n \in \mathbb{Z}$ . The map is a homomorphism:



## Products

Suppose  $(X, x_0)$  and  $(Y, y_0)$  are pointed spaces.



Have a homomorphism  $p_x \times q_x : \pi_1(X \times Y, (x_0, y_0)) \rightarrow \pi_1(X, x_0) \times \pi_1(Y, y_0)$   
 $[\gamma] \mapsto (p_x[\gamma], q_x[\gamma])$

Claim: This map is an isomorphism.

$p_x: T^n = (\mathbb{S}^1)^n \rightarrow \mathbb{Z}^n$  so  $\pi_1(T^n, 1) \cong \mathbb{Z}^n$ .  $n=2: \pi_1(T) = \mathbb{Z} \times \mathbb{Z}$   
 $[\gamma] = (m, n)$



$m$  times around,  $n$  times around

PF of Claim: Proofs have a universal mapping property:  $\varphi: \mathbb{Z} \rightarrow X \times Y$  is continuous  $\Leftrightarrow p \circ \varphi: \mathbb{Z} \rightarrow X$  and  $q \circ \varphi: \mathbb{Z} \rightarrow Y$  are continuous.

Surjectivity: Suppose  $([\gamma], [\mu]) \in \pi_1(X, x_0) \times \pi_1(Y, y_0)$ . This is represented by a pair of loops  $\gamma: (\{0,1\}, \{0,1\}) \rightarrow (X, x_0)$  and  $\mu: (\{0,1\}, \{0,1\}) \rightarrow (Y, y_0)$ . By the UMP the map  $\gamma \times \mu: (\{0,1\}, \{0,1\}) \rightarrow (X \times Y, (x_0, y_0))$  is continuous and  $p_x \times q_x([\gamma \times \mu]) = ([\gamma], [\mu])$

Injectivity: Suppose  $(p_x \times q_x)[\alpha] = (p_x \times q_x)[\beta]$  where  $\alpha, \beta: (\{0,1\}, \{0,1\}) \rightarrow (X \times Y, (x_0, y_0))$

Let  $\alpha_x = p \circ \alpha$ ,  $\alpha_y = q \circ \alpha$ ,  $\beta_x = p \circ \beta$ ,  $\beta_y = q \circ \beta$ . Then  $(p_x \times q_x)[\alpha] = ([\alpha_x], [\alpha_y])$   
 $(p_x \times q_x)[\beta] = ([\beta_x], [\beta_y])$

By assumption,  $\alpha_x \approx \beta_x \text{ rel } \{0,1\}$  and  $\alpha_y \approx \beta_y \text{ rel } \{0,1\}$ . Let  $F_x: I \times I \rightarrow X$  and  $F_y: I \times I \rightarrow Y$  be these homotopies. Let  $F: I \times I \rightarrow X \times Y$  be the corresponding continuous map  $F_x \times F_y$ . Then  $F_x \times F_y: \alpha \approx \beta$  (exercise)

# APPLICATIONS

## 1. FUNDAMENTAL THM OF ALGEBRA

SUPPOSE  $p(z) = z^n + a_1 z^{n-1} + \dots + a_n$  HAS NO ROOTS IN  $\mathbb{C}$ . IF  $r > 0$  THEN

$$f_r(s) = \frac{p(re^{2\pi i s})}{|p(re^{2\pi i s})|}$$

DEFINES A LOOP IN  $S^1$ , BASED AT 1. AS  $r$  VARIES,  $f_r$  IS A HOMOTOPY OF LOOPS IN  $S^1$  BASED AT 1. NOTE THAT  $f_0$  IS THE TRIVIAL LOOP + SO  $[f_r] \in \pi_1(S^1) \cong \mathbb{Z}$  IS 0 FOR ALL  $r > 0$ .

NOW, FIX  $r > 0$ , BIGGER THAN  $|a_1| + \dots + |a_n| + 1$ . THEN FOR  $|z| = r$  WE HAVE

$$|z^n| > (|a_1| + \dots + |a_n|) |z^{n-1}| > |a_1 z^{n-1} + \dots + a_n|$$

IT FOLLOWS THAT  $p_t(z) = z^n + t(a_1 z^{n-1} + \dots + a_n)$  HAS NO ROOTS ON THE CIRCLE  $|z| = r$  WHEN  $0 \leq t \leq 1$ . REPLACING  $p$  BY  $p_t$  IN THE FORMULA FOR  $f_r$  AND LETTING  $t$  GO FROM 1 TO 0 WE OBTAIN A HOMOTOPY FROM  $f_r$  TO  $w_n(s) = e^{2\pi i n s}$ . BUT  $[w_n] = n \in \pi_1(S^1)$  AND SINCE  $[w_n] = [f_r] = 0$ , WE HAVE  $n = 0$ . THAT IS,  $p$  IS CONSTANT.

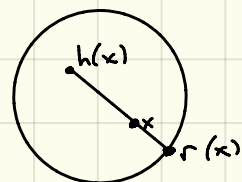
## 2. BROWER FIXED POINT THM FOR THE DISC

EVERY CONTINUOUS MAP  $h: D^2 \rightarrow D^2$  HAS A FIXED POINT.

PF: SUPPOSE NOT. DEFINE  $r: D^2 \rightarrow S^1$  BY

NOTE THAT  $r|_{S^1} = \text{id}$  AND  $r$  IS CLEARLY CONTINUOUS.

BUT THEN WE HAVE A COMMUTATIVE DIAGRAM



$$\begin{array}{ccc} \pi_1(S^1, 1) & \xrightarrow{\text{id}} & \pi_1(D^2, 1) = 0 \\ \searrow \text{id} & & \swarrow r_* \\ & & \pi_1(S^1, 1) \end{array}$$

SO THAT  $\text{id}: \pi_1(S^1) \rightarrow \pi_1(S^1)$  IS THE ZERO MAP, A CONTRADICTION.

## 3. BORSUK-ULAM THM IN DIMENSION 2

IF  $f: S^2 \rightarrow \mathbb{R}^2$  IS CONTINUOUS THEN THERE IS AN  $x \in S^2$  WITH  $f(x) = f(-x)$ .

PF: SUPPOSE NOT. DEFINE  $g: S^2 \rightarrow S^1$  BY  $g(x) = (f(x) - f(-x)) / |f(x) - f(-x)|$ . DEFINE A LOOP  $\eta$  ON  $S^2$  BY  $\eta(s) = (\cos 2\pi s, \sin 2\pi s, 0)$  AND LET  $h = g \circ \eta$ . SINCE  $g(-x) = -g(x)$  WE HAVE  $h(s + \frac{1}{2}) = -h(s)$  FOR ALL  $s \in [0, \frac{1}{2}]$ . LIFT  $h$  TO A MAP  $\tilde{h}: I \rightarrow \mathbb{R}$ . SINCE  $h(s + \frac{1}{2}) = -h(s)$  WE HAVE  $\tilde{h}(s + \frac{1}{2}) = \tilde{h}(s) + \frac{z}{2}$  FOR SOME ODD INTEGER  $z$ .  $z$  MIGHT DEPEND ON  $s$ , BUT IT'S EASY TO SEE THAT IT DOESN'T AS IT MUST DEPEND CONTINUOUSLY ON  $s$  AND SO MUST BE CONSTANT SINCE  $z \in \mathbb{Z}$ . IN PARTICULAR,  $\tilde{h}(1) = \tilde{h}(\frac{1}{2}) + \frac{z}{2} = \tilde{h}(0) + z$ . SO  $h$  REPRESENTS  $z$  TIMES A GENERATOR OF  $\pi_1(S^1)$  AND SINCE  $z$  IS ODD,  $h$  IS NOT NULLHOMOTOPIC. BUT  $\eta$  IS OBVIOUSLY NULLHOMOTOPIC IN  $S^2$  + SO  $g \circ \eta$  IS NULLHOMOTOPIC IN  $S^1$ , A CONTRADICTION.

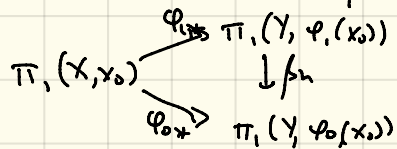
Prop:  $\pi_1(S^n) = 0 \quad n \geq 2$

Pf: First prove the following lemma: If  $X$  is the union of a collection of path connected open sets  $A_\alpha$  each containing the base point  $x_0 \in X$  and each intersection  $A_\alpha \cap A_\beta$  is path connected, then every loop in  $X$  is homotopic to a product of loops, each contained in a single  $A_\alpha$ .

Pf: Suppose  $\gamma: I \rightarrow X$  is a loop at  $x_0$ . Since  $\gamma$  is continuous, each  $s \in I$  has an open  $V_s$  in  $I$  mapped by  $\gamma$  into some  $A_\alpha$ ; in fact we may assume  $V_s$  maps to  $A_\alpha$ . Since  $I$  is compact, a finite collection of these  $V_s$  cover  $I$ ; say  $0 = s_0 < s_1 < \dots < s_m = 1$  is the corresponding collection of  $s$  values. Denote the  $A_\alpha$  containing  $\gamma([s_{i-1}, s_i])$  by  $A_i$  and let  $\gamma_i$  be the path  $\gamma|([s_{i-1}, s_i])$ . Then  $\gamma = \gamma_1 \cdot \gamma_2 \cdot \dots \cdot \gamma_m$  with  $\gamma_i$  in  $A_i$ . Since  $A_i \cap A_{i+1}$  is path connected, choose  $g_i$  in  $A_i \cap A_{i+1}$  from  $x_0$  to  $\gamma(s_i) \in A_i \cap A_{i+1}$ . Then the loop  $(\gamma_1 \cdot g_1^{-1}) \cdot (g_1 \cdot \gamma_2 \cdot g_2^{-1}) \cdot \dots \cdot (g_{m-1} \cdot \gamma_m) = \gamma$  and each piece lies in some  $A_i$ .  
 Now write  $S^n = A_1 \cup A_2$ , where  $A_1 = S^n - \{(0, 0, \dots, 1)\}$  and  $A_2 = S^n - \{(0, 0, \dots, -1)\}$ . Then  $A_1 \cong \mathbb{R}^n \cong A_2$  and  $A_1 \cap A_2 \cong S^{n-1} \times \mathbb{R}$ . Choose  $x_0 \in A_1 \cap A_2$ . If  $n \geq 2$  then  $A_1 \cap A_2$  is path connected. By the lemma, any loop in  $S^n$  is homotopic to a product of loops lying in  $A_1$  or  $A_2$ . But  $\pi_1(A_1) = 0 = \pi_1(A_2)$  and so every loop in  $S^n$  is nullhomotopic.

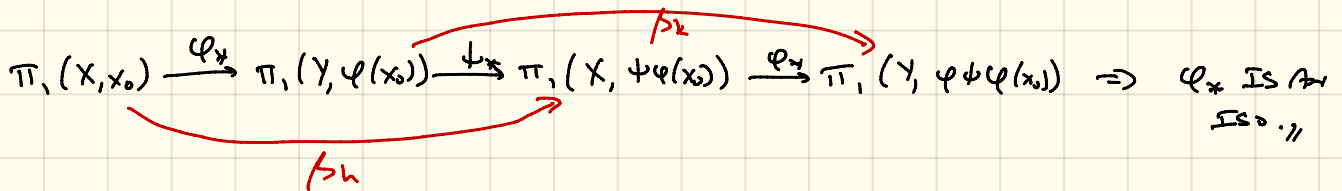
Prop: If  $\varphi: X \rightarrow Y$  is a homotopy equivalence, then  $\varphi_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \varphi(x_0))$  is an isomorphism for all  $x_0 \in X$ .

Pf: First let  $\varphi_t: X \rightarrow Y$  be a homotopy and let  $h$  be the path  $\varphi_t(x_0)$  in  $Y$ . I claim  $\beta_h: \pi_1(Y, \varphi_1(x_0)) \rightarrow \pi_1(Y, \varphi_0(x_0))$  defined by  $\beta_h(\alpha) = [h \cdot \alpha \cdot h^{-1}]$  is an isomorphism (exercise).  
 Consider the diagram



I claim this commutes:  $\varphi_{0*} = \beta_h \circ \varphi_{1*}$

To see this, let  $h_t$  be the restriction of  $h$  to  $[0, t]$ . Reparametrize this to  $[0, 1]$ :  $h_t(s) = h(ts)$ . If  $\alpha$  is a loop at  $x_0$ , then  $h_t \cdot (\varphi_t \alpha) \cdot h_t^{-1}$  is a homotopy of loops at  $\varphi(x_0)$ . Restricting to  $t=0$  and  $t=1$  yields the claim. Now let  $\psi: Y \rightarrow X$  be a homotopy inverse of  $\varphi$ :  $\varphi\psi \simeq id_Y$  and  $\psi\varphi \simeq id_X$ . Then  $\varphi_*\psi_*$  and  $\psi_*\varphi_*$  are isomorphisms. Consider the diagram



# FREE PRODUCTS OF GROUPS

Let  $\{G_\alpha\}_{\alpha \in A}$  be a collection of groups. How can we build a group containing each as a subgroup? There are a couple of things we might try (e.g.  $\prod_\alpha G_\alpha$ ) but the "right" thing to do is the free product.

**DEF:** THE FREE PRODUCT  $\ast_{\alpha \in A} G_\alpha$  IS THE SET CONSISTING OF ALL FINITE WORDS  $g_1 g_2 \dots g_m, m \geq 0$ , WHERE  $g_i \in G_{\alpha_i}$  AND  $g_i \neq e \in G_{\alpha_i}$ , AND ADJACENT  $g_i, g_{i+1}$  BELONG TO DIFFERENT GROUPS  $G_{\alpha_i} \neq G_{\alpha_{i+1}}$ . THIS IS THE SET OF REDUCED WORDS. DEFINE A PRODUCT BY JUXTAPOSITION:

$(g_1 g_2 \dots g_m)(h_1 h_2 \dots h_n) = g_1 g_2 \dots g_m h_1 h_2 \dots h_n$  + THEN REDUCE IF  $g_m + h_1$  LIE IN THE SAME GROUP.

eg:  $(g_1 g_2)(g_2^{-1} g_1^{-1}) = g_1 (g_2 g_2^{-1}) g_1^{-1} = g_1 e g_1^{-1} = g_1 g_1^{-1} =$  EMPTY WORD = IDENTITY.

CAN CHECK THIS IS ASSOCIATIVE.

eg:  $\mathbb{Z} \ast \mathbb{Z}$  IS AN EXAMPLE OF A FREE GROUP (ON 2 GENERATORS). THE RANK IS 2 IN THIS CASE.

eg:  $\mathbb{Z}_2 \ast \mathbb{Z}_2$  YOU MIGHT THINK THIS IS FINITE, OR AT LEAST ALL TORSION, BUT IT IS NOT. LET  $a, b$  BE THE GENERATORS OF THE TWO FACTORS:  $a^2 = e = b^2$ . CONSIDER THE WORD  $ab$ ; IT HAS

INFINITE ORDER:  $(ab)(ab) = abab \neq e$ , etc. IT IS COUNTABLE + YOU CAN WRITE ALL WORDS:

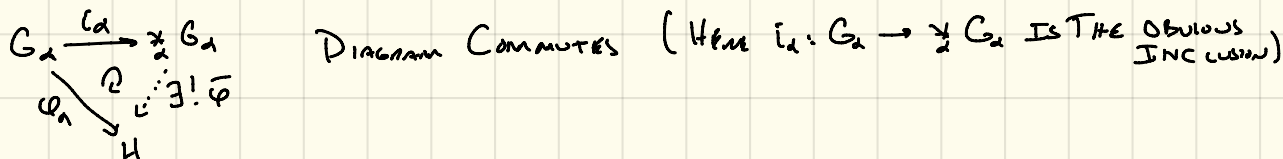
$e, a, b, ab, ba, aba, bab, abab, baba, \dots$  NOTE:  $(ab)^{-1} = b^{-1}a^{-1} = ba$ .

DEFINE  $\varphi: \mathbb{Z}_2 \ast \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  BY  $\varphi(w) = \ell(w) \pmod 2$  ( $\ell(w)$  = LENGTH OF THE REDUCED WORD  $w$ ). THIS IS CLEARLY SURJECTIVE AND  $\ker \varphi = \{ \text{WORDS OF EVEN LENGTH} \} = \langle ab \rangle \cong \mathbb{Z}$ . SO  $\mathbb{Z}_2 \ast \mathbb{Z}_2$  IS THE SEMIDIRECT PRODUCT OF  $\mathbb{Z}$  BY  $\mathbb{Z}_2$ .  $a(ab)a^{-1} = ba = (ab)^{-1}$  INFINITE DIHEDRAL GROUP

**FACT:**  $\mathbb{Z}_2 \ast \mathbb{Z}_2 \cong \text{PSL}_2(\mathbb{Z}) = \text{SL}_2(\mathbb{Z}) / \langle \pm I \rangle$  ( $\text{SL}_2(\mathbb{Z}) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{Z}, ad - bc = 1 \}$ ).

## UNIVERSAL MAPPING PROPERTY

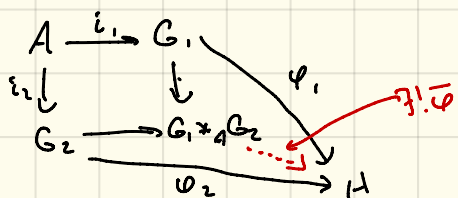
SUPPOSE  $\varphi_\alpha: G_\alpha \rightarrow H$  IS A HOMOMORPHISM. THEN THERE IS A UNIQUE  $\bar{\varphi}: \ast G_\alpha \rightarrow H$  SUCH THAT



**PF:** DEFINE  $\bar{\varphi}(g_1 \dots g_m) = \varphi_{\alpha_1}(g_1) \dots \varphi_{\alpha_m}(g_m)$ . THIS MUST BE THE MAP AND ITS A HOMOMORPHISM FOR FREE.

## FREE PRODUCT WITH AMALGAMATION

LET  $G_1, G_2$  BE GROUPS + SUPPOSE  $i_s: A \rightarrow G_s$  IS A HOMOMORPHISM,  $s=1,2$ . CONSIDER THE DIAGRAM

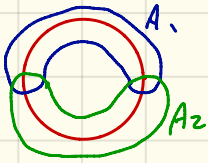


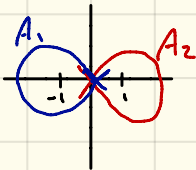
THE AMALGAMATED FREE PRODUCT  $G_1 \ast_A G_2$  IS THE GROUP FILLING IN THIS DIAGRAM. TO CONSTRUCT IT CONSIDER  $G_1 \ast G_2 / N$  WHERE  $N =$  NORMA SUBGR GEN BY  $(i_1(a) i_2(a)^{-1})_{a \in A}$ . eg:  $\text{SL}_2(\mathbb{Z}) \cong \mathbb{Z}_4 \ast_{\mathbb{Z}_2} \mathbb{Z}_6$ .

# VAN KAMPEN'S THEM

SUPPOSE  $X$  IS THE UNION OF PATH CONNECTED OPEN SETS  $A_\alpha$ , EACH CONTAINING  $x_0$ . IF EACH  $A_\alpha \cap A_\beta$  IS PATH CONNECTED, THEN THE MAP  $\Phi: \ast_\alpha \pi_1(A_\alpha, x_0) \rightarrow \pi_1(X, x_0)$  IS SURJECTIVE. IF IN ADDITION, EACH  $A_\alpha \cap A_\beta \cap A_\gamma$  IS PATH CONNECTED, THEN  $\ker \Phi$  IS GENERATED BY ALL ELEMENTS OF THE FORM  $i_{\alpha\beta}(w) i_{\alpha\gamma}(w)^{-1}$  FOR  $w \in \pi_1(A_\alpha \cap A_\beta \cap A_\gamma, x_0)$ .

SPECIAL CASE  $X = A_1 \cup A_2 \Rightarrow \pi_1(X) \cong \pi_1(A_1, x_0) \ast_{\pi_1(A_1 \cap A_2)} \pi_1(A_2, x_0)$

eg:   $S^1 = A_1 \cup A_2$  BUT  $A_1 \cap A_2$  NOT CONNECTED SO CAN'T USE THEM.

eg:  $X = \mathbb{C} - \{\pm i\}$    $X \cong S^1 \vee S^1 \Rightarrow \pi_1(X) \cong \pi_1(S^1 \vee S^1)$   
 $A_1 \cong S^1$   $A_2 \cong S^1$   $A_1 \cap A_2 = \{0\}$

$\Rightarrow \pi_1(X, 0) \cong \pi_1(A_1, 0) \ast \pi_1(A_2, 0) \cong \mathbb{Z} \ast \mathbb{Z}$ .

eg: MORE GENERALLY, SUPPOSE WE HAVE SPACES  $X_\alpha$  WITH BASE POINTS  $x_\alpha \in X_\alpha$ . ASSUME EACH  $x_\alpha$  HAS A NBHD  $U_\alpha$  WHICH DEFORMATION RETRACTS TO  $x_\alpha$ . LET  $A_\alpha = X_\alpha \setminus \bigcup_{\beta \neq \alpha} U_\beta$ . THEN  $A_\alpha$  DEFORMATION RETRACTS TO  $X_\alpha$  + THE INTERSECTION OF TWO OR MORE  $A_\alpha$  IS  $\bigcap_{\beta \neq \alpha} U_\beta \cong \ast$ . SO,  $\Phi: \ast_\alpha \pi_1(X_\alpha) \rightarrow \pi_1(\bigcup_\alpha X_\alpha)$  IS AN ISOMORPHISM.

PROOF: WE PROVED SURJECTIVITY WHEN WE COMPUTED  $\pi_1(S^n)$ . THE DIFFICULT PART IS TO COMPUTE  $\ker \Phi$ . THIS IS A TERRIBLE COMBINATORIAL ARGUMENT. SINCE WE ARE MOSTLY INTERESTED IN THE CASE  $X = A_1 \cup A_2$  WE WILL GIVE A DIRECT PROOF LATER THAT  $\pi_1(X) \cong \pi_1(A_1) \ast_{\pi_1(A_1 \cap A_2)} \pi_1(A_2)$ , AT LEAST IN MOST CASES OF INTEREST. A FULL PROOF IS IN HATCHER, § 1.2.

eg: LET  $A$  BE A CIRCLE IN  $\mathbb{R}^3$  AND LET  $X = \mathbb{R}^3 - A$ . WHAT IS  $\pi_1(X)$ ?

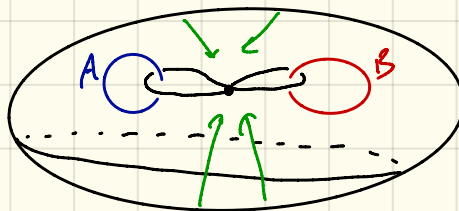
SO  $\pi_1(X) \cong \pi_1(S^1) \ast \pi_1(S^2)$   $X \cong S^1 \vee S^2$   
 $\cong \mathbb{Z}$



IF  $B$  IS ANOTHER CIRCLE, NOT LINKED WITH  $A$ , THEN  $\mathbb{R}^3 - (A \cup B) \cong S^1 \vee S^1 \vee S^2 \vee S^2$

$\Rightarrow \pi_1(\mathbb{R}^3 - (A \cup B)) \cong \mathbb{Z} \ast \mathbb{Z}$

REAR ABOUT TORSION KNOTS, P. 47.





# ATTACHING CELLS

Suppose  $X$  is a path connected space and let  $\varphi_\alpha: \partial e_\alpha^2 \rightarrow X$  be attaching maps for some 2-cells  $e_\alpha^2$ . Let  $Y = X \cup_\alpha e_\alpha^2$ . Note that  $\varphi_\alpha$  determines a loop in  $X$  for each  $\alpha$ , but the basepoints might not agree. Choose a path  $\gamma_\alpha$  from  $x_0$  to the basepoint of  $\varphi_\alpha(\partial e_\alpha^2)$ . Then each  $\gamma_\alpha \varphi_\alpha \gamma_\alpha^{-1}$  is a loop at  $x_0$ . It might not be nullhomotopic, but it will be after  $e_\alpha^2$  is attached. Let  $N$  be the normal subgroup of  $\pi_1(X, x_0)$  generated by these  $[\gamma_\alpha \varphi_\alpha \gamma_\alpha^{-1}]$ . Then

$$N \subseteq \ker \{ \pi_1(X, x_0) \rightarrow \pi_1(Y, x_0) \}$$

Proof: 1. The map  $\pi_1(X, x_0) \rightarrow \pi_1(Y, x_0)$  is surjective with kernel  $N$ .

2. If  $Y$  is obtained by attaching  $n$ -cells to  $X$ ,  $n > 2$ , then  $\pi_1(X, x_0) \rightarrow \pi_1(Y, x_0)$  is an isomorphism.

3. For a path connected cell complex  $X$ , the inclusion of the 2-skeleton  $X^2 \hookrightarrow X$  induces an isomorphism  $\pi_1(X^2, x_0) \xrightarrow{\cong} \pi_1(X, x_0)$ .

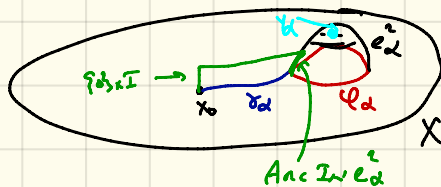
Proof: 1. Let's thicken  $Y$  a bit. Attach rectangular strips  $S_\alpha = I \times I$  to  $Y$  by gluing  $I \times \{0\}$  along  $\gamma_\alpha$ , the edge  $\{1\} \times I$  along an arc in  $e_\alpha^2$ , and all the  $\{0\} \times I$  together.

Call this space  $Z$ . Then  $Z$  clearly deformation retracts to  $Y$ . In each  $e_\alpha^2$  choose  $\gamma_\alpha$  not on the arc and let  $A = Z - \bigcup_\alpha \{\gamma_\alpha\}$ . Let  $B = Z - X$ . Then

$A \subseteq X$  and  $B$  is contractible. Since  $\pi_1(B) = 0$ , we

see that  $\pi_1(Z) \cong \pi_1(A) / \langle \lim \pi_1(A \cap B) \rightarrow \pi_1(A) \rangle$  (by Van Kampen's Thm).

What is this subgroup? Choose  $z_0 \in A \cap B$  near  $x_0$  on the segment where all the  $S_\alpha$  meet and let  $S_\alpha$  be a loop in  $A \cap B$  based at  $z_0$  representing  $[\gamma_\alpha \varphi_\alpha \gamma_\alpha^{-1}] \in \pi_1(A, z_0)$



I claim that  $\pi_1(A \cap B, z_0)$  is generated by  $\{S_\alpha\}$ . Cover  $A \cap B$  by  $A_\alpha = A \cap B - \bigcup_{\beta \neq \alpha} e_\beta^2$ . Then



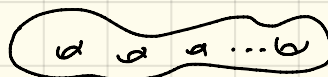
$A_\alpha \cong S^1$  in  $e_\alpha^2 - \{\gamma_\alpha\}$  and  $\pi_1(A_\alpha, z_0) \cong \mathbb{Z}$  generated by  $S_\alpha$  and we are done.

2. Same as 1, except replace  $e_\alpha^2$  with  $e_\alpha^n$ . Then  $A_\alpha$  retracts to  $S^{n-1} \Rightarrow \pi_1(A_\alpha) = 0$  for all  $n > 2$  so  $\pi_1(A \cap B) = 0$ .

3. Follows from 2 by induction if  $X$  is finite dimensional. In general, use the fact that any loop  $\gamma: I \rightarrow X$  must lie in some  $X^n$  by compactness.  $2 \Rightarrow \gamma = \mu: I \rightarrow X^2 \Rightarrow \pi_1(X^2)$  surjects onto  $\pi_1(X)$ . For injectivity, if  $\gamma$  is nullhomotopic in  $X$ , then the image of the homotopy lies in some  $X^n$  with  $n > 2$ . Since  $2 \Rightarrow \pi_1(X^2) \rightarrow \pi_1(X)$  is injective we are done.

Ex:  $M_g =$  orientable surface of genus  $g$

The 1-skeleton  $M_g^1$  is homotopic to  $\bigvee_{2g} S^1$

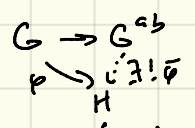


The 2-cell is attached by the loop  $\gamma = [a_1, b_1] \cdot [a_2, b_2] \cdot \dots \cdot [a_g, b_g]$  where  $[a_i, b_i] = a_i b_i a_i^{-1} b_i^{-1}$

where the  $a_i, b_i$  are the circles in the 1-skeleton.  $\gamma: S^1 \rightarrow \bigvee_{2g} S^1 + \ker \{ \pi_1(S^1) \rightarrow \pi_1(M_g) \}$  is generated by  $[\gamma]$ . So  $\pi_1(M_g) \cong \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \dots [a_g, b_g] = 1 \rangle$

Cor: If  $g \neq h$ , Then  $M_g$  Is Not Homotopy Equivalent To  $M_h$ .

Pf: Recall The Abelianization of A Group  $G$ : It Is The Largest Abelian Quotient <sup>$G^{ab}$</sup>  of  $G$ . It Is Constructed As The Quotient  $G/[G,G]$ , Where  $[G,G]$  Is Generated By All  $[g,h], g,h \in G$ . It Has The Obvious UMP: If  $\varphi: G \rightarrow H$  Is A Homomorphism With  $H$  Abelian, There Is A Unique  $\bar{\varphi}: G^{ab} \rightarrow H$  Making Diagram Commute

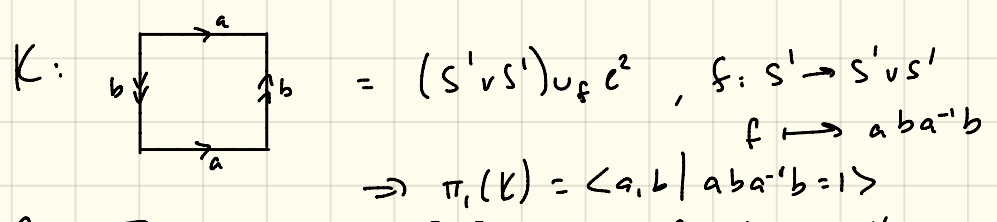


Note That  $\pi_1(M_g)^{ab} \cong \langle a_1, b_1, \dots, a_g, b_g \mid (a_1 + b_1 - a_1 - b_1) + \dots + (a_g + b_g - a_g - b_g) = 0 \rangle \cong \mathbb{Z}^{2g}$ .

So,  $M_g \simeq M_h \Rightarrow \pi_1(M_g) \cong \pi_1(M_h) \Rightarrow \pi_1(M_g)^{ab} \cong \pi_1(M_h)^{ab} \Rightarrow \mathbb{Z}^{2g} \cong \mathbb{Z}^{2h} \Rightarrow 2g = 2h \Rightarrow g = h$ .

ex:  $\mathbb{R}P^2 = S^1 \cup_f e^2$   $f: \partial e^2 \rightarrow S^1$  Is  $z \mapsto z^2$ . So  $\pi_1(\mathbb{R}P^2) \cong \langle a \mid a^2 = 1 \rangle \cong \mathbb{Z}_2$ .

Klein Bottle



Cor: If  $G$  Is A Group, There There Is A 2-Dimensional Cell Complex  $X_G$  With  $\pi_1(X_G) \cong G$ .

Pf: Choose A Presentation  $\langle g_i \mid r_j \rangle \cong F\langle g_i \rangle / N$ . Then Build  $X_G$  From  $\bigvee_a S^1_a$  By Attaching 2-Cells Via Relations  $r_j$ .