Operators Cauchy Dual to 2-hyperexpansive Operators: The Multivariable Case

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Raúl Curto, SEAM XXVII Cauchy Duals of 2-hyperexpansive Operators

We introduce an abstract framework to study generating *m*-tuples, and use it to analyze hypercontractivity and hyperexpansivity in several variables. These two notions encompass (joint) hyponormality and subnormality, as well as toral and spherical isometric-ness; for instance, the Drury-Arveson 2-shift is a spherical complete hyperexpansion. Our approach produces a unified theory that simultaneously covers toral and spherical hypercontractions (and hyperexpansions). As a byproduct, we arrive at a dilation theory for completely hypercontractive and completely hyperexpansive generating tuples. We study in detail the Cauchy duals of toral and spherical 2-hyperexpansive tuples.

- The 1-variable Case
- Generating *m*-tuples: Abstract Framework
- Hypercontractive Generating Tuples
- Hyperexpansive Generating Tuples
- Toral Cauchy Dual Tuples
- Spherical Cauchy Dual Tuples

# The 1-variable Case

(Chavan, 2007)

• Assume T is 2-hyperexpansive, i.e.,

$$I - T^*T \le 0$$
 and  $I - 2T^*T + T^{*2}T^2 \le 0$ ,

and let  $T' := T(T^*T)^{-1}$  be the Cauchy dual. Then T' is hyponormal.

- Structure of the *C*\*-algebra generated by a 2-hyperexpansion.
- Berger-Shaw for 2-hyperexpansions.
- Every analytic (i.e., ∩<sub>n≥0</sub> T<sup>n</sup>H = 0) 2-hyperexpansive operator with finite-dimensional cokernel is unitarily equivalent to U<sub>+</sub> + K.

# A Key Analogy

subnormal	$\longleftrightarrow$	completely hyperexpansive
hyponormal	$\longleftrightarrow$	2-hyperexpansive

J. Agler, W. Arveson, A. Athavale, S. Chavan, G. Exner, J. Gleason, Z. Jablonski, I.B. Jung, G. Popescu, A. Ranjekar, S. Richter, S. Shimorin, V. Sholapurkar, A. Soltysiak, M. Stankus, J. Stochel, F.-H. Vasilescu

S subnormal,  $||S|| \le 1 \iff B_n(S) := \sum_{p=0}^n (-1)^p \binom{n}{p} S^{*p} S^p \ge 0$ (all  $n \ge 0$ )

 $\iff$  S is completely hypercontractive

T hyponormal if  $T^*T - TT^* \ge 0$ 

*T* is expansive if  $I - T^*T \le 0$  *T* is *m*-hyperexpansive if  $B_n(T) \le 0$  (all  $n, 1 \le n \le m$ ) *T* is completely hyperexpansive if  $B_n(T) \le 0$  (all  $n \ge 1$ ) *T* is *m*-isometric if  $B_m(T) = 0$ 

Observe: T expansive  $\implies$  T left invertible

Assume that *T* is left invertible. Then T = VP, with  $P \ge 0$  and *P* invertible.

(Cauchy dual)  $T' := T(T^*T)^{-1}$ . Observe that  $T' = VP^{-1}$ .

 $T^{\prime *}T^{\prime} = (T^*T)^{-1}$ 

Then T' is left invertible, and (T')' = T. Moreover,  $C^*(T) = C^*(T')$ . (Observe that  $V, P \in C^*(T)$ .) If T is a unilateral weighted shift,  $T : \{\alpha_n\}$ , then

$$T^*T = diag(\alpha_n^2)$$
  
 $(T^*T)^{-1} = diag(\frac{1}{\alpha_n^2})$ 

so T': { $\frac{1}{\alpha_n}$ }. Shimorin (2001) studied Cauchy duals:

T 2-hyperexpansive  $\implies$  T' 2-hypercontractive

Athavale (1996): T unilateral weighted shift,  $\beta_n$  moments.

Then *T* is completely hyperexpansive if and only if  $S_t : \{\sqrt{\frac{t(\beta_n-1)+1}{t(\beta_{n+1}-1)+1}}\}$  is a contractive subnormal weighted shift for all t > 0. (1-parameter family of subnormal shifts.) In general,

If T is expansive, then T' is a contraction.

If T is a completely hyperexpansive weighted shift, then T' is a subnormal weighted shift.

## Theorem (Chavan, 2007)

Let **T** be 2-hyperexpansive. Then T' is hyponormal.

#### Example

Consider the weighted Bergman space on  $\mathbb{D}$ ,  $L^2_a(\mathbb{D}, w)$ , where log *w* is subharmonic. Then  $M_z$  is left invertible and  $M'_z$  is 2-hyperexpansive. (And therefore  $M_z$  is hyponormal.)

# Generating *m*-tuples: Abstract Framework

 $\ensuremath{\mathbb{N}}$  : non-negative integers

 $\mathbb{N}^m$ : cartesian product  $\mathbb{N} \times \cdots \times \mathbb{N}$  (*m* times).

For  $p \equiv (p_1, \cdots, p_m)$  and  $n \equiv (n_1, \cdots, n_m)$  in  $\mathbb{N}^m$ , we write

$$|p| := \sum_{i=1}^m p_i$$

and

$$p \leq n$$
 if  $p_i \leq n_i$  for  $i = 1, \cdots, m$ .

For  $p \leq n$ , we let

$$\binom{n}{p} := \prod_{i=1}^m \binom{n_i}{p_i}.$$

 $\mathcal{H}$ : Hilbert space

 $B(\mathcal{H})$ : the C\*-algebra of bounded linear operators on  $\mathcal{H}$ 

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For  $T \equiv (T_1, \dots, T_m)$  a tuple of commuting bounded linear operators acting on  $\mathcal{H}$  (or on  $B(\mathcal{H})$ ), we let  $T^* := (T_1^*, \dots, T_m^*)$ and  $T^p := T_1^{p_1} \cdots T_m^{p_m}$ .

#### Definition

Let  $Q \equiv (Q_1, \dots, Q_m)$  be a commuting *m*-tuple of positive, bounded, linear operators acting on  $B(\mathcal{H})$ . We refer to Q as a *generating m-tuple* on  $\mathcal{H}$ .

## Example

*Toral* Generating *m*-tuple:  $Q_t := (Q_1, \dots, Q_m)$ , where

$$Q_i(X) := T_i^* X T_i \quad (X \in B(\mathcal{H})).$$

Spherical Generating 1-tuple:

$$\mathsf{Q}_{s}(X) := \sum_{i=1}^{m} T_{i}^{*}XT_{i} \quad (X \in \mathcal{B}(\mathcal{H})).$$

 $\{Q^n(I)\}_{n\in\mathbb{N}^m}$  := multisequence associated with Q.

For  $Q_t : \{T^{*n}T^n\}_{n \in \mathbb{N}^m} = \{(y_1x_1, \cdots, y_mx_m)^n(T^*, T)\}_{n \in \mathbb{N}^m}$ 

For 
$$Q_s$$
:  $\left\{\sum_{p\in\mathbb{N}^m, |p|=n}\frac{n!}{p!}T^{*p}T^p\right\}_{n\in\mathbb{N}} = \left\{\left(\sum_{i=1}^m y_i x_i\right)^n(T^*, T)\right\}_{n\in\mathbb{N}}$ 

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Cauchy Duals of 2-hyperexpansive Operators

### Why generating *m*-tuples?

- Unified treatment of operator tuples satisfying certain "toral" and "spherical" inequalities.
- Framework allows one to look at the operator tuples
   "related" to different domains in C<sup>m</sup>, for example, operator
   2-tuples (T<sub>1</sub>, T<sub>2</sub>) related to the Reinhardt domain

$$\left\{(z,w)\in\mathbb{C}^2:|z|^2+|w|^4<1
ight\},$$

where the generating 1-tuple associated with  $(T_1, T_2)$  is given by

$$Q(X) := T_1^* X T_1 + T_2^{*2} X T_2^2 \quad (X \in B(\mathcal{H})).$$

## Example

For a 4-tuple  $(T_1, T_2, T_3, T_4)$ , consider

 $Q_1(X) := T_1^* X T_1 + T_2^* X T_2, \ Q_2(X) := T_3^* X T_3 + T_4^* X T_4 \quad (X \in B(\mathcal{H})).$ 

 $(Q_1, Q_2)$  is related to the bi-ball

$$\left\{ \left( z_1, z_2, w_1, w_2 \right) \in \mathbb{C}^4 : |z_1|^2 + |z_2|^2 < 1, |w_1|^2 + |w_2|^2 < 1 \right\}.$$

- We believe that the theory of generating tuples provides, to a certain extent, a coordinate-free approach to multivariable operator theory.
- Gleason-Richter consider operator tuples satisfying certain
   "spherical" equalities; for instance, spherical generating
   tuples Q<sub>s</sub> satisfying B<sub>n</sub>(Q<sub>s</sub>) = 0, where (in general)

$$B_n(\mathsf{Q}) := \sum_{p \in \mathbb{N}^m, 0 \le p \le n} (-1)^{|p|} \binom{n}{p} \mathsf{Q}^p(I),$$

Generating tuples admit a *polynomial functional calculus*.
 For a finite set {*c<sub>α</sub>*} ⊆ C and a generating *m*-tuple *R*, let

$$p(R) := \sum_{\alpha} c_{\alpha} R^{\alpha},$$

where  $p(z) \equiv \sum_{\alpha} c_{\alpha} z^{\alpha}$ . The polynomial functional calculus for *R* is given by  $\Phi : p \mapsto p(R)(I)$ . We can actually show that *R* actually admits a *continuous functional calculus*, under some additional positivity hypotheses.

# Hypercontractive Generating Tuples

Recall that

$$B_n(Q) := \sum_{p \in \mathbb{N}^m, 0 \le p \le n} (-1)^{|p|} \binom{n}{p} Q^p(I),$$

where  $Q^0(I) := I$ .

- (a) Q is *contractive* if  $B_n(Q) \ge 0$  for all  $n \in \mathbb{N}^m$  with |n| = 1;
- (b) Q is completely hypercontractive if

 $B_n(Q) \ge 0$  for all  $n \in \mathbb{N}^m$ .

## Remark

*Q* on  $\mathcal{H}$  is completely hypercontractive if and only if for every  $h \in \mathcal{H}$  there is a positive Radon measure  $\mu_h$  on  $[0, 1]^m$  such that

$$(Q^n(I)h,h) = \int_{[0,1]^m} t^n d\mu_h(t) \quad (n \in \mathbb{N}^m).$$

It follows that  $\mu_h$  is a finite Borel measure.

Recall: a *semispectral measure* is a positive operator-valued measure defined on a Borel  $\sigma$ -algebra, which is countably additive in the weak operator topology.

#### Lemma

Q on  $\mathcal{H}$  is completely hypercontractive if and only if there exists a unique semispectral measure E on  $[0, 1]^m$  such that

$$\mathsf{Q}^n(\mathit{I}) = \int_{[0,1]^m} t^n d\mathsf{E}(t) \ ( ext{for all } n \in \mathbb{N}^m).$$

In this case, there exist a Hilbert space  $\mathcal{K}$ , an operator  $R \in B(\mathcal{H}, \mathcal{K})$ , and a commuting m-tuple A of positive contractions on  $\mathcal{K}$  such that

$$Q^n(I) = R^* A^n R$$
 (for all  $n \in \mathbb{N}^m$ ).

# Motivation for Structure Theorem

## Proposition

(Toral Case) S subnormal m-tuple on  $\mathcal{H}$  with a normal extension N;  $Q_t$ ,  $P_t$  the toral generating m-tuples associated with S and N, respectively. Then:

(i) For all  $n \in \mathbb{N}$ , we have

$$Q_t^n(I)h = P_{\mathcal{H}}P_t^n(I)h \quad (h \in \mathcal{H}).$$

(ii) If the Taylor joint spectrum  $\sigma(N)$  of N is contained in the closed unit polydisc  $\overline{\mathbb{D}}^m$  in  $\mathbb{C}^m$ , then  $Q_t$  is completely hypercontractive.

## Proposition

(Spherical Case) S subnormal m-tuple on  $\mathcal{H}$  with a normal extension N. Let  $Q_s$ ,  $P_s$  denote the spherical generating 1-tuples associated with S and N respectively. Then: (i) For all  $n \in \mathbb{N}$ , one has

 $Q_{s}^{n}(I)h = P_{\mathcal{H}}P_{s}^{n}(I)h \quad (h \in \mathcal{H}).$ 

(ii) If the Taylor joint spectrum  $\sigma(N)$  of N is contained in the closed unit ball  $\overline{\mathbb{B}_1^m}$  in  $\mathbb{C}^m$ , then  $Q_s$  is completely hypercontractive.

#### Definition

 $Q \equiv (Q_1, \dots, Q_m)$  on  $\mathcal{H}$  is *multiplicative* if  $Q^n(I) = Q(I)^n$  for every  $n \in \mathbb{N}^m$ , where

$$\mathsf{Q}(I) := (\mathsf{Q}_1(I), \cdots, \mathsf{Q}_m(I)).$$

Given two generating tuples *P* and *Q* on  $\mathcal{K}$  and  $\mathcal{H}$  respectively, we say that *P* is a *multiplicative dilation* of *Q* if *P* is multiplicative,  $\mathcal{H} \subseteq \mathcal{K}$ , and

 $Q^n(I)h = P_{\mathcal{H}}P^n(I)h \quad (n \in \mathbb{N}^m, h \in \mathcal{H}).$ 

A multiplicative dilation P of Q is said to be minimal if

$$\mathcal{K} = \bigvee \{ \mathcal{P}^n(I)h : n \in \mathbb{N}^m, h \in \mathcal{H} \}.$$

Every completely hypercontractive generating m-tuple Q on  $\mathcal{H}$ admits a minimal multiplicative dilation P on  $\mathcal{K}$ . Moreover, the semispectral measure E occurring in the representation of Q is related to the spectral measure F of  $P(I) := (P_1(I), \dots, P_m(I))$ by

 $E(\sigma) = P_{\mathcal{H}}F(\sigma)|_{\mathcal{H}}$  (for  $\sigma$  a Borel subset of  $[0, 1]^m$ ).

Furthermore, if P and S acting on  $\mathcal{K}_1$  and  $\mathcal{K}_2$ , respectively, are two minimal multiplicative dilations of Q then there exists a Hilbert space isomorphism  $U : \mathcal{K}_1 \longrightarrow \mathcal{K}_2$  such that  $Uh = h \ (h \in \mathcal{H})$  and

 $UP^n(I) = S^n(I)U \ (n \in \mathbb{N}^m).$ 

Let  $\mathcal{S}$  be the operator system

$$\mathcal{S} := \{ \boldsymbol{p} \in \boldsymbol{C}([0,1]^m) : \boldsymbol{p} \in \mathbb{C}[t_1,\cdots,t_m] \},\$$

Given  $p \in S$ , write  $p(z) \equiv \sum_{k \in \mathbb{N}^m, |k| \le n} \alpha_k t^k$  and consider the mapping  $\phi : S \longrightarrow B(\mathcal{H})$ 

$$\phi(\boldsymbol{p}) \equiv \phi\left(\sum_{k \in \mathbb{N}^m, |k| \le n} \alpha_k t^k\right) := \sum_{k \in \mathbb{N}^m, |k| \le n} \alpha_k \mathbf{Q}^k(I) \ (n \in \mathbb{N}, \alpha_k \in \mathbb{C}).$$

We then have

$$(\phi(\boldsymbol{p})\boldsymbol{h},\boldsymbol{h}) = \int_{[0,1]^m} \boldsymbol{p}(t) d\mu_{\boldsymbol{h}}(t)$$

for every  $p \in S$  and every  $h \in H$ , where  $\mu_h$  is a positive Borel measure given by

$$\mu_h(\sigma) = (E(\sigma)h, h) \ (\sigma \text{ a Borel subset of } [0, 1]^m)$$

with *E* the semispectral measure considered before. Thus  $\phi$  is positive on  $\mathbb{C}[t_1, \dots, t_m]$ . By the Stone-Weierstrass Theorem we may extend  $\phi$  to a positive map  $\tilde{\phi}$  on the whole of  $C([0, 1]^m)$ . But any positive map from  $C([0, 1]^m)$  into a  $C^*$ -algebra is completely positive, so we can apply Stinespring's Dilation Theorem.

## Corollary

Let Q be a generating m-tuple on  $\mathcal{H}$ . TFAE:

(i) Q is completely hypercontractive.

(ii) Q admits a minimal multiplicative and contractive dilation P. In this case, one has

 $\|f(Q)(I)\| \le \sup\{|f(t)| : t \in [0,1]^m\} \text{ (for every } f \in C([0,1]^m)).$ 

## Corollary

A completely hypercontractive generating m-tuple Q on  $\mathcal{H}$  satisfies

$$\mathsf{Q}_i^2(I) \geq \mathsf{Q}_i(I)^2 \quad (i=1,\cdots,m).$$

## Corollary (Spectral Result)

Let S be a commuting m-tuple of operators on  ${\mathcal H}$  such that  ${\mathsf Q}_s$ 

is completely hypercontractive. Then

 $\sigma_{\mathcal{T}}(\mathcal{S})\subseteq \overline{\mathbb{B}_r^m},$ 

where  $r := \sqrt{\|P(I)\|} \le 1$  and P is the minimal multiplicative dilation.

Proof uses

Lemma (V. Müller and A. Soltysiak)

Let T be a commuting m-tuple of bounded linear operators on a Hilbert space. Then

$$\sup_{(z_1,\cdots,z_n)\in\sigma(T)} \left( |z_1|^2 + \cdots + |z_n|^2 \right)^{\frac{1}{2}} = \lim_{n\to\infty} \|Q_s^n(I)\|^{\frac{1}{2n}},$$

## Proposition

Let p > 0. If Q is completely hypercontractive and p-isometric,

then Q is isometric.

For  $m \ge 1$ , let  $\{e_n\}_{n \in \mathbb{N}^m}$  be an orthonormal basis for  $\mathcal{H}$ , and let  $\left\{w_n^{(i)} : 1 \le i \le m, n \in \mathbb{N}^m\right\}$  be a bounded subset of the positive real line. An *m*-variable weighted shift

$$T \equiv (T_1, \cdots, T_m) : \{W_n^{(\prime)}\}$$

$$\mathsf{T}_i \mathbf{e}_n := w_n^{(i)} \mathbf{e}_{n+\epsilon(i)} \ (1 \le i \le m),$$

where  $\epsilon(i)$  is the *m*-tuple with 1 in the *i*-th entry and zeros elsewhere.

## Example

 $m, a > 0, m \ge a, \mathcal{H}_{a,m}$ : reproducing kernel Hilbert space of analytic functions on  $\mathbb{B}_1^m$  with kernel

$$rac{1}{(1-\langle z, w 
angle)^a}$$

where  $(z, w) := \sum_{i=1}^{m} z_i \overline{w}_i$   $(z, w \in \mathbb{B}_1^m)$ . Then  $M_{z,a}$  acting on  $\mathcal{H}_{a,m}$  is a spherical (m - a + 1)-isometry.  $M_{z,a}$  is also the multivariable weighted shift with weights

$$\left\{\sqrt{\frac{n_i+1}{|n|+a}}: 1 \le i \le m, \ n \in \mathbb{N}^m\right\}.$$

If  $a \neq m$ , then  $M_{z,a}$  is not a spherical isometry, and therefore  $M_{z,a}$  is not completely hypercontractive, and a fortiori not subnormal.

### Remark

If we choose a = 1 and m = 2, that is, the space is  $\mathcal{H}_{1,2}$ , we obtain the Drury-Arveson space. By the preceding example, the Drury-Arveson *m*-shift  $M_{z,1}$  is not subnormal. Of course, one can also use the Six-Point Test to prove that the Drury-Arveson 2-shift is not even jointly hyponormal. Or even the fact that the Lebesgue measure on [0, 1] is not absolutely continuous w.r.t.  $\delta_1$ .

# Weight Diagram of the Drury-Arveson Shift



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# Hyperexpansive Generating Tuples

Recall that

$$B_n(Q) := \sum_{p \in \mathbb{N}^m, 0 \le p \le n} (-1)^{|p|} \binom{n}{p} Q^p(I).$$

A generating tuple Q is

p-expansive if

$$B_n(\mathsf{Q}) \leq 0$$
 for all  $n \in \mathbb{N}^m \setminus \{0\}$  with  $|n| = p$ .

p-hyperexpansive if

 $B_n(\mathsf{Q}) \leq 0$  for all  $n \in \mathbb{N}^m \setminus \{0\}$  with  $|n| \leq p$ .

completely hyperexpansive if

$$B_n(Q) \leq 0$$
 for all  $n \in \mathbb{N}^m \setminus \{0\}$ .

*T* is a *toral* (resp. spherical) complete hyperexpansion if the toral generating *m*-tuple (resp. the spherical generating 1-tuple) associated with *T* is completely hyperexpansive. Similarly for *p*-expansions, *p*-hyperexpansions, *p*-isometries.

The Drury-Arveson 2-shift  $M_{z,1}$  acting on the Hilbert space  $\mathcal{H}_{1,2}$  is spherically 2-isometric. By the next result,  $M_{z,1}$  is a spherical complete hyperexpansion.

## Proposition

Let Q be a 2-expansive generating m-tuple on  $\mathcal{H}$ . Then:

(i) Q is 2-hyperexpansive.

(ii) For each i and  $0 \neq h \in \mathcal{H}$ , the sequence

$$\left\{\frac{\left\|\sqrt{\mathsf{Q}_{i}^{n+1}(I)}h\right\|}{\left\|\sqrt{\mathsf{Q}_{i}^{n}(I)}h\right\|}\right\}_{n\in\mathbb{N}}\searrow 1.$$

(iii) Q is 2-isometric if and only if

$$Q^n(I) = I + \sum_{i=1}^m n_i(Q_i(I) - I) \ (n \in \mathbb{N}^m).$$

In this case, Q is completely hyperexpansive. (iv)  $Q_i^2(I) \le Q_i(I)^2 \ (1 \le i \le m).$ 

## Corollary (Spectral Result)

Let Q be a 2-hyperexpansive generating 1-tuple. Then

$$\lim_{n\to\infty}\|\mathbf{Q}^n(I)\|^{\frac{1}{n}}=1.$$

In particular, the Taylor spectrum of any spherical

2-hyperexpansion is contained in the closed unit ball.

Given a generating 1-tuple Q on  $\mathcal{H}$ , we can associate a unilateral weighted shift by

$$W_{\mathsf{Q},h} \mathbf{e}_n := rac{\left\|\sqrt{\mathsf{Q}^{n+1}(I)}h
ight\|}{\left\|\sqrt{\mathsf{Q}^n(I)}h
ight\|} \mathbf{e}_{n+1} \ (n \in \mathbb{N}).$$

It is easy to see that *Q* is completely hyperexpansive (resp. 2-hyperexpansive, resp. 2-isometric) if and only if so is  $W_{Q,h}$ , for each  $h \in \mathcal{H}$ .

If Q is 2-hyperexpansive,  $W_{Q,h}$  is a compact perturbation of the unilateral shift, and

$$\sum_{k=1}^{\infty} \left| \frac{\left\| \sqrt{\mathsf{Q}_{\mathsf{s}}^{k+1}(I)} h \right\|^2}{\left\| \sqrt{\mathsf{Q}_{\mathsf{s}}^{k}(I)} h \right\|^2} - \frac{\left\| \sqrt{\mathsf{Q}_{\mathsf{s}}^{k}(I)} h \right\|^2}{\left\| \sqrt{\mathsf{Q}_{\mathsf{s}}^{k-1}(I)} h \right\|^2} \right| < \infty.$$

If  $Q_s$  is the spherical generating 1-tuple associated with the Drury-Arveson 2-shift, then the associated unilateral weighted shift  $W_{Q_s,f_0}$  is nothing but the classical *Dirichlet* shift!

### Lemma (Lévy-Khinchin Representation)

A generating m-tuple Q on  $\mathcal{H}$  is completely hyperexpansive if and only if there exist semispectral measures  $E_1, \dots, E_m$  on  $[0, 1]^m$  such that

$$Q^{n}(I) = I + \sum_{i=1}^{m} n_{i} E_{i}(\{1\}) + \int_{[0, 1]^{m} \setminus \{1\}} (1 - t^{n}) \frac{d(E_{1} + \dots + E_{m})(t)}{m - t_{1} - \dots - t_{m}} \quad (n \in \mathbb{N}^{m}).$$

This result leads to a Structure Theorem.

# **Toral Cauchy Dual Tuples**

Recall that  $T \in B(\mathcal{H})$  is left invertible if and only if  $T^*T$  is invertible.

## Definition

Let  $T \equiv (T_1, \dots, T_m)$ , with each  $T_i$  left invertible, and let  $Q_t \equiv (Q_1, \dots, Q_m)$  denote the toral generating *m*-tuple associated with *T*. The toral Cauchy dual of *T* is  $T^t := (T_1^t, \dots, T_m^t)$ , where  $T_i^t := T_i(Q_i(I))^{-1}$   $(i = 1, \dots, m)$ .

### Example

If  $D_{\lambda} : \left\{\sqrt{\frac{\lambda+|n|}{1+|n|}}\right\}$  then  $D_{\lambda}^{t} : \left\{\sqrt{\frac{1+|n|}{\lambda+|n|}}\right\}$ . For  $1 \le \lambda \le 2$ ,  $D_{\lambda} : \left\{\sqrt{\frac{\lambda+|n|}{1+|n|}}\right\}$  is a toral complete hyperexpansion, so  $D_{\lambda}^{t} : \left\{\sqrt{\frac{1+|n|}{\lambda+|n|}}\right\}$  is a torally contractive subnormal tuple.

#### Theorem

Let T be a toral 2-hyperexpansive m-tuple of commuting bounded linear operators on  $\mathcal{H}$  and let T<sup>t</sup> denote the operator tuple torally Cauchy dual to T. Then for  $1 \le i, j \le m$ , one has

 $2(T_i^{t})^*((T_j^{t})^*T_j^{t})^{-1}T_i^{t} \le I + (T_i^{t})^*T_i^{t}((T_j^{t})^*T_j^{t})^{-2}(T_i^{t})^*T_i^{t}.$ 

In particular, the operator tuple T<sup>t</sup> torally Cauchy dual to T consists of hyponormal contractions.

**Conjecture**: If T is 2-hyperexpansive, then  $T^{t}$  is (jointly) hyponormal.

### Corollary

Let *T* be a torally 2-hyperexpansive commuting *m*-variable weighted shift on  $\mathcal{H}$ . Let  $C^*(T)$  denote the  $C^*$ -algebra generated by *T* and let  $J_T$  denote the commutator ideal of  $C^*(T)$ . Then there exists an isometric \*-isomorphism  $\phi : C^*(T)/J_T \longrightarrow C(\mathbb{T}^m)$  such that  $\phi(T_i + J_T) = z_i$ . Recall: *T* is *jointly left-invertible* if there exists k > 0 such that

$$T_1^*T_1+\cdots+T_m^*T_m\geq kI.$$

## Definition

Let *T* be a jointly left-invertible *m*-tuple, and let  $Q_s$  be the spherical generating tuple associated with *T*. The spherical Cauchy dual of *T* is  $T^s := (T_1^s, \dots, T_m^s)$ , where  $T_i^s := T_i(Q_s(I))^{-1}$   $(i = 1, \dots, m)$ .

Although the theories of hypercontractions and hyperexpansions are not mutually dual, they can still be developed in tandem, and results in one can be generally adapted to results in the other.

Cauchy duality (toral, spherical, etc) can lead to a better understanding of both theories, and can also produce spectral results for multiplication operators on functional Hilbert spaces over Reinhardt domains. Framework allows one to look at the operator tuples "related" to different domains in  $\mathbb{C}^m$ , for example, operator 2-tuples ( $T_1, T_2$ ) related to the Reinhardt domain

$$\left\{ (z,w) \in \mathbb{C}^2 : |z|^2 + |w|^4 < 1 \right\},$$

where the gener. 1-tuple associated with  $(T_1, T_2)$  is given by

$$Q(X) := T_1^* X T_1 + T_2^{*2} X T_2^2 \quad (X \in B(\mathcal{H})).$$

#### Example

For a 4-tuple  $(T_1, T_2, T_3, T_4)$ , consider

 $Q_1(X) := T_1^* X T_1 + T_2^* X T_2, \ Q_2(X) := T_3^* X T_3 + T_4^* X T_4 \quad (X \in \mathcal{B}(\mathcal{H})).$ 

 $(Q_1, Q_2)$  is related to the bi-ball

$$\left\{(z_1, z_2, w_1, w_2) \in \mathbb{C}^4 : |z_1|^2 + |z_2|^2 < 1, |w_1|^2 + |w_2|^2 < 1\right\}.$$