THE SMITH GROUP AND THE CRITICAL GROUP OF THE GRASSMANN GRAPH OF LINES IN FINITE PROJECTIVE SPACE AND OF ITS COMPLEMENT

JOSHUA E. DUCEY AND PETER SIN

ABSTRACT. We compute the elementary divisors of the adjacency and Laplacian matrices of the Grassmann graph on 2-dimensional subspaces in a finite vector space. We also compute the corresponding invariants of the complementary graphs.

1. INTRODUCTION

The Smith group of a graph is the abelian group defined by using an adjacency matrix of the graph as a relation matrix. The name comes from the fact that a cyclic decomposition of this group is given by the Smith normal form of the adjacency matrix. If we use the Laplacian matrix of the graph as a relation matrix then the finite part of the abelian group defined is called the critical group. By the well-known Matrix Tree Theorem of Kirchhoff, the order of the critical group of a connected graph is equal to the number of spanning trees in the graph. This group also arises in chip-firing games on graphs and in the closely-related sandpile model in physics, where it is also known as the sandpile group. It is therefore of some interest to compute the Smith groups and critical groups for individual graphs or for families of graphs. A particular class that has proved amenable to computations is the class of strongly regular graphs (e.g. [4]). In this paper we treat these questions for the Grassmann graph defined by the incidence of lines in a finite projective space \( \text{PG}(n-1, q) \), as well as its complementary graph, the skew lines graph. As well as being strongly regular

\[2010 \text{ Mathematics Subject Classification.} \quad 05C50.\]

Key words and phrases. invariant factors, elementary divisors, Smith normal form, critical group, Jacobian group, sandpile group, adjacency matrix, Laplacian, Grassmann.

This work was partially supported by a grant from the Simons Foundation (#204181 to Peter Sin).

Part of this research was completed while the second author was visiting the Institute for Mathematical Sciences, National University of Singapore in 2016.
these graphs admit the action of the general linear group of the projective space, which allows the application of extensive machinery from representation theory. The problem of computing the Smith normal forms or, equivalently, the elementary divisors of the adjacency and Laplacian matrices, falls naturally into two parts, one for the characteristic $p$ of the projective space and the second for all other primes. In §3, we establish some general lemmas needed in both parts. The characteristic $p$ case was handled in projective dimension 3 in an earlier paper [1], by making use of the computations of the $p$-elementary divisors of point-subspace incidence matrices in [3]. Fortunately, it is possible to extend the method to higher dimensions without great difficulty, and this is done in §4. We then turn to the cross-characteristic case. Here we rely heavily on James’s theory [6] of unipotent representations of $\text{GL}(n,q)$, over a field of characteristic $\ell \neq p$. From general considerations we know that the multiplicity of a given power $\ell^a$ as an elementary divisor is the dimension of some $\text{GL}(n,q)$-subquotient of the $\ell$-modular permutation module on lines, and detailed information about the structure of the submodule lattice of this permutation module allows us to determine all such multiplicities. In §5 we collect together the required results from the $\ell$-modular representation theory of $\text{GL}(n,q)$. The detailed computations are then made in the following four sections, treating in turn the critical group and the Smith group of the Grassmann graph, followed by the critical group and the Smith group of the skew lines graph. Finally, we include an appendix displaying all the possible submodule structures of the $\ell$-modular permutation module, corresponding to various arithmetic conditions satisfied by $\ell$ and $n$. Although we never use these informal pictures in our proofs, we have found them very helpful in navigating through the many cases into which the computations are divided.

2. Parameters of the graphs

Let $V$ be an $n$-dimensional vector space ($n \geq 4$) over a finite field $\mathbb{F}_q$ of $q = p^t$ elements. Consider the graph with vertex set the 2-dimensional subspaces of $V$ (or 2-spaces for short), where a pair of 2-spaces are adjacent when their intersection is trivial. This is the skew-lines graph of the associated projective space, which we denote by $\Gamma$. Under some ordering of the vertices, we let $A$ denote the adjacency matrix.

The complement of this graph is the Grassmann graph $\Gamma'$ on 2-spaces, and we denote by $A'$ its adjacency matrix.
These graphs are strongly regular [2, Chapter 8]. The parameters \(v', k', \lambda', \mu'\) of \(\Gamma'\) are given below. We use the \(q\)-binomial coefficients
\[
\binom{m}{k}_q = \frac{(q^m - 1)(q^{m-1} - 1) \cdots (q^{m-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}
\]
which count the number of \(k\)-dimensional subspaces in an \(m\)-dimensional vector space over \(\mathbb{F}_q\).

\[
v' = \left[\frac{n}{2}\right]_q
\]
\[
k' = q(q + 1)[n-2]_q
\]
\[
\lambda' = \left[\frac{n-1}{1}\right] + q^2 - 2
\]
\[
\mu' = (q + 1)^2.
\]

Let \(v, k, \lambda, \mu\) denote the parameters of the skew-lines graph \(\Gamma\). Since this graph is complementary to \(\Gamma'\) we have
\[
v = v'
\]
\[
k = v - k' - 1 = q^4[n-2]_q
\]
\[
\lambda = v - 2k' + \mu' - 2 = 2k + \mu - v
\]
\[
\mu = v - 2k' + \lambda'.
\]

We also record that \(A\) has spectrum
\[
q^4[n-2]_q, -q^2[n-3]_q, q
\]
with respective multiplicities \(1, [n]_q - 1, [\frac{n}{2}]_q - [\frac{n}{1}]_q\).

Let \(L = kI - A\) be the Laplacian matrix of \(\Gamma\) and \(L' = k'I - A'\) that of \(\Gamma'\). We note that \(A\) and \(A'\) are invertible matrices, while \(L\) and \(L'\) have corank 1. We shall make use of relations satisfied by \(A, L, A'\) and \(L'\) that follow from the strong regularity of \(\Gamma\) and \(\Gamma'\).

These are well known [2, Theorem 8.1.2].

**Lemma 2.1.** Let \(\tilde{A}\) be the adjacency matrix of a strongly regular graph with parameters \((\tilde{v}, \tilde{k}, \tilde{\lambda}, \tilde{\mu})\) and restricted eigenvalues \(\tilde{r}\) and \(\tilde{s}\), and let \(\tilde{L} = \tilde{k}I - \tilde{A}\) be the Laplacian matrix. Then
\[
(i) \quad \tilde{A}^2 + (\tilde{\mu} - \tilde{\lambda})\tilde{A} + (\tilde{\mu} - \tilde{k})I = \tilde{\mu}J, \text{ where } J \text{ is the matrix all of whose entries are } 1.
\]
\[
(ii) \quad (\tilde{A} - \tilde{r}I)(\tilde{A} - \tilde{s}I) = \tilde{\mu}J, \text{ and } (\tilde{L} - (\tilde{k} - \tilde{r})I)(\tilde{L} - (\tilde{k} - \tilde{s})I) = \tilde{\mu}J.
\]

3. General results on modules

Let \((R, (\pi))\) be a local PID with residue field \(R/(\pi) = F\), field of quotients \(K\), and let \(M\) a finitely generated free \(R\)-module. Let \(\overline{M} =\)
Let $M \cong F \otimes_R M$, and for any submodule $U$ of $M$, we denote by $\overline{U}$ its image in $\overline{M}$. Let $\phi \in \text{End}_R(M)$, and for $i \geq 0$ let

\begin{equation}
M_i = M_i(\phi) = \{ n \in M \mid \phi(n) \in \pi^i M \}.
\end{equation}

Then we have

\begin{equation}
M = M_0 \supseteq M_1 \supseteq \cdots \supseteq \text{Ker}(\phi),
\end{equation}

and

\begin{equation}
\overline{M} = \overline{M_0} \supseteq \overline{M_1} \supseteq \cdots \supseteq \text{Ker}(\overline{\phi}).
\end{equation}

Then $e_i = e_i(\phi) := \dim_F (M_i/\overline{M}_{i+1})$ is the multiplicity of $\pi^i$ as an elementary divisor of $\phi$. ($e_0$ is the rank of $\overline{\phi}$.) We will often use the formula

\begin{equation}
\dim_F \overline{M} = \dim_F \text{Ker}(\overline{\phi}) + \sum_{j \geq i} e_j.
\end{equation}

Note that $\text{Ker}(\overline{\phi})$ is not, in general, equal to the kernel of the induced map $\overline{\phi} \in \text{End}_F(\overline{M})$. Indeed, $\text{Ker}(\overline{\phi}) = \overline{M_1}$.

One can also define an ascending chain of submodules of $M$:

\begin{equation}
N_i = N_i(\phi) = \{ \pi^{-i} \phi(n) \mid n \in M_i \}.
\end{equation}

A formula similar to the above holds, namely,

\begin{equation}
\dim_F \overline{N} = \sum_{j=0}^{i} e_j.
\end{equation}

**Lemma 3.1.** Let $M$, and $\phi$ be as above. Let $d$ be the $\pi$-adic valuation of the product of the nonzero elementary divisors of $\phi$, counted with multiplicities. Suppose that in (3.3) we have an increasing sequence of indices $0 < a_1 < a_2 < \cdots < a_h$ and a corresponding sequence of lower bounds $b_1 > b_2 > \cdots > b_h$ satisfying the following conditions.

1. $\dim_F \overline{M}_{a_j} \geq b_j$ for $j = 1, \ldots, h$.
2. $\sum_{j=1}^{h} (b_j - b_{j+1}) a_j = d$, where we set $b_{h+1} = \dim_F \text{Ker}(\overline{\phi})$.

Then the following hold.

1. $e_{a_j}(\phi) = b_j - b_{j+1}$ for $j = 1, \ldots, h$.
2. $e_0(\phi) = \dim_F \overline{M} - b_1$.
3. $e_i(\phi) = 0$ for $i \notin \{0, a_1, \ldots, a_h\}$.
Proof. We have
\begin{equation}
  d = \sum_{i \geq 0} ie_i
\end{equation}
\begin{align*}
  &\geq \sum_{j=1}^{h-1} \left( \sum_{a_j \leq i < a_{j+1}} ie_i \right) + \sum_{i \geq a_h} ie_i \\
  &\geq \sum_{j=1}^{h-1} \left( a_j \sum_{a_j \leq i < a_{j+1}} e_i \right) + a_h \sum_{i \geq a_h} e_i \\
  &= \sum_{j=1}^{h-1} a_j (\dim_F \overline{M}_{a_j} - \dim_F \overline{M}_{a_{j+1}}) + a_h (\dim_F \overline{M}_{a_h} - \dim_F \overline{\text{Ker}(\phi)}) \\
  &= a_1 \dim_F \overline{M}_{a_1} + \sum_{j=2}^{h} (a_j - a_{j-1}) \dim_F \overline{M}_{a_j} - a_h \dim_F \overline{\text{Ker}(\phi)} \\
  \geq a_1 b_1 + \sum_{j=2}^{h} (a_j - a_{j-1}) b_j - a_h b_{h+1} \\
  &= \sum_{j=1}^{h} (b_j - b_{j+1}) a_j = d.
\end{align*}

Therefore we must have equality throughout. The equality of the first three lines implies that $e_i = 0$ for $i \notin \{0, a_1, \ldots, a_h\}$, proving (3). It follows that for $j = 1, \ldots, h-1$ we have $e_{a_j} = \dim_F \overline{M}_{a_j} - \dim_F \overline{M}_{a_{j+1}}$, and $e_{a_h} = \dim_F \overline{M}_{a_h} - \dim_F \overline{\text{Ker}(\phi)}$. The equality of the second and third last rows then shows that inequalities $\dim_F \overline{M}_{a_j} \geq b_j$ are all equalities, for $j = 1, \ldots, h$, so $e_{a_j} = (b_j - b_{j+1})$ and (1) is proved. Finally, $e_0(\phi)$ is the rank of the induced endomorphism $\bar{\phi} \in \text{End}_F(\overline{M})$, whose kernel is $\overline{M}_1$ by definition, and our discussion shows that $\overline{M}_1 = \overline{M}_{a_1}$, proving (2). \hfill \square

Let $M_K = K \otimes_R M$. The following lemma is well known (cf. [2, Proposition 12.8.3]).

**Lemma 3.2.** Let $\phi \in \text{End}_R(M)$. Suppose the induced endomorphism $\phi_K$ of $M_K$ has an eigenvalue $u \in R$. Let $d$ be the dimension of the eigenspace \[(M_K)_u = \{m \in M_K \mid \phi_K(m) = um \}.\]

Then $d \leq \dim_F \overline{M}_b$, for any $b$ with $\pi^b | u$. 

Proof. The submodule $M \cap (M_K)_u$ is pure ([5, p.84]), has rank equal to $d$ and clearly lies in $M_b$. It follows that $d = \dim_F M \cap (M_K)_u \leq \dim_F M_b$. □

Assume that $M$ is an $RG$-module for a group $G$ and $\phi$ an $RG$-module homomorphism. Then we can strengthen the conclusion of Lemma 3.2 to a statement about the $FG$-composition factors of $M_b$.

**Lemma 3.3.** With the notation above and Lemma 3.2, let $E$ be a $KG$-submodule of $(M_K)_u$. Then the following hold.

(i) $M \cap E$ is a pure submodule of $M$ such that $K \otimes_R (M \cap E) \cong E$.

(ii) If $X$ be any $R$-free $RG$-module with $K \otimes_R X \cong E$, then $X/\pi X$ and $M \cap E$ have the same $FG$-composition factors, counting multiplicities.

(iii) For any $b$ with $\pi^b | u$, the $FG$-composition factors of $M_b$ include those of $X/\pi X$, counting multiplicities.

Proof. Part (i) is immediate from the definitions of pure submodule ([5, p.84]) and $M \cap E$. By (i), the image $M \cap E$ of $M \cap E$ in $M$ is isomorphic to $(M \cap E)/\pi(M \cap E)$, and this has the same composition factors as $X/\pi X$ since $X$ and $M \cap E$ are both $R$-forms of $E$, by a general principle [5, Proposition 16.16] in modular representation theory. Thus (ii) holds. To see (iii), we note that from the hypothesis on $b$ we have $M \cap E \subseteq M_b$, and the statement about composition factors holds by (ii). □

4. The $p$-Elementary Divisors

4.1. Skew lines, A.

By Lemma 2.1, we have

\[(4.1) \quad A^2 = kI + \lambda A + \mu(J - A - I).\]

Rewriting, we get

\[(4.2) \quad A[A - (\lambda - \mu)I] = (k - \mu)I + \mu J,\]

where one checks that

\[
\lambda - \mu = -q^{n-2} - q^{n-3} - \cdots - q^2 + q
\]

\[
= -q(q^{n-3} + q^{n-4} + \cdots + q - 1)
\]

and

\[
k - \mu = q^{n-1} + q^{n-2} + \cdots + q^3
\]

\[
= q^3(q^{n-4} + q^{n-5} + \cdots + 1).
\]
We can use Equation 4.2 to learn much about the \( p \)-elementary divisors of \( A \). We may work over \( \mathbb{Z}_p \), the ring of \( p \)-adic integers. Let \( \mathcal{L}_2 \) denote our vertex set of 2-spaces. The matrix \( A \) defines a \( \mathbb{Z}_p \)-module homomorphism:

\[
A: M \to M,
\]

where \( M = \mathbb{Z}_p^{\mathcal{L}_2} \) consists of all formal \( \mathbb{Z}_p \)-linear combinations of the elements of \( \mathcal{L}_2 \), and the map \( A \) sends a 2-space to the formal sum of the 2-spaces that intersect it trivially. Since \( |\mathcal{L}_2| \) is a unit in \( \mathbb{Z}_p \), we have

\[
M = \mathbb{Z}_p \mathbf{1} \oplus Y,
\]

where \( Y \) is the kernel of the augmentation map \( \epsilon: M \to \mathbb{Z}_p \) sending all 2-spaces to 1, and \( \mathbf{1} \) is the formal sum of all of the 2-spaces. The map \( A \) respects this decomposition. Note that

\[
A(\mathbf{1}) = k\mathbf{1} = q^4\binom{n-2}{2},
\]

so \( p^4t \) occurs at least once as an elementary divisor of \( A \). We will see shortly that it occurs exactly once. Now we focus our attention on \( Y \) and the restriction map \( A|_Y: Y \to Y \).

The Equation 4.2 now becomes

\[
A|_Y[A|_Y - qz_1I] = q^3z_2I,
\]

with \( z_1, z_2 \) being units in \( \mathbb{Z}_p \). It follows immediately that \( A|_Y \) has no elementary divisors \( p^i \) for \( i > 3t \) (and so \( p^{4t} \) does indeed have multiplicity 1 as an elementary divisor of \( A \)). It also follows from Equation 4.4 that the multiplicity of \( p^i \) as an elementary divisor of \( A|_Y \) is equal to the multiplicity of \( p^{3t-i} \) as an elementary divisor of \( A|_Y - qz_1I \). Since \( A|_Y \) and \( A|_Y - qz_1I \) are congruent modulo \( p^i \), they have the same multiplicities for elementary divisors \( p^i \) with \( 0 \leq i < t \). Hence, \( p^i \) and \( p^{3t-i} \) occur as elementary divisors for \( A \) with the same multiplicity, for \( 0 \leq i < t \).

Now we use the eigenspaces of \( A \) to determine exactly which \( p \) elementary divisor multiplicities are nonzero. From our argument above we again only need consider \( A|_Y \) and those \( p^i \) where \( i \leq 3t \). It will turn out that only those elementary divisors \( p^i \) satisfying \( 0 \leq i \leq t \) or \( 2t \leq i \leq 3t \) will have a nonzero multiplicity. Write \( \mathbb{Q}_p \) for the field of quotients of \( \mathbb{Z}_p \). Denote by \( V_{-q^2} \) the \( \mathbb{Q}_p \)-eigenspace for \( A \) for the eigenvalue \( -q^2\binom{n-3}{1} \), and denote by \( V_q \) the eigenspace for \( q \). We have the inclusions

\[
V_{-q^2} \cap M \subseteq M_{2t}(A) \\
V_q \cap M \subseteq N_t(A).
\]
Recall that \( e_i \) denotes the multiplicity of \( p^i \) as an elementary divisor of \( A \). We can apply Lemma 3.2 to get the inequalities

\[
\begin{align*}
\left[ \begin{array}{c}
1 \\
2 \\
\end{array} \right] \leq e_2 t + \cdots + e_3 t \\
\left[ \begin{array}{c}
1 \\
2 \\
\end{array} \right] \leq e_0 + \cdots + e_t.
\end{align*}
\]

(4.5) \hspace{1cm} (4.6)

Since the left sides of the inequalities 4.5 sum to the dimension of \( Y \), both must in fact be equalities. Thus we see that, aside from one occurrence of the elementary divisor \( p^4 t \), the only other \( p \)-elementary divisors of \( A \) that occur are those \( p^i \) satisfying \( 0 \leq i < t \) or \( 2t \leq i \leq 3t \).

Since we have equality throughout in 4.5, we see that we only need to determine the multiplicities of the elementary divisors \( p^i \) where \( 0 \leq i < t \) in order to know them all.

In general, if \( A_{r,s} \) denotes the zero-intersection incidence matrix between \( r \)-spaces and \( s \)-spaces, then we have that

\[-A_{r,s} \equiv \ A_{r,1} A_{1,s} \pmod{p^i}\]

and so the multiplicities of \( p^i \) as elementary divisors agree for \( A_{r,s} \) and \( A_{r,1} A_{1,s} \) when \( 0 \leq i < t \). In [1] the \( p \)-elementary divisors of the matrix \( A_{r,1} A_{1,s} \) were computed. Our matrix \( A \) is just \( A_{2,1} A_{1,2} \), so we want to look at \( A_{2,1} A_{1,2} \). Before we can state the theorem, we need some notation.

Let \( [n-1]^t \) denote the set of \( t \)-tuples with entries from \( \{1, 2, \ldots, n-1\} \). For nonnegative integers \( \alpha, \beta \), define the following subsets of \( [n-1]^t \):

\[ H_\alpha = \left\{ (s_0, \ldots, s_{t-1}) \in [n-1]^t \mid \sum_{i=0}^{t-1} \max\{0, 2 - s_i\} = \alpha \right\} \]

and

\[ \beta H = \{(n-s_0, \ldots, n-s_{t-1}) | (s_0, \ldots, s_{t-1}) \in H_\beta \} \]

To each tuple \( \vec{s} \in [n-1]^t \) we will associate a number \( d(\vec{s}) \) as follows. First define the integer tuple \( \vec{\lambda} = (\lambda_1, \ldots, \lambda_{t-1}) \) by

\[ \lambda_i = p s_{i+1} - s_i \]

where the subscripts are read modulo \( t \). For an integer \( k \), set \( d_k \) to be the coefficient of \( x^k \) in the expansion of \( (1 + x + \cdots + x^{p-1})^n \), or, explicitly,

\[ d_k = \sum_{j=0}^{[k/p]} (-1)^j \left( \begin{array}{c} n \\ j \end{array} \right) \left( \begin{array}{c} n + k - j p - 1 \\ n - 1 \end{array} \right). \]

Finally, we set \( d(\vec{s}) = \prod_{i=0}^{t-1} d_{\lambda_i} \).

**Theorem 4.1** ([1], Theorem 5.1 and Remark following its proof). Let \( e_i \) denote the multiplicity of \( p^i \) as a \( p \)-adic elementary divisor of \( A_{2,1} A_{1,2} \).
(1) $e_{4t} = 1$.
(2) For $i \neq 4t$,

$$e_i = \sum_{\bar{s} \in \Gamma(i)} d(\bar{s}),$$

where

$$\Gamma(i) = \bigcup_{\alpha + \beta = i, 0 \leq \alpha \leq t, 0 \leq \beta \leq t} \mathcal{H}_\alpha \cap \mathcal{H}_\beta.$$

Summation over an empty set is interpreted to result in 0.

4.2. Skew lines, $L$.

The Laplacian matrix $L$ of the skew-lines graph is defined as

$$L = kI - A = q^4[n-2]_q I - A.$$

Thus $L \equiv -A \pmod{p^{4t}}$, and so the $p$-elementary divisor multiplicities are the same for $A$ and $L$, for prime powers $p^i$ with $0 \leq i < 4t$. This actually accounts for all of the $p$-elementary divisors of $L$; this can be read off from the order of the critical group which we now compute.

Since the skew-lines graph is regular, the spectrum of $L$ easily follows from that of $A$. For $L$ we have eigenvalues

$$0, q^4[n-2]_q + q^2[n-3]_q, q^4[n-2]_q - q$$

with respective multiplicities $1, [n]_q - 1, [n]_q - [1]_q$. By the Matrix Tree Theorem, the order of the critical group is the product of the nonzero eigenvalues of $L$ divided by the number of vertices. We have

$$|K(\Gamma)| = \frac{\left(q^4[n-2]_q + q^2[n-3]_q\right)[1]_q^{-1} \cdot \left(q^4[n-2]_q - q\right)[2]_q^{-1} [n]_q}{[2]_q},$$

where $K(\Gamma)$ denotes the critical group of $\Gamma$. Let $S(\Gamma)$ denote the Smith group of $\Gamma$, and compare the above order with

$$|S(\Gamma)| = q^4[n-2]_q \cdot \left(-q^2[n-3]_q\right)[1]_q^{-1} \cdot \left(q^4[n-2]_q - q\right)[2]_q^{-1} [1]_q.$$

Looking carefully, one sees that the $p$-parts of the two orders differ only by an extra factor of $p^{4t}$ in the order of the Smith group of $\Gamma$. This is accounted for by the image of 1 under $A$, whereas $L(1) = 0$. In other words, the $p$-elementary divisors of $L$ and $A$ agree on $Y$ and these account for all of the $p$-elementary divisors of $L$. 
4.3. Grassmann, $A'$.
The spectrum of $A'$ is
\[ q(q + 1)[q^{n-2}]_q, q^2[q^{n-3}]_q - 1, -q - 1 \]
with respective multiplicities $1, [q]_q - 1, [\frac{q}{2}]_q - [\frac{q}{2}]_q$. Thus we see that there is only one $p$-elementary divisor of $A'$, and that is determined by the image of $1$ under $A'$. This image is exactly divisible by $p'$, and so the $p$-Sylow subgroup of $S(A')$ is cyclic of order $p'$.

4.4. Grassmann, $L'$.
The spectrum of $L'$ is
\[ 0, -q^2[q^{n-3}]_q + 1 + q(q + 1)[q^{n-2}]_q, q + 1 + q(q + 1)[q^{n-2}]_q \]
with respective multiplicities $1, [q]_q - 1, [\frac{q}{2}]_q - [\frac{q}{2}]_q$. By the Matrix Tree Theorem the order of the critical group of $\Gamma'$ depends only on the nonzero eigenvalues. Since none of these are divisible by $p'$, we see that $L'$ has no $p'$-elementary divisors.

5. The $\ell$-elementary divisors for $\ell \neq p$

Let $\ell$ be a prime different from $p$. Let $R$ be the integral extension of the $\ell$-adic integers obtained by adding a primitive $p$-th root of unity and let $K$ be its field of quotients. The prime $\ell$ is unramified in $R$ and we set $F = R/\ell R$. Let $L_i$ be the set of $i$-dimensional subspaces of $V$ and let $M = R^{L_2}$ be the free $R$-module with basis $L_2$, which has the structure of an $R\text{GL}(n,q)$-permutation module. Let $Y$ be the kernel of the homomorphism $M \to R$ sending each 2-space to 1, namely the map given by the matrix $J$ in the standard basis of $M$. As before, we shall identify the matrices $A, L, A'$ and $L'$ with the endomorphisms of $M$ that they define with respect to the standard basis.

We have an $A$-invariant decomposition
\[ M = R1 \oplus Y \]
if and only if $\ell \nmid [\frac{q}{2}]_q$.

On extending scalars to $K$, we have
\[ (5.1) \quad M_K = K \oplus \tilde{S}_1 \oplus \tilde{S}_2, \]
where $\tilde{S}_1$ and $\tilde{S}_2$ are simple $K\text{GL}(n,q)$-modules (Specht modules over $K$). The matrices $A, L, A'$ and $L'$ induce endomorphisms of $M_K$, and the decomposition above is the decomposition in to eigenspaces of these endomorphisms. The submodule $\tilde{S}_1$ affords the “$r$-eigenvalues” $r_A, r_L, r_{A'}$ and $r_{L'}$, while $\tilde{S}_2$ affords the “$s$-eigenvalues” $s_A, s_L, s_{A'}$ and $s_{L'}$. We fix the notation $f = \dim_K \tilde{S}_1 = [\frac{q}{2}]_q - 1$ and $g = \dim_K \tilde{S}_2 = [\frac{q}{2}]_q - [\frac{q}{2}]_q$. 


In this section we shall apply the results of §3 to the local PID $(R, (\ell))$ and the $R\text{GL}(n, q)$-modules $M$ and $Y$, to to determine the $\ell$-elementary divisors of the matrices $A$, $L$, $A'$ and $L'$. We shall also need to consider the $R\text{GL}(n, q)$-permutation modules $R^e_i$ on the set of $i$-dimensional subspaces of $V$, for $i = 0, 1, 2$ and $3$, as well as the corresponding permutation modules over $F$ and $K$. These modules have been studied in depth by James [6], to which we shall refer for the definition and basic properties of the important class of related modules called Specht modules, which are analogous to the better known Specht modules for the symmetric group. Lemma 3.3 will be applied to $M$ or $Y$, with $G = \text{GL}(n, q)$, $E = \tilde{S}_i$, for $i = 1$ or $2$, and $X$ equal to the corresponding Specht module over $R$. In this case, $X/\pi X \cong S_i$, the Specht module over $F$. By the theory of Specht modules, we also have $f = \dim_F S_1$ and $g = \dim_F S_2$.

James [6, Theorem 13.3] has shown that $F^e_i$ has a descending filtration whose subquotients are isomorphic to the Specht modules $S_j$, for $0 \leq j \leq i$, each with multiplicity 1. Moreover, the only simple modules that can be composition factors of the $S_j$ are certain simple modules $D_k$, $k \leq j$. We assume $n \geq 4$ to avoid trivialities. Then the modules $D_k$, $k = 0, 1, 2$ are nonisomorphic. We have $D_0 = S_0 \cong F$, the trivial module. The structure of $S_1$ is also easy to describe: if $\ell \nmid [\frac{n}{1}]_q$, then $S_1 = D_1$, while if $\ell \mid [\frac{n}{1}]_q$, then $S_1$ has a one-dimensional trivial radical. In the latter case, one easily sees by self-duality of $F^e_1$ that $F^e_1$ is uniserial with socle series $F$, $D_1$, $F$. The structure of $S_2$ varies according to arithmetic properties; its composition factors can be read off from James’s results [6]. It is also possible to describe all the possible submodule lattices, but we shall only give details in the cases we need for computing the elementary divisors. A general fact is that $S_2$ has a unique maximal submodule with quotient $D_2$. A consequence of this fact that we shall use later on is that unless $S_2 = D_2$, $F^e_2$ has no submodule isomorphic to $D_2$.

The composition multiplicities $[S_i : D_j]$ are given by [6, Theorem 20.7]; they are no greater than one, with $[S_i : D_i] = 1$. (In James’s notation the module $F^e_i$ is denoted $M_{(n-i,i)}$, the Specht module $S_j$ is denoted $S_{(n-j,j)}$, and the simple module $D_j$ is denoted $D_{(n-j,j)}.)$ The module $D_0$ is the trivial module and the module $D_1$ is the unique nontrivial composition factor of $F^e_1$ (and of $S_1$). The module $D_2$ is the unique composition factor of $F^e_2$ (and of $S_2$) that is not isomorphic to $D_0$ or $D_1$.

We summarize the consequences of [6, Theorem 20.7] that we need, using our notation.
Lemma 5.1. We have the following composition multiplicities.

(a) \([S_1 : D_0] = 1\) if \(\ell \mid \binom{n}{1}_q\) and is zero otherwise.
(b) \([S_2 : D_1] = 1\) if \(\ell \mid \binom{n-2}{1}_q\) and is zero otherwise.
(c) \([S_2 : D_0] = 1\) if one of the following conditions holds and is zero otherwise.
   (i) \(\ell = 2\) and \(n \equiv 1\) or \(2 \pmod{4}\).
   (ii) \(\ell \neq 2, \ell \mid q + 1\) and \(\ell \mid \lfloor \frac{n-1}{2} \rfloor\).
   (iii) \(\ell \neq 2, \ell \mid q - 1\) and \(\ell \mid n - 1\).
   (iv) \(\ell \neq 2, \ell \nmid q - 1\) and \(\ell \mid \binom{n-1}{1}_q\).

\(\square\)

Here \(\lfloor x \rfloor\) denotes the greatest integer that is no greater than \(x\).

We end this section with some elementary properties of \(q\)-binomial coefficients that will be used frequently without further mention.

Lemma 5.2. Suppose \(\ell \mid q + 1\). Then

(i) \(\ell \mid \binom{m}{1}_q\) if and only if \(m\) is even.
(ii) Assume that \(m\) is even. Then
\[
\binom{m}{1}_q = (q + 1)\binom{m/2}{1}_q^2 := (q + 1)(q^{m-2} + q^{m-4} + \cdots + q^2 + 1).
\]

\(\square\)

6. Grassmann, \(L'\)

6.0.1. Parameters.

\[k' = q(q + 1)\binom{n-2}{1}_q, \quad \mu' = (q + 1)^2, \quad \lambda' = \binom{n-1}{1}_q + q^2 - 2,\]

\[(6.1) \quad r_{L'} = q(q + 1)\binom{n-2}{1}_q - q^2\binom{n-3}{1}_q + 1 = \binom{n}{1}_q,\]
\[s_{L'} = q(q + 1)\binom{n-2}{1}_q + (q + 1) = (q + 1)\binom{n-1}{1}_q.\]

In this section we shall write \(r\) for \(r_{L'}\) and \(s\) for \(s_{L'}\). The multiplicity of \(r\) is \(f = \dim_K \tilde{S}_1 = \binom{n}{1}_q - 1\) and that of \(s\) is \(g = \dim_K \tilde{S}_2 = \binom{2}{1}_q - \binom{1}{1}_q\).

\[
|K(\Gamma')| = \frac{\binom{n}{1}_q^f((q + 1)\binom{n-1}{1}_q)^g}{\binom{2}{1}_q} = \binom{n}{1}_q^{f-1}(q + 1)^{g+1}\binom{n-1}{1}_q^{g-1}.\]

(6.2)

6.0.2. \(\ell \nmid q + 1\). If \(\ell \nmid \binom{n}{1}_q\) and \(\ell \nmid \binom{n-1}{1}_q\), then, \(\ell \nmid |K(\Gamma')|\), so we can assume that \(\ell\) divides exactly one of \(\binom{n}{1}_q\) and \(\binom{n-1}{1}_q\), since these numbers are coprime.
Case (i). \( \ell \mid \frac{1}{1} q \) and \( \ell \nmid \frac{n-1}{1} q \). Let \( a = v_\ell([n^1]) \). Then \( v_\ell(|K(\Gamma')|) = a(f - 1) \). By Lemma 3.2 and the definitions of \( M_i \) and \( e_i \), we have
\[(6.3) \quad f \leq \dim_F M_a.\]
Therefore, by Lemma 3.1 with \( d = a(f - 1) \), \( h = 1 \), \( b_2 = 1 \), \( b_1 = f \) and \( a_1 = a \), we obtain
\[(6.4) \quad e_a = f - 1, \quad e_0 = g + 1, \quad \text{and} \quad e_i = 0 \text{ otherwise}.\]

(Moreover, from Lemma 5.1, \( \dim_F D_1 = f - 1 \) and in fact it is not hard to deduce that \( M_a/M_{a+1} \cong D_1. \)

Case (ii). \( \ell \mid \frac{1}{1} q \) and \( \ell \mid \frac{n-1}{1} q \). Let \( a = v_\ell([n^{-1}]) \). Then \( v_\ell(|K(\Gamma')|) = a(g - 1) \). By Lemma 3.2
\[(6.5) \quad g \leq \dim_F M_a.\]
Therefore, by Lemma 3.1 with \( d = a(g - 1) \), \( h = 1 \), \( b_2 = 1 \), \( b_1 = g \) and \( a_1 = a \), we obtain
\[(6.6) \quad e_a = g - 1, \quad e_0 = f + 1, \quad \text{and} \quad e_i = 0 \text{ otherwise}.\]

(Moreover, from Lemma 5.1, \( \dim_F D_2 = g - 1 \) and in fact it is not hard to deduce that \( M_a/M_{a+1} \cong D_2. \)

6.0.3. \( \ell \mid q + 1 \). Case (i) \( \ell \mid [q_1]^1 q \), \( \ell \mid [n-1]^1 q \), \( \ell \mid [\frac{(n-1)}{2}]^2 q \). Let \( a = v_\ell(q+1) \). Then since \( [n^{-1}]_q = (q+1)[\frac{(n-1)}{2}]^2 q \), we have \( v_\ell(|K(\Gamma')|) = a(g+1) + a(g-1) = 2ag \) and \( v_\ell(s) = 2a \). Also, \( \ell \mid [q_2]^1 q \), so \( M = R1 \oplus Y \), where \( Y \) is the kernel of the map \( J \) sending each 2-subspace to \( 1 \), and \( L' \) maps each summand to itself. We have \( R1 = \text{Ker}(L') \) and \( Y_K \) contains the \( s \)-eigenspace of dimension \( g \). Hence by Lemma 3.2, applied to \( Y \), we have \( g \leq \dim_F M_{2a} \cap Y \), so
\[(6.7) \quad g + 1 \leq \dim_F M_{2a}.\]

Therefore, by Lemma 3.1 with \( d = 2ag \), \( h = 1 \), \( b_2 = 1 \), \( b_1 = g + 1 \) and \( a_1 = 2a \), we obtain
\[(6.8) \quad e_{2a} = g, \quad e_0 = f, \quad \text{and} \quad e_i = 0 \text{ otherwise}.\]

(Moreover, from Lemma 5.1, \( \dim_F D_2 = g \), \( \dim_F D_1 = f \) and in fact it is not hard to deduce that \( M \cong F1 \oplus D_1 \oplus D_2 \), with \( M_{2a}/M_{2a+1} \cong D_2. \)

Case (ii) \( \ell \mid [q_1]^1 q \), \( \ell \mid [n-1]^1 q \), \( \ell \mid [\frac{(n-1)}{2}]^2 q \). Let \( a = v_\ell(q+1) \) and \( b = v_\ell([\frac{n-1}{2}]^2 q) \). Then \( v_\ell(|K(\Gamma')|) = a(g+1) + (a+b)(g-1) = (2a+b)(g-1) + 2a \) and \( v_\ell(s) = 2a + b \).

We will extract some information from the equation (Lemma 2.1)
\[(6.9) \quad (L' - sI)(L' - rI) = \mu'J.\]
Modulo $\ell$ we have
\begin{equation}
(\overline{L})(\overline{L} - rI) = 0.
\end{equation}

Since $r \neq 0 \pmod{\ell}$ this equation shows that $\overline{M}$ has a direct sum decomposition into eigenspaces $\text{Ker} \overline{L}$ and $\text{Ker}(\overline{L} - rI)$. Since $s \equiv 0 \pmod{\ell}$, the (algebraic) multiplicity of 0 as an eigenvalue of $\overline{L}$ is $g+1$, whence \(\text{dim}_F \text{Ker} \overline{L} = g + 1\) and \(\text{dim}_F \text{Ker}(\overline{L} - rI) = f\). It also follows that $\text{Ker} \overline{L} = \text{Im}(\overline{L} - rI)$, so we have shown that \(\text{dim}_F \text{Im}(\overline{L} - rI) = g + 1\). Now (6.9) implies that for any $x \in \text{Im}(L' - rI)$ we have $L'x \in sx + \mu'R1$, so, as $\ell^2a | s$ and $\ell^2a | \mu'$ we have $\text{Im}(L' - rI) \subseteq M_{2a}$. We have proved that
\begin{equation}
g + 1 \leq \text{dim}_F \overline{M}_{2a}.
\end{equation}

By Lemma 3.2 we also have
\begin{equation}
g \leq \text{dim}_F \overline{M}_{2a+b}.
\end{equation}

Therefore, by Lemma 3.1 with $d = (2a + b)(g - 1) + 2a$, $h = 2$, $b_3 = 1$, $b_2 = g$, $b_1 = g + 1$, $a_2 = 2a + b$ and $a_1 = 2a$, we obtain
\begin{equation}
e_{2a+b} = g - 1, \quad e_{2a} = 1 \quad e_0 = f, \quad \text{and} \quad e_i = 0 \text{ otherwise}.
\end{equation}

For the next two cases, we need the following lemma.

**Lemma 6.1.** Assume that \(\ell \mid q + 1\) and that \(\ell \mid [\frac{n}{1}]_q\). Let $a = \nu \ell(q + 1)$. Let $W_p$ be the set of 2-spaces containing a fixed 1-space $P$, and let $[W_p] \in M$ be its characteristic vector. Then $[W_p] \in M_a$.

**Proof.** Since $a = \nu \ell(q + 1)$ and $q + 1 \mid k'$, it suffices to show that $[W_p] \in M_a(A')$. Let $A'([W_p]) = \sum_{m \in \ell^2} a_m m$. Then the coefficient $a_m$ is the number of lines through $P$ that are distinct from $m$ and meet $m$. This number is $[\frac{n-1}{1}]_q - 1 = q[\frac{n-2}{1}]_q$ if $m$ passes through $P$ and $q + 1$ if $m$ does not. Since $q + 1 \mid [\frac{n}{1}]_q$ under our assumptions, we also have $q + 1 \mid [\frac{n-2}{1}]_q$, so the Lemma holds. \(\square\)

Case (iii) \(\ell \mid [\frac{n}{1}]_q, \ell \mid [\frac{n-1}{1}]_q, \ell \nmid [\frac{n-1}{2}]_q^2, \ell \nmid [\frac{n-1}{2}]_q^2\). Then $[\frac{n}{1}]_q = (q + 1)[\frac{n-2}{1}]_q^2$. Let $a = \nu \ell(q + 1)$. Then $\nu \ell(|K(\Gamma')|) = a(f + g)$. We have $\ell \nmid [\frac{n}{2}]_q$, so shall always apply Lemma 3.2 to the complementary summand $Y$ to $R1$ in $M$. Write
\begin{equation}
(L' - rI)(L' - sI) = \mu'J
\end{equation}
as
\begin{equation}
L'(L' - (r + s)I) = -rsI + \mu'J
\end{equation}
to get \(\text{Im}(L' - (r + s)I) \subseteq M_{2a}\), hence \(\overline{M}_{2a} \supseteq \overline{\text{Im}(L' - (r + s)I)} = \overline{\text{Im}(L')} = \text{Im}(\overline{L}')\). It is easy to see that $\text{Im}(\overline{L}')$ is not in the span of 1,
and that \(1 \in M_{2a} \). Since \(S_2 \) is not simple in this case, by Lemma 5.1, the only simple module that can appear in the socle of \(\overline{Y}\) is \(D_1\). It follows that \(\dim_F M_{2a} \geq 1 + \dim_F D_1 = f\). Next, we will show that \(\dim_F(\overline{M}_a) \geq g + 2\). Over \(K\), the submodule \(\overline{S}_2\) is the \(s\)-eigenspace of \(M_K\), so by Lemma 3.3 and Lemma 5.1 the composition factors of \(M \cap \overline{S}_2\) are \(D_1\) and \(D_2\).

The map \(\eta\) from \(R^{\mathbb{Z}_1} \to M\) sending \(P\) to \([WP]\) is an \(RGL(n, q)\)-homomorphism. From the structure of \(F^{\mathbb{Z}_1}\), it is not hard to see that \(\text{Im}(\overline{\eta})\) has composition factors \(F\) and \(D_1\), and simple socle \(D_1\). In particular, \(\text{Im}(\overline{\eta})\) does not contain \(1\). Using the preceding paragraph and Lemma 6.1 we now see that \(\overline{M}_a\) has among its composition factors \(F\) (occurring twice), \(D_1\) and \(D_2\). This proves our claim that \(\dim_F(\overline{M}_a) \geq g + 2\).

Therefore, by Lemma 3.1 with \(d = a(f + g)\), \(h = 2\), \(b_3 = 1\), \(b_2 = f\), \(b_1 = g + 2\), \(a_2 = 2a\) and \(a_1 = a\), we obtain

\[
e_{2a} = f - 1, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and} \quad e_i = 0 \text{ otherwise.}
\]

Case \(\text{(iv)}\) \(\ell \mid [\frac{n}{2}]]\), \(\ell \nmid [\frac{n}{2}]\mod q\), \(\ell \mid [\frac{n}{2}]\mod q\). In this case \(\ell \mid \frac{n}{2}\) so \(\ell \nmid \frac{n}{2}\). Let \(a = v_\ell(q + 1)\) and \(b = v_\ell([\frac{n}{2}]\mod q^2)\). Then \(v_\ell(K(\Gamma')) = (a + b)f + ag - b\). We claim that \(\dim_F(\overline{M}_a) \geq g + 2\). Consider the map \(\eta : R^{\mathbb{Z}_1} \to M\) sending a 1-subspace \(P\) to \([WP]\). Since \(\ell \mid q + 1\), the all-one vector of \(F^{\mathbb{Z}_1}\) is in the kernel of \(\overline{\eta}\), and from the uniserial structure of \(F^{\mathbb{Z}_1}\) it is not hard to see that its span equals the kernel. It follows that \(\text{Im}(\overline{\eta})\) is of dimension \(f\) and has no fixed points, and, in particular, does not contain \(1\). However, by Lemma 6.1 \(\text{Im}(\eta) \subseteq M_a\), so we know that \(\overline{M}_a\) has composition factors \(F\) (twice), \(D_1\). Also, since \(M \cap \overline{S}_2 \subseteq M_a\), we know by Lemma 3.3 that \(\overline{M}_a\) contains the composition factors \(D_1\) and \(D_2\) of \(S_2\). It follows that \(\overline{M}_a\) must have at least composition factors \(F\) (twice), \(D_1\) and \(D_2\), so is of dimension \(\geq g + 2\).

Let \(Y = \text{Ker}(J)\). Here \(Y\) is not a direct summand of \(M\) as an \(RGL(n, q)\)-module but it is a pure \(RGL(n, q)\)-submodule.

**Lemma 6.2.** Under the current hypotheses on \(\ell\), we have \(F1 \subseteq Y\) and \(\overline{Y} / F1\) is uniserial with socle series \(D_1, D_2, D_1\).

**Proof.** The composition factors are given by Lemma 5.1. Since \(\ell \mid [\frac{n}{2}]\mod q\) we have \(F1 \subseteq \overline{Y} = (F1)\perp\), and \(\overline{Y} / F1\) is self-dual with composition factors \(D_1, D_2, D_1\). The image of the Specht \(S_2\) in \(\overline{Y} / F1\) has composition factors \(D_2\) and \(D_1\), so is uniserial. The lemma now follows from the self-duality of \(\overline{Y} / F1\). \(\square\)
We claim that \( \dim_F(\overline{M}_{2a+b}) \geq f \). To see this consider the image of \( Y \) under \( L' - (r + s)I \). Since \( Y = \text{Ker}(J) \), the equation

\[
L'(L' - (r + s)I) = -rsI + \mu'J
\]

implies that \((L' - (r + s)I)(Y) \subseteq M_{2a+b} \). It follows that \( \overline{L'}(\overline{Y}) \subseteq \overline{M}_{2a+b} \), and it is easy to check that \( \overline{L'}(\overline{Y}) \) is not in the span of \( 1 \). Since also \( 1 \in M_{2a+b} \), it follows that \( \overline{M}_{2a+b} \) has at least one trivial composition factor and one composition factor isomorphic to \( D_1 \), so its dimension is at least \( f \).

Therefore, by Lemma 3.1 with \( d = (a + b)f + ag - b \), \( h = 2 \), \( b_3 = 1 \), \( b_2 = f \), \( b_1 = g + 2 \), \( a_2 = 2a + b \) and \( a_1 = a \), we obtain

\[
e_{2a+b} = f - 1, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and} \quad e_i = 0 \text{ otherwise}.
\]

Case (v) \( \ell \mid [\frac{1}{2}]_q \), \( \ell \not\mid [\frac{n-1}{2}]_q \), \( \ell \mid [\frac{n-2}{2}]_q \). Let \( a = v_\ell(q + 1) \).

Here, \( r \) and \( s \) are exactly divisible by \( \ell^a \) and \( \ell \not\mid [\frac{1}{2}]_q \), so \( v_\ell(|K(\Gamma)|) = a(f + g) \). Also, since \( \ell \mid [\frac{1}{2}]_q \), \( \overline{M} = F1 \oplus \overline{Y} \), where \( Y = \text{Ker}(J) \). This is an orthogonal decomposition with respect to the dot product on \( \overline{M} \).

**Lemma 6.3.** \( \overline{Y} \) is a uniserial \( F \text{ GL}(n, q) \)-module with socle series \( D_1, F, D_2, F, D_1 \).

**Proof.** The composition factors of \( \overline{Y} \) and the Specht modules can be read off from Lemma 5.1. Since the space of \( \text{GL}(n, q) \)-fixed points on \( \overline{M} \) is \( F1 \), there are no fixed points on \( \overline{Y} \), hence none on its submodule \( S_2 \). Since \( D_2 \) is the unique simple quotient of \( S_2 \), it now follows that \( S_2 \) is uniserial with socle series \( D_1, F, D_2 \). The lemma is now implied by the self-duality of \( \overline{Y} \). \( \square \)

**Lemma 6.4.** Let \( W_\pi \) be the set of 2 - spaces contained in a fixed 3-space \( \pi \), and let \([W_\pi]\) \( \in M \) be its characteristic vector. Then \( 1 - [W_\pi] \in M_a(L') \) and \( 1 - [W_\pi] \in M_a(A') \).

**Proof.** Since \( q + 1 \mid k' \), it suffices to prove that \( A'[W_\pi] \in \ell^aM \). Write \( A'[W_\pi] = \sum_L c_L L \), where \( L \) runs over the 2-subspaces. Then it is easy to see that \( c_L = 0 \) if \( L \) has zero intersection with \( \pi \), that \( c_L = q + 1 \) if \( L \) meets \( \pi \) in a 1-dimensional space, and that \( c_L = q^2 + q \) if \( L \) is contained in \( \pi \). \( \square \)

**Lemma 6.5.** Let \( \langle - , - \rangle \) denote the natural dot product on \( \overline{M} \). Let \( \pi \) be a 3-subspace and \( P \) a 1-subspace of \( \pi \). Under the current hypotheses on \( \ell \), the following hold, where we denote images in \( \overline{M} \) of elements of \( M \) by the same symbols.

(a) \( \langle 1, 1 - [W_\pi] \rangle = 0 \).
(b) \( \langle 1, 1 - [W_\pi] \rangle = 0 \).
(c) \( \langle 1 - [W_\pi], 1 - [W_\pi] \rangle \neq 0 \).

Proof. Under the hypothesis on \( \ell \), we know that \( n \) is even, that \( \left[ \frac{n}{2} \right]_q \equiv \frac{\ell}{2} \equiv 1 \pmod{\ell} \), as \( \ell \mid \left( \frac{\ell}{2} - 1 \right) \). The number of 2-spaces containing \( P \) is \( \left[ \frac{n-1}{1} \right]_q \equiv 1 \pmod{\ell} \). The number of 2-spaces contained in \( \pi \) is \( q^2 + q + 1 \equiv 1 \pmod{\ell} \), and of these there are \( q + 1 \) which contain \( P \).

All parts of the lemma now follow. \( \square \)

We claim that \( \dim_F(\overline{M}_a) \geq g + 2 \). To see this we make use of the uniserial structure of \( \overline{Y} \) given in Lemma 6.3. Since \( \ell \mid n \), the permutation module on points \( F^{\mathcal{L}_1} \) is uniserial with series \( F, D_1, F \).

Let \( U_1 \) be the submodule of \( \overline{M} \) generated by the elements \( 1 - [W_q] \) as \( Q \) ranges over \( \mathcal{L}_1 \). Then \( U_1 \subseteq \overline{Y} \) by Lemma 6.5(a). Also, \( U_1 \) is the image of \( F^{\mathcal{L}_1} \) under the \( \text{GL}(n,q) \)-map sending \( Q \) to \( 1 - [W_q] \), so \( U_1 \) is uniserial, and from the structures of \( \overline{Y} \) and \( F^{\mathcal{L}_1} \), we see that \( U_1 \) has socle series \( D_1, F \), so is equal to the second socle, \( \text{soc}^2(\overline{Y}) \). Thus, from the structure of \( \overline{Y} \), we see that \( U_1^+ \cap \overline{Y} \) is equal to \( \text{soc}^2(\overline{Y}) \). Let \( U_3 \) be the submodule of \( \overline{M} \) generated by the elements \( 1 - [W_\pi] \) as \( \pi \) ranges over \( \mathcal{L}_3 \). Then \( U_3 \subseteq \overline{Y} \) by Lemma 6.5(b), but \( U_3 \not\subseteq U_1^+ \cap \overline{Y} \) by Lemma 6.5(c). Therefore, from the uniserial structure of \( \overline{Y} \), it follows that \( U_3 \supseteq \text{soc}^4(\overline{Y}) \), so contains at least the composition factors \( D_1, F \) (twice) and \( D_2 \). Hence \( \dim_F U_3 \geq g + 1 \). Our claim now follows from Lemma 6.4 and the fact that \( 1 \in \text{Ker}(L') \).

We next claim that \( \dim_F(\overline{M}_{2a}) \geq f \). From the equation

\[
(6.19) \quad L'(L' - (r + s)I) = -rsI + \mu'J
\]

we have \( \text{Im}(L' - (r + s)I) \subseteq M_{2a} \), so \( \text{Im}(L') \subseteq \overline{M}_{2a} \). This image is easily seen not to be in the span of \( 1 \), hence from the structure of \( \overline{M} \), must have a composition factor isomorphic to \( D_1 \). Also \( 1 \in M_{2a} \), so \( \overline{M}_{2a} \) has dimension at least \( 1 + \dim_F D_1 = f \).

Therefore, by Lemma 3.1 with \( d = a(f + g), h = 2, b_3 = 1, b_2 = f, b_1 = g + 2, a_2 = 2a \) and \( a_1 = a \), we obtain

\[
(6.20) \quad e_{2a} = f - 1, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and } e_i = 0 \text{ otherwise}.
\]

7. Grassmann, \( A' \)

7.0.4. Parameters.

\[
(7.1) \quad k' = q(q + 1)[\frac{n-2}{1}q], \quad \mu' = (q + 1)^2, \quad \lambda' = [\frac{n-1}{1}q] + q^2 - 2,
\]

\[
r_{A'} = q^2[\frac{n-3}{1}q] - 1 = q[\frac{n-2}{1}q] - (q + 1), \quad s_{A'} = -(q + 1).
\]
In this section we shall write $r$ for $r_{A'}$ and $s$ for $s_{A'}$. The multiplicity of $r$ is $f = \dim_K \bar{S}_1 = [n_1]_q - 1$ and that of $s$ is $g = \dim_K \bar{S}_2 = [n_2]_q - [1]_q$.

(7.2) \[ |S(\Gamma')| = q(q + 1)^{a+1}(q^{2[n-3]} - 1)f[2^{n-2}]_q. \]

Lemma 7.1.  
(a) If $n$ is odd then $\gcd(r, s) = q + 1$ and $\gcd(k', s) = q + 1$.

(b) If $n$ is even, then $\gcd(r, s) = q + 1$, $\gcd(s, k') = q + 1$, and any common prime divisor of $r$ and $k'$ must divide $q + 1$.

7.0.5. $\ell \nmid q + 1$. In this case, $\ell \nmid s$. In the nontrivial case where $\ell \mid k'r$, we know by Lemma 7.1 that $\ell$ divides exactly one of $k'$ and $r$.

Case(i). Suppose $\nu_\ell(k') = a$, $a > 0$, $\ell \nmid r$, $\ell \mid s$. Here, $\nu_\ell(|S(\Gamma')|) = a$ and we have $1 \in M_a$, so $\dim_F \bar{M}_a \geq 1$. Therefore, by Lemma 3.1 with $d = a$, $h = 1$, $b_2 = 0$, $b_1 = 1$ and $a_1 = a$, we obtain

\[ e_a = 1, \quad e_0 = f + g, \quad \text{and} \quad e_i = 0 \text{ otherwise}. \] (7.3)

Case(ii). Suppose $\nu_\ell(r) = a$, $a > 0$, $\ell \mid k'$, $\ell \mid s$. Here, $\nu_\ell(|S(\Gamma')|) = af$ and by Lemma 3.2 we have $\dim_F \bar{M}_a \geq f$. Therefore, by Lemma 3.1 with $d = af$, $h = 1$, $b_2 = 0$, $b_1 = f$ and $a_1 = a$, we obtain

\[ e_a = f, \quad e_0 = g + 1, \quad \text{and} \quad e_i = 0 \text{ otherwise}. \] (7.4)

7.0.6. $\ell \mid q + 1$. Since $\ell \mid q + 1$, we have for any $m$ that $\ell \mid [\frac{n}{2}]_q$ if and only if $m$ is even, so we divide into two cases according to the parity of $n$.

i) $n$ even. Then

\[ k' = q(q + 1)^2h, \quad r = (q + 1)(qh - 1), \quad s = -(q + 1), \] (7.5)

where $h = \left[\frac{n-2}{2}\right]_q^2$. Note that $\ell \mid h$ if and only if $\ell \mid \frac{n-2}{2}$ and that $\gcd(h, qh - 1) = 1$.

Let $a = \nu_\ell(q + 1)$, $b = \nu_\ell(qh - 1)$ and $c = \nu_\ell(h)$. Then either $c = 0$ or $b = 0$.

Note that since $\ell \mid q + 1$ and $n$ is even, we have $\ell \mid [\frac{n}{2}]_q$ iff $\ell \mid \frac{n}{2} = \frac{n-2}{2} + 1$. But modulo $\ell$, we have $-(\frac{n-2}{2} + 1) \equiv -h - 1 \equiv qh - 1$, so we see that $b = 0$ if and only if $\ell \nmid [\frac{n}{2}]_q$.

a) Suppose $c = 0$ and $b = 0$. In this case $\ell \nmid [\frac{n}{2}]_q$, so we have a decomposition $M = R1 \oplus Y$. By Lemma 5.1 the module $\bar{Y}$ has composition factors $D_1$ (twice), $D_2, F$. From the self-duality of $\bar{Y}$ and the fact that $\text{GL}(n, q)$ has no fixed points in $\bar{Y}$, one sees that $\bar{Y}$ has socle series $D_1, F \oplus D_2, D_1$. By Lemma 2.1, on $Y = \text{Ker}(J)$ we have $(A'-rI)(A' - sI) = 0$, which we can write as

\[ A'(A' - (r + s)I) = -rsI. \] (7.6)
This shows that \((A' - (r + s)I)(Y) \subseteq M_{2a} \cap Y\), so as \(\ell \mid (r + s)\), we have \(\overline{A}(Y) \subseteq M_{2a} \cap Y\). It is easy to see that \(\overline{A}(Y)\) is nonzero, so it has \(D_1\) as a composition factor. In particular, \(\dim_F(\overline{M}_{2a} \cap Y) \geq f - 1\) and so \(\dim_F \overline{M}_{2a} \geq f\), as \(1 \in M_{2a}\). By Lemma 3.3 applied to \(Y\) we know from the fact that \(Y_K = \overline{S}_1 \oplus \overline{S}_2\) that \(\overline{M}_a \cap Y\) must contain the composition factors of \(S_1\) and of \(S_2\), so it has at least the composition factors \(D_1, F\) and \(D_2\). This shows \(\dim_F(\overline{M}_a \cap Y) \geq (f - 1) + 1 + (g - f + 1) = g + 1\), so \(\dim_F \overline{M}_a \geq g + 2\). Now \(v_\ell(|S(\Gamma')|) = a(f + g) + 2a\). Therefore, by Lemma 3.1 with \(d = a(f + g) + 2a, h = 2, b_3 = 0, b_2 = f, b_1 = g + 2, a_2 = 2a\) and \(a_1 = a\), we obtain

\[(7.7) e_{2a} = f, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and} \quad e_i = 0 \text{ otherwise.}\]

b) Suppose \(b > 0\), which implies \(c = 0\). Here, \(v_\ell(|S(\Gamma')|) = (a + b)f + ag + 2a\).

**Lemma 7.2.** Let \(Y = \text{Ker}(J)\). Then \(F01 \subseteq Y\) and \(Y/F01\) is uniserial with socle series \(D_1, D_2, D_1\).

We will prove the following bounds.

**Lemma 7.3.**

1. \(\dim_F \overline{M}_{2a+b} \geq f\).
2. \(\dim_F \overline{M}_a \geq g + 2\).

**Proof.** The restriction of \(A'\) to \(Y\) satisfies the equation

\[(7.8) A'(A' - (r + s)I) = -rsI.\]

This shows that \((A' - (r + s)I)(Y) \subseteq M_{2a+b}\), so \((A' - (r + s)I)(Y) \subseteq \overline{M}_{2a+b}\). It is easy to see that \((A' - (r + s)I)(Y)\) is not in the span of \(1\) so it has a composition factor \(D_1\). By explicit computation we have

\[(7.9) A'([W_p]) = (q^{n-2}_1 q - (q+1)) [W_p] + (q+1)1 = (q+1)(gh-1)[W_p] + (q+1)1\]

and

\[(7.10) A'(1) = k'1 = q(q + 1)^2h1.\]

Therefore, as \(h\) is a unit of \(R\), we have \((q + 1)[W_p] - (qh)^{-1}1 \in M_{2a+b}\), whence \(F01 \subseteq \overline{M}_{2a+b}\). This proves (1).

By Lemma 3.3 we know that \(\overline{M}_a\) has at least the composition factors \(D_2\) and \(D_1\) of \(S_2\), and we have already seen that \(F01 \subseteq \overline{M}_a\). We shall show that \(\overline{M}_a\) contains a submodule with no GL\((n, q)\)-fixed points but with a trivial composition factor. This will show that \(\overline{M}_a\) at least two trivial composition factors and (2) will be proved. The submodule in question is the \(F\)-submodule \(W\) generated by the \([W_p]\), for \(1\)-spaces \(p\). It is the image of the homomorphism \(\eta : F^{c_1} \to M\) sending a \(1\)-space to the sum of \(2\)-spaces containing it. A direct computation shows that
the image is not in the span of \(1\) and that the kernel contains the all-one vector of \(F^x\). Since \(F^x\) is uniserial with socle series \(F, D_1, F\), it follows that \(W\) is uniserial with socle series \(D_1, F\). Equation (7.9) shows that \(A'[W_p] \in M_a\), so we are done.

In view of the above lemma can apply Lemma 3.1 with \(d = (a + b)f + ag + 2a, h = 2, b_3 = 0, b_2 = f, b_1 = g + 2, a_2 = 2a + b\) and \(a_1 = a\), we obtain

\[
e_{2a+b} = f, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and} \quad e_i = 0 \quad \text{otherwise.}
\]

(7.11)

\(c)\) Suppose \(c > 0\), which implies \(b = 0\). Then \(\ell \nmid \left[\frac{n}{2}\right]q\). We have a decomposition \(M = R1 \oplus Y\). Here, \(v_\ell(\mathcal{S}O') = af + ag + 2a + c\). We will prove the following bounds.

\[
\begin{align*}
(1) \dim_F \overline{M}_{2a+c} & \geq 1. \\
(2) \dim_F \overline{M}_{2a} & \geq f. \\
(3) \dim_F \overline{M}_a & \geq g + 2.
\end{align*}
\]

(1) is by simply computing \(A'(1)\). For (2) and (3) we note that the current hypotheses on \(\ell\) imply those of case (v) for \(L'\) in §6, and that as \(\ell^{2a} \mid k'\) we have \(M_{2a}(A') = M_{2a}(L')\) and \(M_a(A') = M_a(L')\).

Therefore, by Lemma 3.1 with \(d = af + ag + 2a + c, h = 3, b_4 = 0, b_3 = 1, b_2 = f, b_1 = g + 2, a_3 = 2a + c, a_2 = 2a\) and \(a_1 = a\), we obtain

\[
e_{2a+c} = 1, \quad e_{2a} = f - 1, \quad e_a = g - f + 2, \quad e_0 = f - 1, \quad \text{and} \quad e_i = 0 \quad \text{otherwise.}
\]

(7.12)

\(ii)\) \(n\) odd. Then \(\ell \nmid \left[\frac{n}{1}\right]q\) and \(\ell \nmid \left[\frac{n-2}{1}\right]q\). Let \(a = v_\ell(q + 1)\). Then, by Lemma 7.1, \(a = v_\ell(k') = v_\ell(s)\) and \(\ell \nmid r\). Here, \(v_\ell(\mathcal{S}O') = a + ag\).

\(a)\) \(\ell \nmid \left[\frac{n-1}{2}\right]\). In this case we have a decomposition \(M = R1 \oplus Y\). Applying Lemma 3.2 to \(Y\), we have \(\dim_F (M_a \cap Y) \geq g\), and so since \(1 \in M_a\), we have \(\dim_F \overline{M}_a \geq g + 1\).

Therefore, by Lemma 3.1 with \(d = a(g + 1), h = 1, b_2 = 0, b_1 = g + 1\) and \(a_1 = a\), we obtain

\[
e_a = g + 1, \quad e_0 = f, \quad \text{and} \quad e_i = 0 \quad \text{otherwise.}
\]

(7.13)

\(b)\) \(\ell \mid \left[\frac{n-1}{2}\right]\). Let \(a = v_\ell(q + 1)\). We claim that \(\dim_F \overline{M}_a \geq g + 1\). By Lemma 2.1, we have \((A' - sI)(A' - rI) = \mu'J\), where \(\mu' = (q + 1)^2\). Thus, \(A'(A' - rI) = s(A' - rI) + \mu'J\), which shows that \(\text{Im}(A' - rI) \subseteq M_a\) and hence \(\text{Im}(A' - rI) \subseteq M_a\). Now on \(M, \overline{A}\) has a quadratic minimal polynomial with distinct roots \(0\) and \(r\), so we have an \(FGL(n, q)\)-decomposition

\[
\overline{M} = \text{Ker}(\overline{A' - rI}) \oplus \text{Ker} \overline{A} = \text{Ker}(\overline{A' - rI}) \oplus \text{Im}(\overline{A' - rI}).
\]

(7.14)
The dimension of $\ker A$ is equal to the algebraic multiplicity of 0 as an eigenvalue of $A$, which equals $g + 1$ since $\ell$ divides both $s$ and $k'$. Therefore $\dim_F M_a \geq g + 1$. It now follows from Lemma 3.1 with $d = a(g + 1)$, $h = 0$, $b_1 = a_1$ and $a_1 = a$, that

$$e_a = g + 1, \quad e_0 = f, \quad \text{and} \quad e_i = 0 \text{ otherwise.}$$

8. Skew lines, $L$

8.0.7. Parameters.

$$\mu = \frac{q^3[n-3]_q \left(\left[n^{-1}\right]_q - (q + 2)\right)}{q + 1},$$

(8.1)

$$r_L = q^4[n-2]_q - q^2[n-3]_q = \frac{q^2[n-3]_q [n]_q}{q + 1},$$

$$s_L = q^4[n-2]_q - q = \frac{q[n-1]_q \left(\left[n^{-1}\right]_q - (q + 2)\right)}{q + 1}.$$

In this section we shall write $r$ for $r_L$ and $s$ for $s_L$. The multiplicity of $r$ is $f = \dim_K \tilde{S}_1 = \left[n\right]_q - 1$ and that of $s$ is $g = \dim_K \tilde{S}_2 = \left[\frac{n}{2}\right]_q - \left[\frac{n}{2}\right]_q$.

$$|K(\Gamma)| = q^{2f+g} \frac{\left(\left[n\right]_q [n-3]_q\right)^f \left(\left[n^{-1}\right]_q \left(\left[n^{-1}\right]_q - (q + 2)\right)\right)^g}{\left[\frac{n}{2}\right]_q}.$$

Lemma 8.1.  \hspace{1em} (a) If $n$ is odd then no prime $\ell \neq p$ can divide both $r$ and $s$.

(b) If $n$ is even, then any common prime divisor of $r$ and $s$ must divide $q(q + 1)$.

(c) $\left[n^{-1}\right]_q$ divides $s(q + 1)$.

Proof. (a) Suppose $\ell \neq p$ divides both $r$ and $s$. Then $\ell$ divides both $([n-3]_q/(q + 1))[n]_q$ and $q^3[n-2]_q - 1 = q^3[n-2]_q ([n-3]_q/(q + 1)) - 1$. Obviously, $\ell$ cannot divide $\left[n^{-1}\right]_q/(q + 1)$, so $\ell$ must divide $\left[n\right]_q = q^2[n-2]_q + (q + 1)$. Hence $q^2[n-2]_q \equiv -q(q + 1) \pmod{\ell}$ and so

$$0 \equiv q^3[n-2]_q ([n-3]_q/(q + 1)) - 1 \equiv -q[n-3]_q - 1 \equiv -[n-2]_q \pmod{\ell},$$

a contradiction since $\ell$ divides $q^2[n-2]_q ([n-3]_q/(q + 1)) - 1$.

(b) Suppose that $\ell$ divides $r$ and $s$, but $\ell$ does not divide $q(q + 1)$. Then $\ell$ divides $([n]_q/(q + 1))[n-3]_q$ and $q^3([n-2]_q/(q + 1)) [n-3]_q - 1$ so, obviously, $\ell$ does not divide $\left[n^{-1}\right]_q$. Hence $\ell$ divides $\left[n\right]_q = q^2[n-2]_q +$
gl gives the decomposition of $L$ that $\text{Im}(\mathbf{8.4})$.

Therefore, by Lemma 3.1 with $\ell$ divides $[n-2]/(q+1)$, which contradicts the fact that $\ell$ divides $q^2([n-2]/(q+1))[n-3]_q - 1$.

(c) This is clear from the factorization of $s$ given at the beginning of this section. \hfill \Box

8.0.8. $\ell \nmid q+1$. By Lemma 8.1, $\ell$ can divide at most one of $r$ and $s$, so we consider the two nontrivial cases in turn.

Case (i) $\ell \mid r$, $\ell \nmid s$.

a) $\ell \mid [n]_q$ and $\ell \nmid [n-3]_q$. Let $a = v_\ell([n]_q)$. Then $a = v_\ell([n]_q)$, and $v_\ell(\mathbf{8.5}) = a(f-1)$. By Lemma 3.2, we have $\dim_F(M_a) \geq f$. Therefore, by Lemma 3.1 with $d = a(f-1)$, $h = 1$, $b_2 = 1$, $b_1 = f$ and $a_1 = a$, we obtain

$$e_a = f-1, \quad e_0 = g+1, \quad \text{and } e_i = 0 \text{ otherwise.} \quad (8.3)$$

b) $\ell \mid [n]_q$ and $\ell \mid [n-3]_q$. Note that we must have $\ell \mid q^2 + q + 1$. Let $a = v_\ell([n]_q), b = v_\ell([n-3]_q)$.

Here, $v_\ell([n]_q, b = v_\ell([n-3]_q)$, and $v_\ell(\mathbf{L(\Gamma))} = a(f-1) + bf$. By Lemma 3.2 we have $\dim_F(M_{a+b}) \geq f$. We claim $\dim_F(M_b) \geq f + 1$.

From Lemma 2.1 we have $L(L-(s+r)I) = -rsI + \mu J$, which shows that $\text{Im}(L-(s+r)I) \subseteq M_b$, hence $\text{Im}(L-sI) \subseteq M_b$. Now on $M_b$, $L$ has quadratic minimal polynomial with eigenvalues $s$ and $0$, which gives the decomposition of $F\text{GL}(n,q)$-modules

$$M = \text{Ker}(L) \oplus \text{Ker}(L-sI) = \text{Im}(L-sI) \oplus \text{Ker}(L-sI). \quad (8.4)$$

The dimension of $\text{Ker} L$ is equal to the algebraic multiplicity of $0$ as an eigenvalue of $L$, which equals $f + 1$ since $\ell$ divides both $r$ and $k$. We have shown that $\dim_F(M_b) \geq f + 1$.

Therefore, by Lemma 3.1 with $d = a(f-1) + bf$, $h = 2$, $b_3 = 1$, $b_2 = f$, $b_1 = f + 1$, $a_2 = a + b$ and $a_1 = b$, we obtain

$$e_{a+b} = f-1, \quad e_b = 1, \quad e_0 = g, \quad \text{and } e_i = 0 \text{ otherwise.} \quad (8.5)$$

c) $\ell \nmid [n]_q$ and $\ell \mid [n-3]_q$. Under the present assumptions, we have $\ell \nmid [n]_q$, so we have a decomposition $M = R\mathbf{1} \oplus Y$ as $R\mathbf{GL}(n,q)$-modules. Let $a = v_\ell([n-3]_q)$. Then $v_\ell(\mathbf{L(\Gamma))} = af$. Using Lemma 3.2 applied to $Y$, we see that $\dim_F(M_a \cap Y) \geq f$, so $\dim_F(M_a) \geq f + 1$.

Therefore, by Lemma 3.1 with $d = af$, $h = 1$, $b_2 = 1$, $b_1 = f + 1$ and $a_1 = a$, we obtain

$$e_a = f, \quad e_0 = g, \quad \text{and } e_i = 0 \text{ otherwise.} \quad (8.6)$$

Case (ii) $\ell \mid s$, $\ell \nmid r$. 

Lemma 8.2. Let \( a = v_\ell(s) \). Then since \( \ell \nmid [n^{-1}_1]_q \), we have \( v_\ell([K(\Gamma)]) = ag \). Also \( M = R1 \oplus Y \). Using Lemma 3.2 applied to \( Y \), we see that \( \dim_F(M_a \cap Y) \geq g \), so \( \dim_F(M_a) \geq g + 1 \). Therefore, by Lemma 3.1 with \( d = ag, h = 1, b_2 = 1, b_1 = g + 1 \) and \( a_1 = a \), we obtain
\[
(8.7) \quad e_a = g, \quad e_0 = f, \quad \text{and } e_i = 0 \text{ otherwise.}
\]

b) \( \ell \mid [n^{-1}_1]_q \). Let \( a = v_\ell([n^{-1}_1]_q) \). Then \( v_\ell(s) = a + b \), where \( b = v_\ell(\mu) \). Then \( v_\ell([K(\Gamma)]) = (a + b)g - a \).

If \( b = 0 \), then by Lemma 3.2, \( \dim_F(M_a) \geq g \). Therefore, by Lemma 3.1 with \( d = a(g - 1), h = 1, b_2 = 1, b_1 = g \) and \( a_1 = a \), we obtain
\[
(8.8) \quad e_a = g - 1, \quad e_0 = f + 1, \quad \text{and } e_i = 0 \text{ otherwise.}
\]

Suppose \( b > 0 \). Here we have \( v_\ell([K(\Gamma)]) = (a + b)g - a \). By Lemma 3.2 we have \( \dim_F \overline{M}_{a+b} \geq g \), and we claim that \( \dim_F \overline{M}_b \geq g+1 \). By Lemma 2.1, we have \( \overline{L}(L-rI) = s(L-rI) + \mu J \), which shows that \( \text{Im}(L-rI) \subseteq M_b \), hence \( \text{Im}(L-rI) \subseteq \overline{M}_b \). The same equation also shows that on \( \overline{M} \), \( L \) has a quadratic minimal polynomial with distinct roots \( r \) and \( 0 \), so \( \overline{M} = \text{Ker}(L-rI) \oplus \text{Ker}(L) \). The algebraic multiplicity of 0 is \( g + 1 \), the sum of the multiplicities of \( s \) and 0 as eigenvalues of \( L \), so \( \dim_F \text{Ker}(L) = g + 1 \). Then, from the decomposition above, we have \( \dim_F \text{Im}(L-rI) = g + 1 \), and our claim follows. Therefore, by Lemma 3.1 with \( d = (a + b)g - a, h = 2, b_3 = 1, b_2 = g, b_1 = g + 1, a_2 = a + b \) and \( a_1 = b \), we obtain
\[
(8.9) \quad e_{a+b} = g - 1, \quad e_b = 1, \quad e_0 = f, \quad \text{and } e_i = 0 \text{ otherwise.}
\]

8.0.9. \( \ell \mid q+1 \). As \( \ell \mid q+1 \), we have for any \( m, \ell \mid [m^1_1]_q \) if and only if \( m \) is even, so we consider two cases according to the parity of \( n \).

Case (i) \( n \) even.

Lemma 8.2. \( \ell \mid r \iff \ell \mid \frac{n}{2} \iff \ell \mid s \).

Proof. As \( n \) is even, \( \ell \) divides \([\ell^1]_q \) and \([n^{-2}]_q \) but divides neither \([n^{-1}]_q \) nor \([n^{-3}]_q \). Since \( \ell \) divides \( q + 1 \) and \( r = q^2[n^{-3}]_q[n^{1/2}]_q^2 \), it follows that \( \ell \) divides \( r \) if and only if \( \ell \) divides \( n/2 \). Since
\[
s = q[n^{-1}]_q([n^{-1}]_q - (q + 2))/(q + 1) = q[n^{-1}]_q(q^3[n^{-4/2}]_q^2 + (q - 1)),
\]
and \( q \equiv -1 \mod\ell \), we see that \( \ell \) divides \( s \) if and only if \( \ell \) divides \(-(n - 4)/2 - 2 = -n/2 \).

Let \( a = v_\ell(r), b = v_\ell(s) \). We can assume \( a, b > 0 \), or else \( v_\ell([K(\Gamma)]) = 0 \). Then \( v_\ell([\ell^{1/2}_q]) = a \) and we have \( v_\ell([K(\Gamma)]) = af + bg - a \).
We claim (1) \( \dim_F M_{a+b} \geq f \) and (2) \( \dim_F M_b \geq g + 1 \). To prove (1), we observe that the equation \( L(L - (r + s)I) = -rsI + \mu J \) implies that \( (L - (r + s)I)(Y) \subseteq M_{a+b} \). It is easy to see that \( L(Y) \) is not in the span of \( 1 \), so contains a nontrivial composition factor. Since \( [S_2 : D_1] \neq 0 \) we see that \( L(Y) \) must have a composition factor \( D_1 \), whose dimension is \( f - 1 \). Also \( F1 \subseteq M_{a+b} \), so (1) is proved. For (2), we note that \( S_2 \) has composition factors \( D_2 \) and \( D_1 \), so by Lemma 3.3, \( M \cap \bar{S}_2 \) also has these composition factors, so \( \bar{M}_b \) has at least these composition factors. In addition we have \( F1 \subseteq \bar{M}_b \), so \( \dim_F \bar{M}_b \geq g + 1 \). Therefore, by Lemma 3.1 with \( d = af + bg - a \), \( h = 2 \), \( b_2 = f \), \( b_1 = g + 1 \), \( a_2 = a + b \) and \( a_1 = b \), we obtain

\[(8.10)\] \( e_{a+b} = f - 1 \), \( e_b = g - f + 1 \), \( e_0 = f \), \( e_i = 0 \) otherwise.

Case (ii) \( n \) odd. Let \( a = v_\ell(r), b = v_\ell(s) \). We can assume \( v_\ell(|K(\Gamma)|) > 0 \). By Lemma 8.1, exactly one of \( a \) and \( b \) is nonzero.

a) \( \ell \nmid \frac{n-1}{2} \). In this case \( \ell \nmid \left[ \frac{n}{2} \right]_q \), so \( v_\ell(|K(\Gamma)|) = af + bg \) and we have a decomposition \( M = R1 \oplus Y \).

If \( a > 0, b = 0 \), then from Lemma 3.2, we have \( \dim_F M_a \cap Y \geq f \), so \( \dim_F \bar{M}_a \geq f + 1 \). Therefore, by Lemma 3.1 with \( d = af + bg, h = 1, b_2 = 1, b_1 = f + 1 \) and \( a_1 = a \), we obtain

\[(8.11)\] \( e_a = f, e_0 = g \), and \( e_i = 0 \) otherwise.

If \( a = 0, b > 0 \), then from Lemma 3.2, we have \( \dim_F M_b \cap Y \geq g \), so \( \dim_F \bar{M}_b \geq g + 1 \). Therefore, by Lemma 3.1 with \( d = af + bg, h = 1, b_2 = 1, b_1 = g + 1 \) and \( a_1 = b \), we obtain

\[(8.12)\] \( e_b = g, e_0 = f \), and \( e_i = 0 \) otherwise.

b) \( \ell \mid \frac{n-1}{2} \). Here we have \( b = v_\ell(s) = v_\ell\left( \left[ \frac{n-1}{q+1} \right]_{q^2} \right) = v_\ell\left( \left[ \frac{n}{2} \right]_q \right) \). We are assuming that \( \ell \mid \frac{n-1}{2} \), so since \( \ell \mid q + 1 \), we have \( \left[ \frac{n-1}{2} \right]_{q^2} \equiv \frac{n-1}{2} \) (mod \( \ell \)), so \( \ell \mid \left[ \frac{n-1}{q+1} \right]_{q^2} = \left[ \frac{n-1}{2} \right]_{q^2} \) and we have \( b > 0 \). Then by Lemma 8.1 \( a = 0 \). Then \( v_\ell(|K(\Gamma)|) = bg - b \). By Lemma 3.2, we have \( \dim_F M_b \geq g \). Therefore, by Lemma 3.1 with \( d = bg - b, h = 1, b_2 = 1, b_1 = g \) and \( a_1 = b \), we obtain

\[(8.13)\] \( e_b = g - 1, e_0 = f + 1 \), and \( e_i = 0 \) otherwise.
9. Skew lines, $A$

9.0.10. Parameters.

\[ k = q^4 \binom{-2}{2} \]
\[ \mu = q^3 \binom{-3}{1} \left( \frac{q^{n-1} - q^2 - q + 1}{q^2 - 1} \right) \]
\[ = q^3 \binom{-3}{1} \left( \frac{\binom{n-1}{1} q - (q + 2)}{q + 1} \right) \]
\[ r_A = -q^2 \binom{-3}{1} \quad s_A = q. \]

In this section we shall write $r$ for $r_A$ and $s$ for $s_A$. The multiplicity of $r$ is $f = \dim_K S_1 = \binom{n}{1} - 1$ and that of $s$ is $g = \dim_K S_2 = \binom{2}{1} - \binom{1}{1}$. Hence

\[ |S(\Gamma)| = q^{4+2f+g} \binom{-2}{2} \binom{n-2}{1} \binom{-3}{1} \binom{n-3}{1} \binom{f+1}{1} \binom{n-1}{1} \binom{2}{1} \binom{1}{1} \binom{1}{1} \]

9.0.11. $\ell \nmid q + 1$. If $\ell \nmid \binom{-2}{1}$ and $\ell \nmid \binom{-3}{1}$ the $\ell$-part of $S(\Gamma)$ is trivial, so we consider the nontrivial cases.

Case (i) $\ell \mid \binom{n-2}{1}$, $\ell \mid \binom{-3}{1}$. Let $a = v_\ell(\binom{n-2}{1})$. Then as we have $1 \in M_a$, we have $\dim_F(M_a) \geq 1$ and by Lemma 3.1 with $d = a$, $h = 1$, $b_2 = 0$, $b_1 = 1$ and $a_1 = a$, we obtain

\[ e_a = 1, \quad e_0 = f + g, \quad \text{and} \quad e_i = 0 \text{ otherwise}. \]

Case (ii) $\ell \nmid \binom{n-2}{1}$, $\ell \mid \binom{-3}{1}$. Let $a = v_\ell(\binom{-3}{1})$. We claim $\dim_F(M_a) \geq f + 1$. Suppose first that $\ell \mid \binom{1}{1}$. Then $\ell \mid \binom{2}{1}$, so we have a decomposition $M = R\mathbf{1} \oplus Y$. The $r$-eigenspace of $A$ is contained in $Y_K$, so $f \leq \dim_F(M_a) \cap Y$. Also $1 \in M_a$, so the claim is true in this case. Now assume that $\ell \mid \binom{1}{1}$. In this case, $F^{\xi_1}$ is uniserial with its unique simple submodule spanned by the all-one vector $\mathbf{1}$ of $F^{\xi_1}$. The map $\eta : F^{\xi_1} \to M$ maps $\mathbf{1}$ to $(q + 1)\mathbf{1} \neq 0$, so it is an injective map. Its $(f + 1)$-dimensional image is the span of the elements $[W_p]$. Direct computation shows that $A([W_p]) = q^2 \binom{n-3}{1} \binom{1}{1} - [W_p])$, so $\text{Im}(\eta) \subseteq M_a$. Therefore, by Lemma 3.1 with $d = a(f + 1)$, $h = 1$, $b_2 = 0$, $b_1 = f + 1$ and $a_1 = a$, we obtain

\[ e_a = f + 1, \quad e_0 = g, \quad \text{and} \quad e_i = 0 \text{ otherwise}. \]
9.0.12. \( \ell \mid q + 1 \). Case (i) \( \ell \mid \left[ \frac{n-2}{1} \right]_q \), but \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \). In this case \( \ell \nmid \left[ \frac{n-2}{2} \right]_q \) and \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \), so the \( \ell \)-part of \( S(\Gamma) \) is trivial.

Case (ii) \( \ell \mid \left[ \frac{n-2}{1} \right]_q \), and \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \). In this case, \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \). Let \( a = v_\ell(\left[ \frac{n-2}{1} \right]_q) \). Then \( 1 \in M_a \), so by Lemma 3.1 with \( d = a \), \( h = 1 \), \( b_2 = 0 \), \( b_1 = 1 \), and \( a_1 = a \), we obtain

\[
e_a = 1, \quad e_0 = f + g, \quad \text{and} \quad e_i = 0 \text{ otherwise}.
\] (9.5)

Case (iii) \( \ell \mid \left[ \frac{n-3}{1} \right]_q \), but \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \). Let \( a = v_\ell(\left[ \frac{n-3}{1} \right]_q) \). Then \( a = v_\ell(q + 1) \) and so \( \ell \nmid \left[ \frac{n-2}{2} \right]_q = \frac{\left[ \frac{n-2}{1} \right]_q \left[ \frac{n-3}{1} \right]_q}{q+1} \). We have \( f \leq \dim_F M_a \) by Lemma 3.2. Therefore, by Lemma 3.1 with \( d = af \), \( h = 1 \), \( b_2 = 0 \), \( b_1 = f \) and \( a_1 = a \), we obtain

\[
e_a = f, \quad e_0 = g + 1, \quad \text{and} \quad e_i = 0 \text{ otherwise}.
\] (9.6)

Case (iv) \( \ell \mid \left[ \frac{n-3}{1} \right]_q \), and \( \ell \nmid \left[ \frac{n-3}{1} \right]_q \). Let \( a = v_\ell(q + 1) \) and \( b = v_\ell(\left[ \frac{n-3}{1} \right]_q) \). Then \( b = v_\ell(\left[ \frac{n-2}{1} \right]_q) \), so \( 1 \in M_b \), and \( F1 \subseteq M_b \). Now \( \ell \nmid \left[ \frac{n-3}{2} \right]_q \), and \( \ell \nmid \left[ \frac{n-1}{2} \right]_q \), and it follows that \( \ell \nmid \left[ \frac{n}{2} \right]_q \). Thus, \( M = R1 \oplus Y \), where \( Y_K \) contains the whole \( r \)-eigenspace in \( M_K \). As \( v_\ell(r) = a + b \) it follows from Lemma 3.2 that \( f \leq \dim_F M_{a+b} \cap Y \). As \( M = F1 \oplus Y \), and \( 1 \in M_b \), we also have \( \dim_F M_b \geq 1 + f \). Therefore, by Lemma 3.1 with \( d = f(a + b) + b \), \( h = 2 \), \( b_3 = 0 \), \( b_2 = f \), \( b_1 = f + 1 \), \( a_2 = a + b \) and \( a_1 = b \), we obtain

\[
e_{a+b} = f, \quad e_b = 1, \quad e_0 = g, \quad \text{and} \quad e_i = 0 \text{ otherwise}.
\] (9.7)

References


3. David B. Chandler, Peter Sin, and Qing Xiang, The invariant factors of the incidence matrices of points and subspaces in PG(n, q) and AG(n, q), Trans. Amer. Math. Soc. 358 (2006), no. 11, 4935–4957. MR 2231879


MR 632548
10. Appendix

The two tables in this appendix show the \( F \text{GL}(n, q) \)-submodule structure of the permutation module \( \overline{M} \), according to the relation of \( \ell \), \( q \) and \( n \).

<table>
<thead>
<tr>
<th>( \ell \nmid q + 1 )</th>
<th>( \ell \nmid \left[ \frac{n-1}{2} \right] )</th>
<th>( \ell \nmid \left[ \frac{n-2}{1} \right] )</th>
<th>( \ell \mid \left[ \frac{n-2}{1} \right] )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \ell \nmid \left[ \frac{n-1}{1} \right] )</td>
<td>( S_2 = D_2 )</td>
<td>( S_2 = D_2 + D_1 )</td>
<td>( S_2 = D_2 + D_1 )</td>
</tr>
<tr>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
</tr>
<tr>
<td>( \ell \nmid \left[ \frac{n-1}{1} \right] )</td>
<td>( S_2 = D_2 + F )</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>( \overline{M} = D_1 \oplus D_2 )</td>
<td>( \overline{M} = D_1 \oplus D_2 )</td>
<td>( \overline{M} = D_1 \oplus D_2 )</td>
<td>( \overline{M} = D_1 \oplus D_2 )</td>
</tr>
<tr>
<td>( \ell \mid q + 1 )</td>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
<td>( S_2 = D_2 )</td>
<td>( S_2 = D_2 + D_1 + D_2 )</td>
<td>( S_2 = D_2 + D_1 + D_2 )</td>
</tr>
<tr>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
<td>( \overline{M} = F \oplus D_1 \oplus D_2 )</td>
</tr>
<tr>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
<td>( \ell \mid \left[ \frac{n-1}{2} \right] )</td>
</tr>
</tbody>
</table>

Table A. \( \ell \nmid \left[ \frac{n}{1} \right] \).

---

<table>
<thead>
<tr>
<th>$\ell \mid q + 1$</th>
<th>$\ell \nmid \left\lfloor \frac{n-1}{2} \right\rfloor_q$</th>
<th>$\ell \mid \left\lfloor \frac{n-1}{2} \right\rfloor_q$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\ell \nmid q + 1$</td>
<td>$\ell \mid \left\lfloor \frac{n-1}{2} \right\rfloor_q$</td>
<td>$\ell \mid \left\lfloor \frac{n-1}{2} \right\rfloor_q$</td>
</tr>
</tbody>
</table>

Table B. $\ell \mid \left\lfloor \frac{n}{1} \right\rfloor_q$.