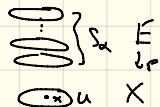


Covering Spaces

Def: A map $p: E \rightarrow X$ is a Covering Map if each $x \in X$ has an open nbhd U such that $p^{-1}(U)$ is a disjoint union $p^{-1}(U) = \coprod_{\alpha} S_{\alpha}$ with

1. Each S_{α} open in E , and
2. $p|_{S_{\alpha}}: S_{\alpha} \rightarrow U$ is a homeomorphism for each α .

Local Picture:

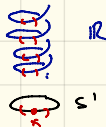


Such an open set U is called Evenly Covered and the S_{α} are called Sheets.

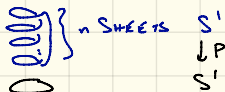
Examples 1. $id: X \rightarrow X$ is a covering for any X (obviously)

2. $E = \mathbb{R}, X = S^1, p(\alpha) = e^{2\pi i \alpha}$

3. $E = S^1, X = S^1, p: S^1 \rightarrow S^1, p(z) = z^n$

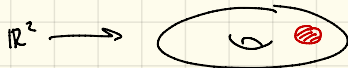
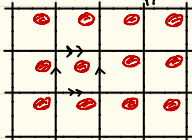


Note: Any connected open $U \subset S^1$ is evenly covered. Infinitely many sheets



4. $E = S^n, X = \mathbb{R}P^n, p: S^n \rightarrow \mathbb{R}P^n$ The quotient map. This is a cover with 2 sheets (by definition, really).

5. $\mathbb{R}^2 \rightarrow S^1 \times S^1, (\alpha, \beta) \mapsto (e^{2\pi i \alpha}, e^{2\pi i \beta})$



6. $p: \mathbb{C} \rightarrow \mathbb{C}^x = \mathbb{C} \setminus \{0\}$
 $w \mapsto e^{2\pi i w}$

This is a covering: Use polar coordinates $w = \rho + i\theta, \rho \in \mathbb{R}, \theta \in \mathbb{R}$
 $\mathbb{C} \cong \mathbb{R}^2, \mathbb{C}^x \cong \mathbb{R}^+ \times S^1, \rho + i\theta \mapsto (\rho, e^{i\theta})$

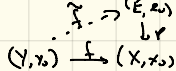
This is a covering since $\mathbb{R} \rightarrow \mathbb{R}^+ \times S^1 \rightarrow \mathbb{C}^x$ is a homeomorphism and $\mathbb{R} \rightarrow S^1$ is a covering.

Def: The Fiber over $y \in Y$ of a continuous map $f: X \rightarrow Y$ is $f^{-1}(y)$.

Note: For a covering map p , the fibers are discrete.

Unique Lifting Theorem

Suppose $p: (E, e_0) \rightarrow (X, x_0)$ is a covering map and $f: (Y, y_0) \rightarrow (X, x_0)$ is continuous. If Y is connected, then there is at most one lift $\tilde{f}: (Y, y_0) \rightarrow (E, e_0)$



Proof: Suppose \tilde{f}_1, \tilde{f}_2 are lifts of f and set $A = \{y \in Y \mid \tilde{f}_1(y) = \tilde{f}_2(y)\}$. Since $y_0 \in A, A \neq \emptyset$.

A is Closed: We assume E Hausdorff (not necessary but it simplifies the argument).

The map $\tilde{f}_1 \times \tilde{f}_2: Y \rightarrow E \times E$ is continuous and the diagonal $\Delta = \{(e, e) \mid e \in E\}$ is closed. Then $A = (\tilde{f}_1 \times \tilde{f}_2)^{-1}(\Delta)$ is closed.

A is Open: Let $y \in A$ and let U be an evenly covered nbhd of $f(y)$. Write $p^{-1}(U) = \coprod_{\alpha} S_{\alpha}$. There is a unique α_0 such that $\tilde{f}_1(y) = \tilde{f}_2(y) \in S_{\alpha_0}$. Then $V = \tilde{f}_1^{-1}(S_{\alpha_0}) \cap \tilde{f}_2^{-1}(S_{\alpha_0})$ is an open nbhd of y . If $z \in V$, then $\tilde{f}_1(z) = \tilde{f}_2(z) \in S_{\alpha_0}$. Since $p \circ \tilde{f}_1(z) = f(z) = p \circ \tilde{f}_2(z)$

AND SINCE $p|_{S_0}$ IS INJECTIVE, IT FOLLOWS THAT $f_1(z) = f_2(z)$ AND HENCE $z \in A$. THUS 21

$\forall z \in A$ AND A IS OPEN. SINCE Y IS CONNECTED, WE HAVE $A = Y$ AND SO $f_1 = f_2$.

PATH LIFTING THM

IF $p: (E, e_0) \rightarrow (X, x_0)$ IS A COVERING, THEN EACH PATH $\gamma: (I, 0) \rightarrow (X, x_0)$ HAS A UNIQUE LIFT $\tilde{\gamma}: (I, 0) \rightarrow (E, e_0)$.

PROOF: UNIQUENESS FOLLOWS FROM THE PREVIOUS RESULT.

TO SHOW EXISTENCE, COVER THE IMAGE OF γ IN EVENLY COVERED OPEN SETS. SINCE THIS SET IS COMPACT, WE FIND A PARTITION $0 = t_0 < t_1 < \dots < t_n = 1$ OF I SUCH THAT $\gamma([t_{j-1}, t_j]) \subset U_j$ AND U_j IS EVENLY COVERED.

SET $\gamma_j = \gamma|_{[t_{j-1}, t_j]}$. THEN $\gamma = \gamma_1 \cdot \gamma_2 \dots \gamma_n$. NOTE

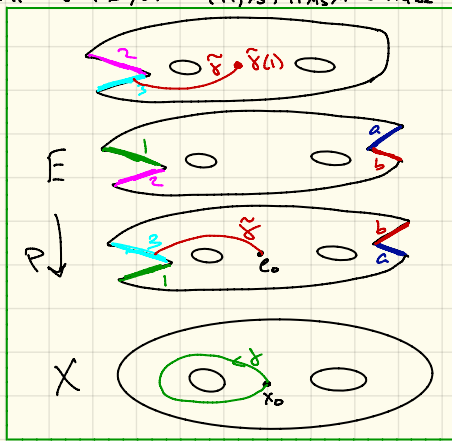
THAT γ_j LIFTS TO $\tilde{\gamma}_j: [t_{j-1}, t_j] \rightarrow (E, e_0)$ SINCE

$p|_{p^{-1}(U_j)}$ IS A COVERING. THEN $\tilde{\gamma}_2$ LIFTS TO

$\tilde{\gamma}_2: [t_1, t_2] \rightarrow (E, \tilde{\gamma}_1(t_1))$. PROCEEDING INDUCTIVELY

WE LIFT EACH γ_j TO $\tilde{\gamma}_j$ AND THEN $\tilde{\gamma} = \tilde{\gamma}_1 \cdot \dots \cdot \tilde{\gamma}_n$

IS A LIFT OF γ .



COVERING HOMOTOPY THM

SUPPOSE $p: (E, e_0) \rightarrow (X, x_0)$ IS A COVERING MAP AND THAT $F: Y \times I \rightarrow X$ IS A HOMOTOPY.

IF THERE IS A LIFT $\tilde{f}_0: Y \rightarrow E$ OF $f_0: Y \rightarrow X$, THEN THERE IS A LIFT $\tilde{F}: Y \times I \rightarrow E$ SUCH THAT $\tilde{F}(s, 0) = \tilde{f}_0(s)$.

PROOF: 1. IF ALL OF X IS EVENLY COVERED, THEN THE RESULT IS CLEAR.

2. FOR EACH $y \in Y$, THERE IS AN OPEN NBHD N_y OF y AND A PARTITION $0 = t_0 < t_1 < \dots < t_n = 1$ OF I SUCH THAT $F(N_y \times [t_{j-1}, t_j])$ IS CONTAINED IN AN EVENLY COVERED OPEN SET $U_j \subset X$ (BY COMPACTNESS OF I). LET $\gamma_y(t) = F(y, t)$. THIS IS A PATH IN X . USING THE SAME ARGUMENT AS IN THE PATH LIFTING THM, WE HAVE A LIFT $\tilde{F}_y: N_y \times I \rightarrow E$ OF $F_y = N_y \times I$. BY UNIQUENESS OF LIFTING, \tilde{F}_y AND \tilde{F}_{y_2} AGREE ON $(N_y \cap N_{y_2}) \times I$. IT FOLLOWS THAT $\tilde{F}(y, t) = \tilde{F}_y(y, t)$ IS WELL-DEFINED AND CONTINUOUS.

CON: SUPPOSE $\tilde{\alpha}, \tilde{\beta}: (I, 0) \rightarrow (E, e_0)$ WITH LIFTS $f_0, f_1: (I, 0) \rightarrow (E, e_0)$. IF $\tilde{\alpha} \simeq \tilde{\beta}$, REL f_0, f_1 , THEN $f_0 = f_1$, REL $\tilde{\alpha}, \tilde{\beta}$. IN PARTICULAR, $\tilde{f}_0(1) = \tilde{f}_1(1)$.

PROOF:
$$\begin{array}{ccc} I \times \{0\} & \xrightarrow{\tilde{f}_0} & E \\ \downarrow & \nearrow \tilde{F} & \downarrow p \\ I \times I & \xrightarrow{f_0} & X \end{array}$$
 BY UNIQUENESS, $\tilde{F}(s, 1) = \tilde{f}_1(s)$, I.E. $\tilde{F}: \tilde{f}_0 \simeq \tilde{f}_1$, REL $\tilde{\alpha}, \tilde{\beta}$.

eg: $p: S^1 \rightarrow S^1$ $p(x) = \pi_1(S^1, 1) \rightarrow \pi_1(S^1, 1)$
 $z \mapsto z^c$ $(1 \mapsto 2\pi i)$
 $z \simeq z$

CON: THE MAP $p_*: \pi_1(E, e_0) \rightarrow \pi_1(X, x_0)$ IS INJECTIVE.

PF: IF γ IS A LOOP AT e_0 WITH $p_*\gamma = 1_{x_0}$, THEN γ IS A LIFT OF $p_*\gamma + 1_{x_0}$ IS A LIFT OF $1_{x_0} \Rightarrow \gamma = 1_{e_0}$, REL \tilde{f}_0 .

Q: WHAT IS THE IMAGE OF p_* ?

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NOTE THAT A LOOP AT x_0 LIFTING TO A LOOP AT e_0 IS CERTAINLY IN THE IMAGE. IF A LOOP REPRESENTS AN ELEMENT OF THE IMAGE OF p_* , THEN IT IS HOMOTOPIC TO A LOOP HAVING SUCH A LIFT + SO BY HOMOTOPY LIFTING THE LOOP ITSELF HAS SUCH A LIFT.

Proof: THE NUMBER OF SHEETS OF A COVER $p: (E, e_0) \rightarrow (X, x_0)$, WITH E AND X PATH CONNECTED, EQUALS THE INDEX OF $p_*(\pi_1(E, e_0))$ IN $\pi_1(X, x_0)$.

Proof: IF γ IS A LOOP AT x_0 , AND IF $\alpha \in H = p_*(\pi_1(E, e_0))$, THEN THE LIFT $\tilde{\alpha} \cdot \gamma$ HAS THE SAME ENDPOINT AS $\tilde{\gamma}$ SINCE $\tilde{\alpha}$ IS A LOOP. DEFINE Φ FROM THE SET OF COSETS OF H TO $p^{-1}(x_0)$ BY $\Phi(H[\tilde{\gamma}]) = \tilde{\gamma}(1)$. SINCE E IS PATH CONNECTED, Φ IS SURJECTIVE (e_0 CAN BE JOINED TO ANY POINT IN $p^{-1}(x_0)$ BY A PATH g PROTECTING $\tilde{\alpha}$ A LOOP γ AT x_0).
BUT IF $\Phi(H[\tilde{\gamma}_1]) = \Phi(H[\tilde{\gamma}_2])$ THEN $\tilde{\gamma}_1 \cdot \tilde{\gamma}_2^{-1}$ LIFTS TO A LOOP IN E BASED AT $e_0 \Rightarrow [\tilde{\gamma}_1] \cdot [\tilde{\gamma}_2]^{-1} \in H$.

LIFTING CRITERION

SUPPOSE $p: (E, e_0) \rightarrow (X, x_0)$ IS A COVERING SPACE AND $f: (Y, y_0) \rightarrow (X, x_0)$ IS CONTINUOUS WITH Y PATH CONNECTED AND LOCALLY PATH CONNECTED. THEN A LIFT $\tilde{f}: (Y, y_0) \rightarrow (E, e_0)$ EXISTS $\Leftrightarrow f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(E, e_0))$.

Proof: (\Rightarrow) IF \tilde{f} EXISTS, THEN $p \circ \tilde{f} = f \Rightarrow f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(E, e_0))$.

(\Leftarrow) SUPPOSE $f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(E, e_0))$. LET $y \in Y$ AND LET γ BE A PATH FROM y_0 TO y . THEN $\tilde{f} \circ \gamma$ HAS A UNIQUE LIFT $\tilde{f} \circ \gamma$ STARTING AT e_0 . DEFINE $\tilde{f}(y) = \tilde{f} \circ \gamma(1)$. IF γ' IS ANOTHER SUCH PATH, THEN $(\tilde{f} \circ \gamma) \cdot (\tilde{f} \circ \gamma')^{-1}$ IS A LOOP h_0 AT x_0 WITH $[h_0] \in f_*(\pi_1(Y, y_0)) \subseteq p_*(\pi_1(E, e_0))$. THIS THERE IS A HOMOTOPY h_t FROM h_0 TO A LOOP h_1 WHICH LIFTS TO A LOOP \tilde{h}_1 IN E BASED AT e_0 . THE HOMOTOPY LIFTS TO \tilde{h}_t . SINCE \tilde{h}_1 IS A LOOP AT e_0 , SO IS \tilde{h}_0 AND BY UNIQUENESS OF LIFTS, \tilde{h}_0 IS $\tilde{f} \circ \gamma'$. $\tilde{f} \circ \gamma^{-1}$ VIA THE COMMON MIDPOINT $\tilde{f} \circ \gamma(1) = \tilde{f} \circ \gamma'(1)$. SO \tilde{f} IS WELL-DEFINED. CONTINUITY OF \tilde{f} IS NOT DIFFICULT; USE LOCAL PATH CONNECTIVITY.

NOTE: IF Y IS SIMPLY CONNECTED, LIFTS ALWAYS EXIST.

CLASSIFYING COVERING SPACES

WE KNOW $p_*: \pi_1(E, e_0) \rightarrow \pi_1(X, x_0)$ IS INJECTIVE.

Q1: DOES EVERY SUBGROUP OF $\pi_1(X, x_0)$ ARISE AS $p_*(\pi_1(E, e_0))$ FOR SOME COVER? **EXISTENCE**

Q2: CAN TWO DIFFERENT COVERS \tilde{X}_1, \tilde{X}_2 GIVE THE SAME SUBGROUP? **UNIQUENESS**

IN PARTICULAR, CAN THE TRIVIAL SUBGROUP BE REALIZED THIS WAY? THAT IS, DOES X HAVE A **SIMPLY CONNECTED** COVER?

eg: $X = S^1$. $\pi_1(S^1) = \mathbb{Z}$ THE SUBGROUPS ARE $\langle n \rangle$ FOR SOME $n \geq 0$.

FOR $n > 0$, LET $X_n = S^1$ WITH $p_n: X_n \rightarrow S^1$ GIVEN BY $p_n(z) = z^n$. THEN $p_n: \pi_1(X_n) \rightarrow \pi_1(S^1)$ IS THE MAP $x \mapsto nx$ AND SO $p_n^{-1}(\langle n \rangle) = \langle n \rangle$.

IF $n \neq 0$, TAKE $X_0 = \mathbb{R}$. IF $n \neq m$ THEN $X_n + X_m$ ARE DISTINCT COVERING SPACES.

WHEN CAN X HAVE A SIMPLY CONNECTED COVER?

NECESSARY CONDITION: EACH $x \in X$ HAS A NBHD U SUCH THAT $\pi_1(U, x) \rightarrow \pi_1(X, x)$ IS TRIVIAL. THIS IS CALLED **SEMILOCAL SIMPLE CONNECTIVITY** OF X .

WHY? SUPPOSE $p: \tilde{X} \rightarrow X$ IS A COVERING WITH $\pi_1(\tilde{X}) = 0$. IF $x \in X$ FIND AN EVENLY COVERED NBHD U OF x AND LET \tilde{U} BE A SHEET. IF γ IS A LOOP IN U , LIFT IT TO $\tilde{\gamma} \subset \tilde{U}$; $\tilde{\gamma}$ IS NULLHOMOTOPIC IN \tilde{X} AND THEN $p \circ \tilde{\gamma}$ (NULLHOMOTOPY) IS A NULLHOMOTOPY OF γ IN X .

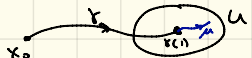
eg: X LOCALLY SIMPLY CONNECTED

X LOCALLY CONTRACTIBLE (eg: CELL COMPLEXES)

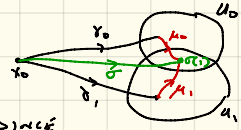
PROP: IF X IS PATH CONNECTED, LOCALLY PATH CONNECTED, AND LOCALLY SIMPLY CONNECTED, THEN X HAS A SIMPLY CONNECTED COVER \tilde{X} .

PROOF: DEFINE $\tilde{X} = \{[\gamma] \mid \gamma \text{ IS A PATH IN } X \text{ STARTING AT } x_0\}$. HERE $[\gamma]$ DENOTES THE HOMOTOPY CLASS REL $\{0, 1\}$ OF γ . THIS IS JUST A SET. DEFINE $p: \tilde{X} \rightarrow X$ BY $p([\gamma]) = \gamma(1)$. SINCE X IS PATH CONNECTED, p IS SURJECTIVE.

WHAT'S THE TOPOLOGY ON \tilde{X} ? SUPPOSE $[\gamma] \in \tilde{X}$. LET U BE A NBHD IN X OF $\gamma(1)$. LET $\langle \gamma, U \rangle = \{[\gamma \cdot \mu] \mid \mu \text{ IS A PATH IN } U \text{ BEGINNING AT } \gamma(1)\}$. WE MAY AS WELL ASSUME U IS PATH CONNECTED AND SIMPLY CONNECTED.



CLAIM: THE SETS $\langle \gamma, U \rangle$ FORM A BASIS FOR A TOPOLOGY ON \tilde{X} . FOR THIS, IT SUFFICES TO SHOW THAT IF $\langle \gamma_0, U_0 \rangle \cap \langle \gamma_1, U_1 \rangle \neq \emptyset$, THEN THERE EXISTS $[\alpha] \in \tilde{X}$ AND A NBHD V OF $\alpha(1)$ SUCH THAT $\langle \alpha, V \rangle \subseteq \langle \gamma_0, U_0 \rangle \cap \langle \gamma_1, U_1 \rangle$. SUPPOSE $[\sigma] \in \langle \gamma_0, U_0 \rangle \cap \langle \gamma_1, U_1 \rangle$. THEN THERE EXISTS μ_0 IN U_0 FROM $\gamma_0(1)$ TO $\sigma(1)$ WITH $\sigma = \gamma_0 \cdot \mu_0$, AND μ_1 IN U_1 FROM $\gamma_1(1)$ TO $\sigma(1)$ WITH $\sigma = \gamma_1 \cdot \mu_1$. NOTE THAT $\sigma(1) \in U_0 \cap U_1$. IT FOLLOWS EASILY THAT $\langle \sigma, U_0 \cap U_1 \rangle \subseteq \langle \gamma_0, U_0 \rangle \cap \langle \gamma_1, U_1 \rangle$.



NOW, $p(\langle \gamma, U \rangle) =$ THE PATH CONNECTED COMPONENT OF U CONTAINING $\gamma(1)$ AND SINCE PATH COMPONENTS ARE OPEN, p IS AN OPEN MAP. SINCE $p(\langle \gamma, U \rangle) \subseteq U$, p IS CONTINUOUS.

P IS A COVERING: LET $x \in X$. SINCE X IS LOCALLY SIMPLY CONNECTED, X HAS A PATH CONNECTED, SIMPLY CONNECTED NBHD U . THEN $p^{-1}(U) = \bigcup_{i \in \mathbb{Z}} \langle \gamma_i, U \rangle$ WITH $\gamma_i(0) = x_0, \gamma_i(1) = x$. GIVEN TWO SUCH $\langle \gamma_i, U \rangle, \langle \gamma_j, U \rangle$, WE SEE EASILY THAT $\langle \gamma_i, U \rangle \cap \langle \gamma_j, U \rangle = \emptyset \iff [x_0] = [x_j]$. $\Rightarrow p^{-1}(U) \subseteq$ A DISJOINT UNION OF OPEN SETS. MOREOVER, $p|_{\langle \gamma, U \rangle}$ IS A HOMOMORPHISM.

\tilde{X} IS PATH CONNECTED: TAKE AS BASEPOINT $e_0 = [x_0]$. THE PATH $t \mapsto [x_t]$ IS A PATH FROM e_0 TO (δ) , WHERE $\gamma_t: s \mapsto \gamma(ts)$.



\tilde{X} IS SIMPLY CONNECTED: LET α BE A LOOP IN \tilde{X}

AT e_0 . LET $\gamma = \text{path}$. BY UNIQUE LIFTING $\tilde{\gamma} = \alpha$ BUT $\alpha(1) = [x_0] + \tilde{\gamma}(1) = [\delta] \Rightarrow \gamma = \eta_x$. THIS IMPLIES THAT $p_\#([\alpha]) = [x_0]$ FOR ALL α AND SINCE $p_\#$ IS INJECTIVE, $\pi_1(\tilde{X}, e_0) = 0$.

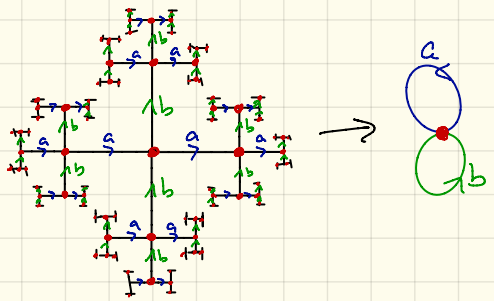
NOTE: THIS IS A GREAT CONSTRUCTION BUT IT'S USELESS IN PRACTICE.

e.g: $X = S^1 \vee S^1$

WHAT DOES \tilde{X} LOOK LIKE?

WELL, IT IS THE HOMOLOGY CLASSES OF MAPS STARTING AT WEDGE POINT.

THE GRAPH SHOWS THE FIRST FEW ITERATES, BUT IT IS INFINITE.



EXISTENCE

PROB: SUPPOSE X IS PATH CONNECTED, LOCALLY PATH CONNECTED, AND SEMILOCALLY SIMPLY CONNECTED. THEN FOR EVERY SUBGROUP $H \leq \pi_1(X, x_0)$, THERE IS A COVERING SPACE $p: X_H \rightarrow X$ WITH $p_\#(\pi_1(X_H, \tilde{x}_0)) = H$ FOR A SUITABLY CHOSEN $\tilde{x}_0 \in X_H$.

PROOF: LET \tilde{X} BE THE SIMPLY CONNECTED COVER CONSTRUCTED ABOVE AND DEFINE A RELATION \sim BY $[\gamma] \sim [\gamma']$ IF $\gamma(1) = \gamma'(1)$ AND $[\gamma \cdot \gamma'^{-1}] \in H$. THIS IS AN EQUIVALENCE RELATION PRECISELY BECAUSE H IS A SUBGROUP. LET X_H BE THE QUOTIENT OF \tilde{X} BY THIS RELATION. NOTE: IF $\gamma(1) = \gamma'(1)$, THEN $[\gamma] \sim [\gamma'] \Leftrightarrow [\gamma \cdot \eta] = [\gamma' \cdot \eta]$ FOR A PATH η . IN PARTICULAR, IF TWO POINTS IN BASIC NBHDS $\langle \gamma, u \rangle + \langle \gamma', u' \rangle$ ARE IDENTIFIED, THEN THE WHOLE NBHDS ARE IDENTIFIED. IT FOLLOWS THAT THE PROJECTION $X_H \rightarrow X$ ($[x] \mapsto \gamma(1)$) IS A COVERING. LET $\tilde{x}_0 \in X_H$ BE THE EQUIVALENCE CLASS OF $[x_0]$. THEN THE IMAGE OF $p_\#: \pi_1(X_H, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$ IS H : IF γ IS A LOOP IN X AT x_0 , ITS LIFT TO \tilde{X} STARTING AT $[x_0]$ ENDS AT $[\gamma]$ SO THE IMAGE OF THIS LIFTED PATH IN X_H IS A LOOP $\Leftrightarrow [\gamma] \sim [x_0] \Leftrightarrow [\gamma] \in H$.

UNIQUENESS

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Def: An **Isomorphism** of covering spaces $p_1: \tilde{X}_1 \rightarrow X$, $p_2: \tilde{X}_2 \rightarrow X$ IS A HOMEOMORPHISM $f: \tilde{X}_1 \rightarrow \tilde{X}_2$ WITH $p_1 = p_2 \circ f$.

Prop: IF X IS PATH CONNECTED AND LOCALLY PATH CONNECTED, THEN TWO PATH CONNECTED COVERS \tilde{X}_1, \tilde{X}_2 ARE ISOMORPHIC VIA $f: \tilde{X}_1 \rightarrow \tilde{X}_2$ TAKING $\tilde{x}_1 \in p_1^{-1}(x_0)$ TO $\tilde{x}_2 \in p_2^{-1}(x_0)$
 $\Leftrightarrow p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$.

Proof: (\Rightarrow) EXISTENCE OF $f \Rightarrow p_1 = p_2 \circ f, p_2 = p_1 \circ f^{-1} \Rightarrow p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$

(\Leftarrow) SUPPOSE THE SUBGROUPS ARE EQUAL. USING THE LIFTING CRITERION, WE LIFT p_1 TO A MAP $\tilde{p}_1: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$ WITH $p_2 \circ \tilde{p}_1 = p_1$. SIMILARLY, WE GET $\tilde{p}_2: (\tilde{X}_2, \tilde{x}_2) \rightarrow (\tilde{X}_1, \tilde{x}_1)$ WITH $p_1 \circ \tilde{p}_2 = p_2$. BY UNIQUENESS OF LIFTS, $\tilde{p}_1 \circ \tilde{p}_2 = \text{id}_{\tilde{X}_2}$ AND $\tilde{p}_2 \circ \tilde{p}_1 = \text{id}_{\tilde{X}_1}$, SINCE THESE COMPOSITES FIX BASEPOINTS. SO, $\tilde{p}_1 + \tilde{p}_2$ ARE INVERSE ISOMORPHISMS...

Thm: THERE IS A BISECTION BTWN BASEPOINT PRESERVING ISOMORPHISM CLASSES OF PATH CONNECTED COVERING SPACES $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ AND THE SET OF SUBGROUPS OF $\pi_1(X, x_0)$. IF BASEPOINTS ARE IGNORED, THIS BISECTION IS A CORRESPONDENCE BTWN ISO CLASSES OF COVERS AND CONJUGATE CLASSES OF SUBGROUPS OF $\pi_1(X, x_0)$.

Proof: IT ONLY REMAINS TO PROVE THE LAST STATEMENT. WE CLAIM THAT CHANGING BASEPOINT \tilde{x}_0 WITHIN $p^{-1}(x_0)$ CORRESPONDS EXACTLY TO CHANGING $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$ TO A CONJUGATE SUBGROUP. LET \tilde{x}_1 BE ANOTHER BASEPOINT IN $p^{-1}(x_0)$ + LET $\tilde{\gamma}$ BE A PATH FROM \tilde{x}_0 TO \tilde{x}_1 . THEN $\tilde{\gamma}$ PROJECTS TO A LOOP γ IN X , REPRESENTING AN ELEMENT $g \in \pi_1(X, x_0)$. SET $H_1 = p_*(\pi_1(\tilde{X}, \tilde{x}_1))$. NOTE THAT IF $\tilde{\delta}$ IS A LOOP AT \tilde{x}_0 , $\tilde{\gamma}^{-1} \tilde{\delta} \tilde{\gamma}$ IS A LOOP AT \tilde{x}_1 , AND SO $g^{-1} H_0 g \subseteq H_1$. SIMILARLY, $g H_1 g^{-1} \subseteq H_0 \Rightarrow H_1 = g^{-1} H_0 g$. CONVERSELY, TO CHANGE H_0 TO $H_1 = g^{-1} H_0 g$, (CHOOSE A LOOP γ REPRESENTING g . LIFT THIS TO $\tilde{\gamma}$ STARTING AT \tilde{x}_0 AND LET $\tilde{x}_1 = \tilde{\gamma}(1)$. THEN $H_1 = p_*(\pi_1(\tilde{X}, \tilde{x}_1))$.)

Cor: A SIMPLY CONNECTED COVERING SPACE IS A COVER OF EVERY OTHER COVERING SPACE OF X . SUCH A SPACE IS CALLED THE **UNIVERSAL COVER** (IT IS UNIQUE UP TO ISOMORPHISM).

THE ACTION ON THE FIBER

LET $p: \tilde{X} \rightarrow X$ BE A COVERING SPACE. A PATH γ IN X HAS A UNIQUE LIFT $\tilde{\gamma}$ STARTING AT A GIVEN POINT IN $p^{-1}(\gamma(0))$. DEFINE $L_\gamma: p^{-1}(\gamma(0)) \rightarrow p^{-1}(\gamma(1))$ BY $L_\gamma(z) = \tilde{\gamma}(1)$ WITH $\tilde{\gamma}(0) = z$. THIS IS A BISECTION: L_γ^{-1} IS ITS INVERSE. FOR γ, η , WE HAVE $L_{\gamma \cdot \eta} = L_\eta \circ L_\gamma$. THIS REVERSE IS BAD, SO REPLACE L_γ BY ITS INVERSE $p^{-1}(\gamma(1)) \rightarrow p^{-1}(\gamma(0))$. THEN $L_{\gamma \cdot \eta} = L_\gamma \circ L_\eta$. THIS DOES ENDS ONLY ON HOMOTOPY CLASS + SO WE GET A HOMEOMORPHISM $\pi_1(X, x_0) \rightarrow \text{Perm}(p^{-1}(x_0), \{0\}) \rightarrow L_\gamma$. CALL THIS THE **ACTION OF $\pi_1(X, x_0)$ ON THE FIBER**.

We can recover $p: \tilde{X} \rightarrow X$ from this action as follows. Let $\tilde{x}_0 \rightarrow x_0$ be the universal cover constructed earlier. Let $F = p^{-1}(x_0)$ and define $h: \tilde{X}_0 \times F \rightarrow X$ by $h([\tilde{\gamma}], \tilde{x}_0) = \gamma(1)$, where $\tilde{\gamma}$ is a lift of γ starting at \tilde{x}_0 . h is continuous + even a local homeomorphism since a nbhd of $([\tilde{\gamma}], \tilde{x}_0)$ in $\tilde{X}_0 \times F$ consists of pairs $([\tilde{\gamma} \cdot \eta], \tilde{x}_0)$ with η a path in a small nbhd of $\tilde{\gamma}(1)$. h is surjective since X is path connected. h is almost certainly not injective. Suppose $h([\tilde{\gamma}], \tilde{x}_0) = h([\tilde{\delta}], \tilde{x}_0)$. Then $\gamma + \delta'$ are paths from x_0 to the same endpoint and $\tilde{x}_0 = \gamma' \cdot \gamma''(\tilde{x}_0)$. Let $\lambda = \gamma' \cdot \gamma''$, a loop in X . Then $h([\tilde{\gamma}], \tilde{x}_0) = h([\lambda \cdot \tilde{\gamma}], \tilde{x}_0)$. Conversely, for any loop λ we have $h([\tilde{\gamma}], \tilde{x}_0) = h([\lambda \cdot \tilde{\gamma}], \tilde{x}_0)$. So, h induces a map $\tilde{X}_0 \times F / \sim \rightarrow X$ where $([\tilde{\gamma}], \tilde{x}_0) \sim ([\lambda \cdot \tilde{\gamma}], \tilde{x}_0)$, $(\lambda) \in \pi_1(X, x_0)$. Call this quotient \tilde{X}_p where $p: \pi_1(X, x_0) \rightarrow \text{Perm}(F)$ is the action.

NOTE: \tilde{X}_p makes sense for any action ρ of $\pi_1(X, x_0)$ on a set $F: \tilde{X}_p \rightarrow X, ([\tilde{\gamma}], \tilde{x}_0) \rightarrow \delta(1)$ is a cover.

Now, $\tilde{X}_p \rightarrow \tilde{X}$ is a bijection + thus a homeomorphism since h is a local homeomorphism. Since it takes fibers to fibers, it is an isomorphism.

DECK TRANSFORMATIONS

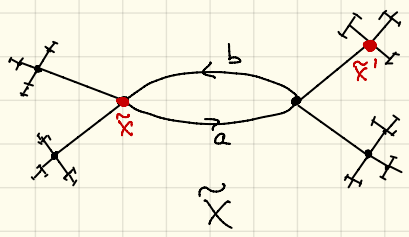
Let $p: \tilde{X} \rightarrow X$ be a covering and denote by $G(\tilde{X})$ the set of all isomorphisms $\tilde{X} \rightarrow \tilde{X}$. This is a group under composition, called the group of **DECK TRANSFORMATIONS**.

eg: $p: \mathbb{R} \rightarrow S^1, G(\mathbb{R}) \cong \mathbb{Z}$ since the isomorphisms are just translations $x \mapsto x+n, n \in \mathbb{Z}$.
 $p: S^1 \rightarrow S^1, z \mapsto z^n, G(S^1) \cong \mathbb{Z}_n$ (rotations of S^1 through angles $2\pi k/n$)

Note that by unique lifting, a deck transformation is completely determined by where it sends a single point, assuming \tilde{X} path connected.

DEF: A covering $p: \tilde{X} \rightarrow X$ is called **Normal** if for each $x \in X$ and each $\tilde{x}, \tilde{x}' \in p^{-1}(x)$, there is a deck transformation taking \tilde{x} to \tilde{x}' .

Non-Example:



$p: \tilde{X} \rightarrow S^1 \vee S^1$ takes all nodes in \tilde{X} to the wedge point. Note $\pi_1(\tilde{X}) \cong \mathbb{Z}$ and $p_*: \pi_1(\tilde{X}) \rightarrow \pi_1(S^1 \vee S^1)$ is $\{a\} \mapsto \{a, b\} \subset F_2$. There is no deck transformation taking \tilde{x} to \tilde{x}' since $g \cdot \{a\}$ would be a loop at \tilde{x}' , but there are no nontrivial ones.

Prop. Let $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be a nice covering and let $H = p_*(\pi_1(\tilde{X}, \tilde{x}_0)) \subseteq \pi_1(X, x_0)$ 27

1. The covering space is normal $\Leftrightarrow H \triangleleft \pi_1(X, x_0)$.

2. $G(\tilde{X})$ is isomorphic to $N(H)/H$, where $N(H)$ is the normalizer of H in $\pi_1(X, x_0)$.

In particular, $G(\tilde{X}) \cong \pi_1(X, x_0)/H$ if \tilde{X} is normal and for the universal cover $\tilde{X} \rightarrow X$ $G(\tilde{X}) \cong \pi_1(X, x_0)$.

Proof: Recall that changing basepoint $\tilde{x}_0 \in p^{-1}(x_0)$ to $\tilde{x}_1 \in p^{-1}(x_0)$ corresponds to conjugation of H by $\delta \in \pi_1(X, x_0)$ where δ lifts to a path $\tilde{\delta}$ from \tilde{x}_0 to \tilde{x}_1 . So, $[\delta] \in N(H) \Leftrightarrow p_*(\pi_1(\tilde{X}, \tilde{x}_0)) = p_*(\pi_1(\tilde{X}, \tilde{x}_1))$, which by the lifting criterion is equivalent to the existence of a deck transformation taking \tilde{x}_0 to \tilde{x}_1 . So the covering is normal $\Leftrightarrow N(H) = \pi_1(X, x_0)$.

Now define $\varphi: N(H) \rightarrow G(\tilde{X})$ by $\varphi([\delta]) = \tau$, where τ takes \tilde{x}_0 to \tilde{x}_1 . Then φ is a homomorphism: if $\delta' \mapsto \tau'$ taking \tilde{x}_0 to \tilde{x}_1' then $\delta \cdot \delta'$ lifts to $\tilde{\delta} \cdot (\tau' \cdot \tilde{\delta}')$, a path from \tilde{x}_0 to $\tau(\tilde{x}_1') = \tau \tau'(x_0) \rightarrow \tau \tau'$ corresponding to $(\tau \tau')(\tilde{x}_1')$. φ is surjective and its kernel consists of classes $[\gamma]$ lifting to loops in \tilde{X} , i.e. $\ker \varphi = H_{11}$.

More generally, we have the idea of a **group action**. Let G be a group and Y a space. An **action** of G on Y is a homomorphism $\rho: G \rightarrow \text{Homeo}(Y)$; write $g: Y \rightarrow Y$ for $\rho(g) \in \text{Homeo}(Y)$. Note: $g_1(g_2(y)) = g_1(g_2(y)) \forall g_1, g_2 \in G, y \in Y$. We usually assume ρ injective.

Useful Condition For Actions

Each $y \in Y$ has a nbhd U such that all images $g(U)$ for $g \in G$ are disjoint, i.e. $g_1(U) \cap g_2(U) = \emptyset \Rightarrow g_1 = g_2$.

eg $G(\tilde{X})$ acting on \tilde{X} : Suppose $\tilde{U} \subset \tilde{X}$ projects homeomorphically to X . If $g_1(\tilde{U}) \cap g_2(\tilde{U}) \neq \emptyset$, then $g_1(\tilde{x}_1) = g_2(\tilde{x}_2)$ for some $\tilde{x}_1, \tilde{x}_2 \in \tilde{U}$. But since \tilde{x}_1, \tilde{x}_2 lie in same $p^{-1}(x)$ and $p^{-1}(x) \cap \tilde{U}$ consists of a single point, $\tilde{x}_1 = \tilde{x}_2$. Then $g_1^{-1}g_2$ fixes this point and so $g_1 = g_2$.

Given an action, we can form the quotient space $Y/G: y \sim g(y), g \in G$. The points of Y/G are the **orbits** $Gy = \{gy \mid g \in G\}$.

eg: For a normal covering $\tilde{X} \rightarrow X$, $\tilde{X}/G(\tilde{X}) \cong X$.

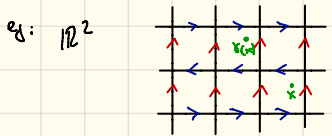
eg: \mathbb{Z}_2 acts on $S^n: x \mapsto -x$ $S^n/\mathbb{Z}_2 = \mathbb{RP}^n$ and this action satisfies the condition since if x is in the open upper hemisphere U , $g(U) \cap U = \emptyset$.

Prop. If G Acting on Y is Nice, Then

- $p: Y \rightarrow Y/G \quad y \mapsto G_y$ IS A Normal Covering Space.
- If Y IS PATH CONNECTED, THEN $G = G(Y)$.
- $G \cong \pi_1(Y/G) / p_*(\pi_1(Y))$ IF Y PATH CONNECTED + LOCALLY PATH CONNECTED.

Proof. 1. Let $U \subset Y$ BE AN OPEN SET SATISFYING THE CONDITION. THEN p IDENTIFIES ALL THE DISTANT HOMEOMORPHIC SETS $\{g(U) \mid g \in G\}$ TO A SINGLE OPEN SET $p(U) \subset Y/G$. BY DEFINITION OF THE QUOTIENT TOPOLOGY, p RESTRICTS TO A HOMEOMORPHISM FROM $g(U)$ TO $p(U)$ FOR EVERY $g \in G$. THUS, $p: Y \rightarrow Y/G$ IS A COVERING. EVERY $g \in G$ ACTS AS A DECK TRANSFORMATION + THE COVERING IS NORMAL SINCE $g \in G$ TAKES $g_1(U)$ TO $g_2(U)$. $G \leq G(Y)$ WITH EQUALITY IF Y IS PATH CONNECTED SINCE IF $f \in G(Y)$ THEN FOR ANY $y \in Y$, y AND $f(y)$ ARE IN THE SAME ORBIT AND THERE IS $g \in G$ WITH $g(y) = f(y) \Rightarrow f = g$ SINCE DECK TRANSFORMATIONS ARE UNIQUELY DETERMINED BY ACTION ON A SINGLE POINT. \square

eg: \mathbb{Z} ACTING ON $S^1 \quad x \mapsto -x$
 THIS IS A COVERING $S^1 \rightarrow S^1/\mathbb{Z} = \mathbb{R}P^1$ AND SINCE $\pi_1(S^1) = \mathbb{Z}$, WE HAVE
 $\pi_1(\mathbb{R}P^1) \cong \pi_1(S^1) / \pi_1(\mathbb{Z}) \cong \mathbb{Z}_2$.

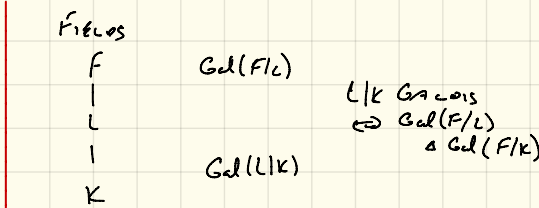
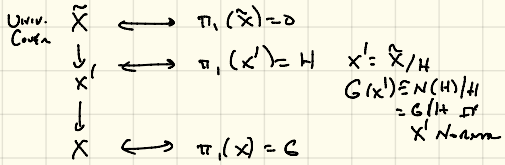


Let $G =$ SYMMETRY GROUP OF THIS GRID. G CONTAINS A COPY OF $\mathbb{Z} \times \mathbb{Z} : (x, y) \mapsto (x+m, y+n)$; CALL THIS SUBGROUP H . BUT THERE'S MORE: γ IS THE GLIDE REFLECTION: TRANSLATE UP 1 UNIT + REFLECT ACROSS VERTICAL LINE.

ONLY THE IDENTITY TAKES A SQUARE TO ITSELF SO THIS ACTION IS NICE. NOTE THE FOLLOWING:

- \mathbb{R}^2/G IS THE KLEIN BOTTLE
- H HAS INDEX 2 IN G + SO H.O.G. $\mathbb{R}^2/H = T$ AND $\mathbb{R}^2/H \rightarrow \mathbb{R}^2/G$ IS A 2:1 COVER
 $T \rightarrow K$

GALOIS CORRESPONDENCE



DEF: A GRAPH IS A 1-DIMENSIONAL CW-COMPLEX. A TREE IS A CONTRACTIBLE GRAPH.

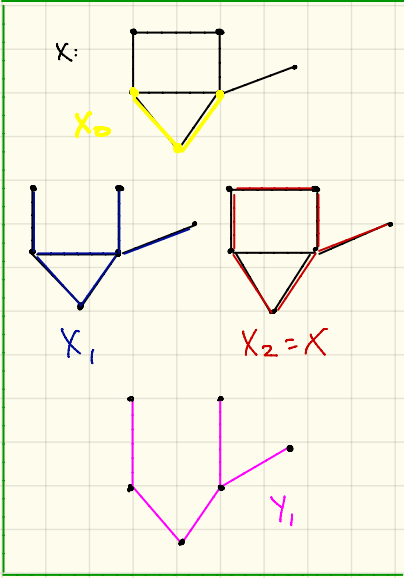
PROP: EVERY CONNECTED GRAPH X CONTAINS A MAXIMAL TREE (A TREE CONTAINING ALL VERTICES OF X). IN FACT, EVERY TREE IS CONTAINED IN A MAXIMAL TREE.

PROOF: ACTUALLY PROVE THE FOLLOWING: LET $X_0 \subset X$ BE AN ARBITRARY SUBGRAPH. WE WILL CONSTRUCT A SUBGRAPH $Y \subset X$ CONTAINING ALL VERTICES OF X SUCH THAT X_0 IS A DEFORMATION RETRACTION OF Y . TAKING $X = \{x_0\}$ YIELDS THE RESULT.

FIRST CONSTRUCT $X_0 \subset X_1 \subset \dots$ BY LETTING X_{i+1} BE OBTAINED FROM X_i BY ATTACHING CLOSED \bar{e}_α OF ALL EDGES $e_\alpha \in X - X_i$ HAVING AT LEAST ONE ENDPNT IN X_i .

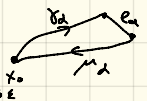
NOTE THAT $U X_i$ IS OPEN IN X SINCE A NBHD OF A POINT IN X_i IS CONTAINED IN X_{i+1} . ALSO $U X_i$ IS CLOSED SINCE IT IS A UNION OF CLOSED EDGES AND X HAS THE WEAK TOPOLOGY. SINCE X IS CONNECTED, $U X_i = X$.

NOW SET $Y_0 = X_0$. ASSUME $Y_i \subset X_i$ HAS BEEN CONSTRUCTED TO CONTAIN ALL VERTICES IN X_i . LET Y_{i+1} BE OBTAINED FROM Y_i BY ADJOINING ONE EDGE CONNECTING EACH VERTICE OF $X_{i+1} - X_i$ TO Y_i . LET $Y = U Y_i$. THEN Y_i RETRACTS TO Y_i . DOING THIS RETRACTION OVER $[\frac{1}{2^i}, \frac{1}{2^{i+1}}]$ YIELDS A RETRACTION $Y \rightarrow X_0$.



PROP: LET X BE A CONNECTED GRAPH AND LET T BE A MAXIMAL TREE. THEN $\pi_1(X)$ IS A FREE GROUP WITH BASIS $\{f_\alpha\}$ CORRESPONDING TO EDGES e_α IN $X - T$.

PROOF: FIX $x_0 \in T$. EACH e_α DETERMINES A LOOP IN X BY CHOOSING A PATH γ_α FROM x_0 TO ONE END OF e_α , THEN ALONG e_α , THEN BACK TO x_0 ALONG A PATH μ_α (γ_α AND μ_α LIE IN T). LET $f_\alpha = \gamma_\alpha \cdot e_\alpha \cdot \mu_\alpha$. SINCE T IS SIMPLY CONNECTED, $\{f_\alpha\}$ DEPENDS ONLY ON e_α . THE QUOTIENT MAP $X \rightarrow X/T$ IS A HOMOTOPY EQUIVALENCE SINCE $T \cong \{x_0\}$. BUT X/T IS A GRAPH WITH ONE VERTEX; THAT IS, X/T IS A WEDGE OF CIRCLES AND $\pi_1(X/T)$ IS A FREE GROUP WITH BASIS GIVEN BY THE IMAGES OF $\{f_\alpha\}$.



Prop. Every covering space of a graph is a graph.

Proof: Let $p: \tilde{X} \rightarrow X$ be a cover. For the vertices of \tilde{X} we take $\tilde{X}^0 = p^{-1}(X^0)$. Note that X is a quotient $X = X^0 \amalg_{\alpha} I_{\alpha}$ with each I_{α} corresponding to an edge in X . Applying path lifting to each $I_{\alpha} \rightarrow X$ we get a unique lift $I_{\alpha} \rightarrow \tilde{X}$ passing through each point in $p^{-1}(x)$ for $x \in I_{\alpha}$. These lifts define the edges in \tilde{X} . Since $\tilde{X} \rightarrow X$ is a local homeomorphism, the resulting topology on \tilde{X} is the same as its original topology.

Thm: Every subgroup of a free group is free.

Proof: Given a free group F , choose a graph X with $\pi_1 X \cong F$. If $G \leq F$ is a subgroup, there is a covering space $p: \tilde{X} \rightarrow X$ with $p_*(\pi_1(\tilde{X})) = G \Rightarrow \pi_1(\tilde{X}) \cong G$. Since \tilde{X} is a graph, $\pi_1(\tilde{X})$ is free $\Rightarrow G$ is free.

Note: This is a purely algebraic result proven via topology!