

The Divisor Matrix, Dirichlet Series and $SL(2, \mathbf{Z})$

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Overview

Introduction and Orientation

The divisor matrix

Ordered factorizations

Jordan form of D

Inverse of Z

- ▶ $\mathcal{A} :=$ the ring of matrices $A = (a_{i,j})_{i,j \in \mathbf{N}}$, with rational entries, such that each column has only finitely many nonzero entries.
- ▶ \mathcal{A} operates by left multiplication on the space E of finitely supported column vectors.
- ▶ \mathcal{A} operates by right multiplication on $\mathbf{Q}^{\mathbf{N}} \cong E^*$, the space of sequences of rational numbers.



$$(fA)(n) = \sum_{m \in \mathbf{N}} a_{m,n} f(m), \quad f \in \mathbf{Q}^{\mathbf{N}}, A \in \mathcal{A}.$$

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Dirichlet Space and Dirichlet Ring



$$\mathcal{DS} := \{f \in \mathbf{Q}^{\mathbf{N}} \mid (\exists C, c > 0)(\forall n)(|f(n)| \leq Cn^c)\}$$

- ▶ $f \in \mathcal{DS}$ if and only if $\sum_n f(n)n^{-s}$ converges for some complex number s .
- ▶ $\mathcal{DR} :=$ the subring of \mathcal{A} consisting of all elements which leave \mathcal{DS} invariant.

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The divisor matrix $D = (d_{i,j})_{i,j \in \mathbf{N}}$ defined by

$$d_{i,j} = \begin{cases} 1, & \text{if } i \text{ divides } j, \\ 0 & \text{otherwise.} \end{cases}$$

$$G := \mathrm{SL}(2, \mathbf{Z}) = \langle S, R \mid S^4, R^6, S^2 = R^3 \rangle$$

$$S = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \quad \text{and} \quad T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}.$$

Main Theorem

There exists a representation $\rho : \mathrm{SL}(2, \mathbf{Z}) \rightarrow \mathcal{A}^\times$ with the following properties.

- (a) *The space E (finitely supported columns) has an ascending filtration*

$$0 = E_0 \subset E_1 \subset E_2 \subset \cdots$$

of $\mathbf{Q} \mathrm{SL}(2, \mathbf{Z})$ -submodules such that for each $i \in \mathbf{N}$, the quotient module E_i / E_{i-1} is isomorphic to the standard 2-dimensional $\mathbf{Q} \mathrm{SL}(2, \mathbf{Z})$ -module.

- (b) $\rho(T) = D$.
- (c) $\rho(Y)$ is an integer matrix for every $Y \in \mathrm{SL}(2, \mathbf{Z})$.
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This talk:

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$$d_{i,j} = \begin{cases} 1, & \text{if } i \text{ divides } j, \\ 0 & \text{otherwise.} \end{cases}$$

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$$A_k(m) := \{(m_1, m_2, \dots, m_k) \in (\mathbf{N} \setminus \{1\})^k \mid m_1 m_2 \cdots m_k = m\}$$

- ▶ $\alpha_k(m) := |A_k(m)|$
- ▶ $\alpha_k(1) = 0$, $\alpha_k(m) = 0$ if $m < 2^k$ and $\alpha_k(2^k) = 1$.

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By considering the first $k - 1$ entries of elements of $A_k(m)$, we see that for $k > 1$, we have

Counting Lemma



$$\alpha_k(m) = \left(\sum_{d|m} \alpha_{k-1}(d) \right) - \alpha_{k-1}(m).$$



$$\sum_{i=1}^{k-1} (-1)^{k-1-i} \sum_{d|m} \alpha_i(d) = \alpha_k(m) + (-1)^k \alpha_1(m).$$

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Relation to D

- ▶ The $(1, m)$ entry of $(D - I)^k$ is equal to $\alpha_k(m)$
- ▶ Proof: Let $D - I = (t_{i,j})_{i,j \in \mathbf{N}}$. Then

$$t_{1,j_1} t_{j_1,j_2} \cdots t_{j_{k-1},m} = 1 \iff (j_1, j_2/j_1, \dots, m/j_{k-1}) \in A_k(m)$$

- ▶ More generally,

$$(d, m) \text{ entry of } (D - I)^k = \begin{cases} 0 & \text{if } d \nmid m, \\ \alpha_k(m/d) = (1, m/d) \text{ entry,} & \text{if } d \mid m. \end{cases}$$

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$$J := (J_{i,j})_{i,j \in \mathbf{N}}, \quad J_{i,j} = \begin{cases} 1, & \text{if } j \in \{i, 2i\}, \\ 0 & \text{otherwise.} \end{cases}$$

- ▶ Think of J as being the direct sum of infinite Jordan blocks, one for each odd integer.
- ▶ Let $Z := (\alpha(i, j))_{i,j \in \mathbf{N}}$ be the matrix described in the following way.
- ▶ Let $i = 2^k d$ with d odd. Then the i^{th} row of Z is equal to the d^{th} row of $(D - I)^k$. (Take $(D - I)^0 = I$.)



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Lemma

The matrix Z has the following properties:

(a) $\alpha(i, j) = \delta_{i, j}$, if i is odd.

(b) If $i = d2^k$, where d is odd and $k \geq 1$, then

$$\alpha(i, j) = \begin{cases} \alpha_k(j/d) & \text{if } d \mid j, \\ 0 & \text{otherwise.} \end{cases}$$

(c) $\alpha(im, jm) = \alpha(i, j)$ whenever m is odd.

(d) Z is upper unitriangular.

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$$Z(D - I) = (J - I)Z$$

(Proof: Look at the i -th row of both sides, $i = 2^k d$.)

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Moreover, Z is the unique matrix satisfying (a) and (f).

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Proof of $Z^{-1} = XZX$

- It suffices to show that

$$D(XZX) = (XZX)J$$

or, equivalently,

$$(XDX)Z = Z(XJX).$$

- $XDX = (d'_{i,j})_{i,j \in \mathbf{N}}$, $XJX = (c'_{i,j})_{i,j \in \mathbf{N}}$

$$d'_{i,j} = \begin{cases} (-1)^{v_2(i)+v_2(j)}, & \text{if } i \mid j, \\ 0 & \text{otherwise.} \end{cases}, \quad c'_{i,j} = \begin{cases} 1, & \text{if } j = i, \\ -1, & \text{if } j = 2i, \\ 0 & \text{otherwise.} \end{cases}$$

Proof of $Z^{-1} = XZX$

- It suffices to show that

$$D(XZX) = (XZX)J$$

or, equivalently,

$$(XDX)Z = Z(XJX).$$

- $XDX = (d'_{i,j})_{i,j \in \mathbf{N}}$, $XJX = (c'_{i,j})_{i,j \in \mathbf{N}}$

$$d'_{i,j} = \begin{cases} (-1)^{v_2(i)+v_2(j)}, & \text{if } i \mid j, \\ 0 & \text{otherwise.} \end{cases}, \quad c'_{i,j} = \begin{cases} 1, & \text{if } j = i, \\ -1, & \text{if } j = 2i, \\ 0 & \text{otherwise.} \end{cases}$$

- ▶ Thus, we aim for the equation:

$$\sum_{m \geq 1} (-1)^{v_2(m)} \alpha(im, j) = \begin{cases} \alpha(i, j), & \text{if } j \text{ is odd,} \\ \alpha(i, j) - \alpha(i, j/2), & \text{if } j \text{ is even.} \end{cases}$$

- ▶ Only need to consider the case $i = 2^k$, for $k \geq 1$.
- ▶ Rewrite the left hand side ($m := d2^e$)

$$\begin{aligned} \sum_{\substack{d|j \\ d \text{ odd}}} \sum_{e=0}^{v(j)-k-v(d)} (-1)^e \alpha(2^{k+e}, j/d) \\ = \begin{cases} \alpha(2^k, j), & j \text{ odd,} \\ \alpha(2^k, j) - \alpha(2^k, j/2), & j \text{ even.} \end{cases} \end{aligned}$$

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$$(-1)^k \sum_{\substack{d|j \\ d \text{ odd}}} \sum_{r=k}^{v(j/d)} (-1)^r \alpha_r(j/d) = \begin{cases} \alpha_k(j), & j \text{ odd,} \\ \alpha_k(j) - \alpha_k(j/2), & j \text{ even.} \end{cases}$$

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- ▶ If j is even, we note that d is a divisor of $j/2$ if and only if $2d$ is an even divisor of j , so that the claim implies

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Proof of claim:

$$(\forall j) \quad (-1)^k \sum_{d|j} \sum_{r=k}^{v(j/d)} (-1)^r \alpha_r(j/d) = \alpha_k(j).$$

- We can assume $j > 1$.

Alternating Sum Lemma

We have for all $m \in \mathbb{N}$,

$$\sum_{r=1}^{v(m)} (-1)^r \alpha_r(m) = \begin{cases} (-1)^{v(m)}, & \text{if } m \text{ is squarefree and } m > 1, \\ 0 & \text{otherwise.} \end{cases}$$

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- The total contribution from the squarefree case is

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- So we get

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- Finally, rewrite the above expression as

$$(-1)^{k-1} + \sum_{r=1}^{k-1} (-1)^{k-1-r} \sum_{d|j} \alpha_r(d),$$

which, by the Counting Lemma is equal to $\alpha_k(j)$. This proves the claim. □

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- ▶ The case $m = 1$ is trivial.
- ▶ $m = p_1^{\mu_1} p_2^{\mu_2} \cdots p_r^{\mu_r}$, with $\mu_1 \geq \mu_2 \geq \cdots \geq \mu_r \geq 1$. Let $n = v(m) = \mu_1 + \cdots + \mu_r$.
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- ▶ S_n acts transitively on the right of F_μ : $(h\sigma)(y) = h(\sigma(y))$, $y \in N$, $\sigma \in S_n$.
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- ▶ A k -decomposition of n is a k -tuple (n_1, \dots, n_k) of integers $n_i \geq 1$ such that $n_1 + n_2 + \dots + n_k = n$.
- ▶ $\Pi := \{\sigma_1, \dots, \sigma_{n-1}\}$ be the set of fundamental reflections, with $\sigma_i = (i, i+1)$.
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- ▶ For each pair $((n_1, \dots, n_k), h)$ consisting of a k -decomposition and a function $h \in F_\mu$, we obtain an element $(m_1, \dots, m_k) \in A_k(m)$ by setting $m_i := \prod_{j \in N_i} h(j)$.
- ▶ Every element of $A_k(m)$ arises in this way and two pairs define the same element of $A_k(m)$ if and only if the k -decompositions are equal and the corresponding functions are in the same orbit under the action of the parabolic subgroup of the k -decomposition.

$$\alpha_k(m) = |A_k(m)| = \sum_{\substack{K \subseteq \Pi \\ |K|=n-k}} |\{W_K\text{-orbits on } F_\mu\}|.$$

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► L. Solomon's formula:

$$\sum_{K \subseteq \Pi} (-1)^{|K|} 1_{W_K}^{S_n} = \epsilon,$$



$$\begin{aligned} \sum_{k=1}^n (-1)^k \alpha_k(m) &= (-1)^n \langle \sum_{K \subseteq \Pi} (-1)^{|K|} 1_{W_K}^{S_n}, 1_{S_\mu}^{S_n} \rangle \\ &= (-1)^n \langle \epsilon, 1_{S_\mu}^{S_n} \rangle \\ &= (-1)^n \langle \epsilon, 1 \rangle_{S_\mu} \\ &= \begin{cases} (-1)^n, & \text{if } \mu = 1^n, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$



□