

A Σ_1^1 axiom of finite choice and Steel forcing

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The answer is no:

Theorem (van Wesep '77)

For any theory T all of whose ω -models are hyp closed, there is some T' which is strictly weaker than T , all of whose ω -models are hyp closed.

Theories of hyp analysis

Definition (Montalbán '06, relativizing Steel '78)

T is a **theory of hyp analysis** if:

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“Clearly” $\Sigma_1^1\text{-AC}$ and $\Delta_1^1\text{-CA}$ do not qualify.

Over $\text{RCA}_0 + I\Sigma_1^1$:

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Friedman '67, Harrison '68,
van Wesep '77, Steel '78,
Simpson '99,
Montalbán '06, '08,
Neeman '08

$\Sigma_1^1\text{-DC}_0$

\Downarrow

$\Sigma_1^1\text{-AC}_0$

\Downarrow

$\Pi_1^1\text{-SEP}_0$

\Downarrow

$\Delta_1^1\text{-CA}_0$

\Downarrow

INDEC_0

\Downarrow

$\text{unique-}\Sigma_1^1\text{-AC}_0$

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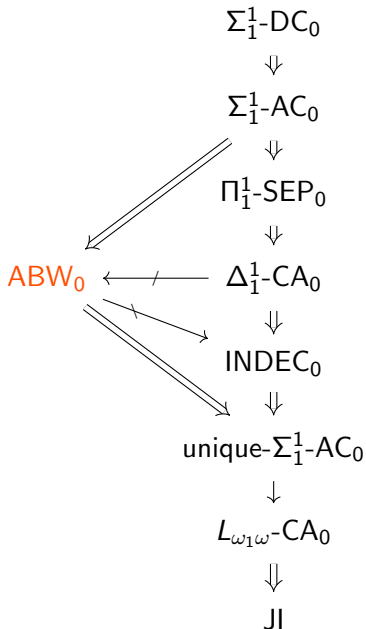
$L_{\omega_1\omega}\text{-CA}_0$

\Downarrow

JI

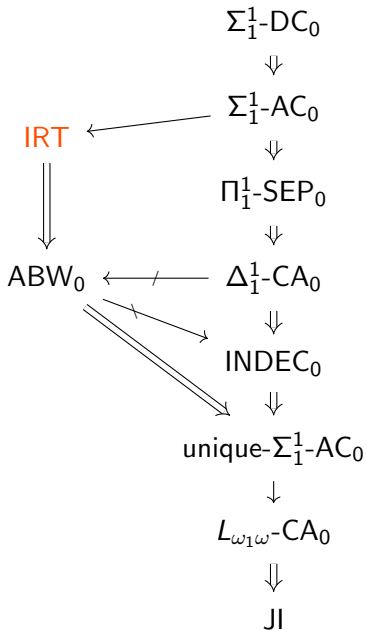
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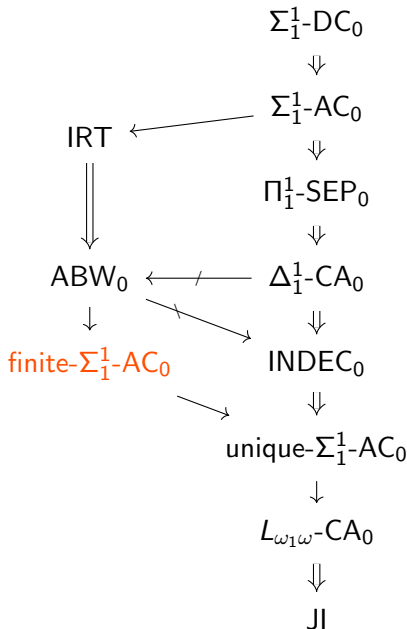
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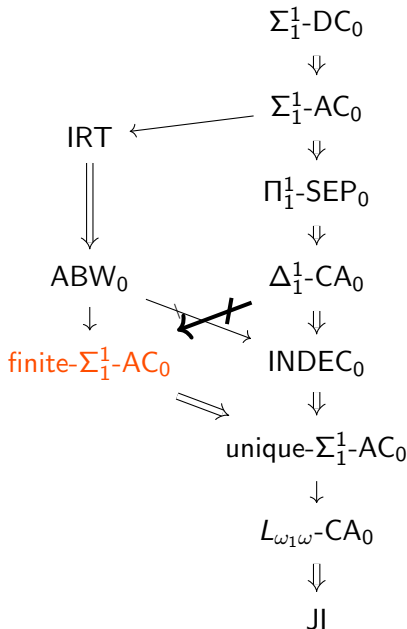


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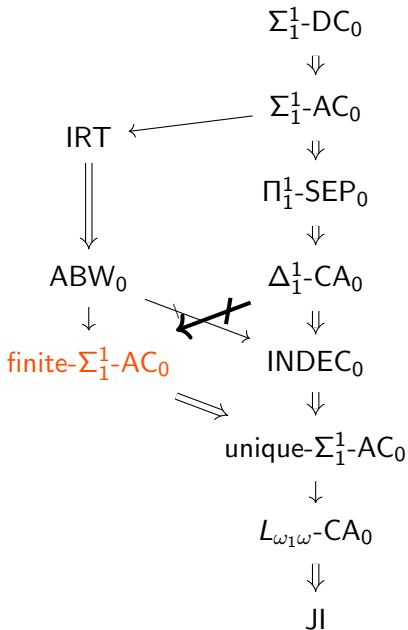


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All of these separations
(except $\Sigma_1^1\text{-AC}_0 \not\equiv \Sigma_1^1\text{-DC}_0$)
were proved using Steel
forcing and variants thereof.



A Σ_1^1 axiom of finite choice

Kreisel '62: $\Sigma_1^1\text{-AC}_0$ consists of the sentences

$$(\forall n)(\exists X)\varphi(n, X) \rightarrow (\exists \langle X_n \rangle_n)(\forall n)\varphi(n, X_n)$$

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Finite- $\Sigma_1^1\text{-AC}_0$ consists of the sentences

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Finite- $\Sigma_1^1\text{-AC}_0$ is a theory of hyp analysis, since it is sandwiched between theories of hyp analysis.

Our results

Theorem (G.)

There is an ω -model which satisfies $\Delta_1^1\text{-CA}_0$ but not finite- $\Sigma_1^1\text{-AC}_0$.

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We do not know if $\text{finite-}\Sigma_1^1\text{-AC}_0$ implies ABW_0 .

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For each finite $F \subset \omega$, define the model M_F to consist of all sets which are computable in the λ^{th} jump of $T^G \oplus \langle \alpha_i^G \rangle_{i \in F}$, for some $\lambda < \omega_1^{\text{CK}}$.

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For each finite $F \subset \omega$, the set of paths on T^G in M_F is exactly $\{\alpha_i^G : i \in F\}$.

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Finally, define $M_\infty = \bigcup_{F \subset \omega \text{ finite}} M_F$.

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Main ingredient of proof is to show that if two forcing conditions are sufficiently “alike”, then they force the same Σ_1^1 formulas.

This helps to control the complexity of the forcing relation.

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- ③ f^q can extend paths in f^p , subject to a technical restriction
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($f^q(j)$ is new if $j \notin \text{dom}(f^p)$.)

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